

# Extended-soft-core Baryon-Baryon Model ESC16

## II. Hyperon-Nucleon Interactions

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**Background:** The Nijmegen extended-soft-core (ESC) models describe the nucleon-nucleon (NN) and hyperon-nucleon (YN) as well as the  $S=-2$  hyperon-hyperon/nucleon (YY/ $\Xi N$ ) interactions in a unified way using broken  $SU(3)$ -symmetry. The potentials consist of local- and non-local-potentials due to (i) One-boson-exchanges (OBE), which are the members of nonets of pseudoscalar-, vector-, scalar-, and axial-vector mesons, (ii) Two pseudoscalar exchange (PS-PS), (iii) Meson-Pair-exchange (MPE) and (iv) diffractive exchanges. Both the OBE- and Pair-vertices are regulated by gaussian form factors producing potentials with a soft behavior near the origin. Broken  $SU(3)$ -symmetry serves to connect the  $NN$ , the  $YN$  and the  $YY$  channels. In particular, the meson-baryon coupling constants are calculated via  $SU(3)$  using the coupling constants of the  $NN$ -analysis as input. The assignment of the cut-off masses for the BBM-vertices is dependent on the  $SU(3)$ -classification of the exchanged mesons for OBE, and a similar scheme for MPE.

**Purpose:** The  $S=-1$  YN results are presented from a new version ESC16 of the ESC potential model for Baryon-baryon (BB) scattering. The obtained two body BB-potentials are applied to the hyperonic many-body systems as well. Next to the standard ingredients of the ESC-models a contribution of the possible short range repulsion due to the quark Pauli-principle in the BB-channels is described in a systematic way for the first time.

**Methods:** Major novel ingredients with respect to the former versions ESC04-ESC08 are the inclusion of (i) short-range gaussian Odderon-potentials corresponding to the odd numbers of gluon-exchanges next to the Pomeron-potentials due to even gluon-exchanges, (ii) short range repulsion in all NN, YN and YY channels due to Pauli-forbidden six-quark cluster  $(0s)^6$ -configurations. Further new elements are (i) the extension of the  $J^{PC} = 1^{++}$  axial-vector meson coupling, (ii) the inclusion of the  $J^{PC} = 1^{+-}$  axial-vector mesons, and (iii) a completion of the  $1/M$ -corrections for the meson-pair-exchange (MPE) potentials, and (iii) the treatment of the scalar  $\kappa(861)$  meson within the Gell-Mann-Okubo (GMO) meson-mixing scheme and as a broad meson, like the  $\rho(760)$  and  $\epsilon(620)$ . In contrast to ESC04, we do not consider medium strong flavor-symmetry-breaking (FSB) of the coupling constants. The charge-symmetry-breaking (CSB) in the  $\Lambda p$  and  $\Lambda n$  channels, which is an  $SU(2)$  isospin breaking, is included in the OBE-, TME-, and MPE-potentials. In addition to the usual set of 35 YN-data and 3  $\Sigma^+ p$  cross-sections from a recent KEK-experiment E289, we added 11 elastic and inelastic  $\Lambda p$  and 3 elastic  $\Sigma^- p$  cross-sections at higher energy. For the ESC16-model we performed a simultaneous fit to the combined NN and YN scattering data, supplied with constraints on the YN and YY interaction originating from the G-matrix information on hypernuclei.

**Results:** The fitting of  $NN$  dominates the determination of the couplings and the cut-off masses. Only a few parameters are strongly influenced by the  $YN$  data, and by the constraints for the  $YY$ -interactions following from G-matrix analyses of hypernuclei and hyperonic matter. Like in the ESC04-model, the obtained octet and singlet coupling constants and  $F/(F+D)$ -ratio's of the model are conform the predictions of the quark-antiquark pair-creation (QPC) model with dominance of the  $^3P_0$ -mechanism. This not only for the OBE-couplings but also for the MPE-couplings and  $F/(F+D)$ -ratio's. We obtained within this simultaneous fit  $\chi^2/NN_{data} = 1.10$  and  $\chi^2/YN_{data} = 1.04$ . In particular, we were able to fit the precise experimental datum  $r_R = 0.468 \pm 0.010$  for the inelastic  $\Sigma^- p$  capture ratio at rest very well.

**Conclusions:** Besides the good results for the fit to the  $S=-1$  scattering data, which to a large extend defines the model, also the information of hypernuclear systems, using the G-matrix method, is rather important in establishing the complete ESC-model. Different versions of the ESC16-model give somewhat different results for hypernuclei. The reported G-matrix calculations are performed for  $YN$  ( $\Lambda N$  and  $\Sigma N$ ) in nuclear matter and also for some hypernuclei. The obtained well depths ( $U_\Lambda$ ,  $U_\Sigma$ ,  $U_\Xi$ ) reveal distinct features of the ESC-model. The inclusion of a quark core Pauli-repulsion can make the  $\Sigma$ -nucleus interaction repulsive, as seems to be required by the available experimental evidence. Furthermore, the ESC16-model gives small spin-orbit splittings in  $\Lambda$ -hypernuclei, which is also indicated by experiment.

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### I. INTRODUCTION

This is the second in a series of papers on the Extended-soft-core (ESC) model for low and interme-

diate energy baryon-baryon interactions in the ESC16-

version. The nucleon-nucleon interactions are described in [1], henceforth referred to as paper I. The first results of ESC08 on the BB-channels and applications to hypernuclei were given in the review [2]. Preliminary versions can be found in [3–5]. With the ESC04-models [6–8], it was shown that a very successful description of the presently available baryon-baryon scattering data could be achieved within the ESC-approach to the nuclear force problem. Also, such a description was obtained with meson-baryon coupling parameters which can be understood rather nicely within the context of the  ${}^3P_0$ -quark-pair creation mechanism [9, 10]. This mechanism has been shown to be dominant in the framework of lattice QCD [11]. The simultaneous and unified treatment of the  $NN$  and  $YN$  channels in ESC04, using broken  $SU(3)$ , has given already a rather successful potential model for the low and intermediate energy baryon-baryon scattering data. Furthermore, the basic ingredients of the model are physically motivated by the quark-model (QM) and QCD.

The G-matrix calculations showed that basic features of hypernuclear data are also reproduced rather well, improving several weak points of the soft-core OBE-models [12–14]. However, there remained the problem that the meson-exchange models seem to be unable to give a positive well depth  $U_\Sigma$ . A second problem posed the very small spin-orbit splittings in  $\Lambda$ -hypernuclei [15, 16]. In this paper we extend and refine the ESC-model in order to provide improvements and answers to these issues.

First, we list the new ingredients of the here presented version of ESC16, which are more or less in line with the ESC-approach as presented so far. In this category, the following additions to the ESC04-model are made for the present ESC16-model:

- (i) For the scalar mesons the mass of the  $\varepsilon = f_0(620)$  has been lowered, the mixing angle deviates from ideal mixing, and also the  $\kappa(861)$  has been treated as a broad meson. This in order to introduce more  $SU(3)$  breaking between  $pp$  and  $\Sigma^+p$ .
- (ii) For the axial-vector mesons with  $J^{PC} = 1^{++}$ , the A-mesons, next to the  $\gamma_5\gamma_\mu$ -coupling also the derivative  $\gamma_5k_\mu$ -coupling is exploited.
- (iii) The axial-vector mesons with  $J^{PC} = 1^{+-}$ , the B-mesons, are included as well. The latter have potentials of the same type as the pseudo-scalar mesons, but have an opposite sign. We notice that now the set of the exchanged quantum numbers for OBE-potentials is identical to that for MPE-potentials.
- (iv) For the meson-exchange we have included the Brown-Downs-Iddings anti-symmetric spin-orbit potentials from pseudoscalar-, vector-, scalar-, and axial-meson exchange [17].
- (v) We have completed the  $1/M$ -corrections for meson-pair-exchange (MPE), in particular for the  $J^{PC} = 1^{++}$ - and  $J^{PC} = 1^{+-}$ -axial pairs. This also leads to new important contributions to the anti-symmetric-spin-

orbit interaction <sup>1</sup>. (vi) For the diffractive contribution we have next to the Pomeron-exchange <sup>2</sup> added the Odderon-exchange [18]. Whereas in QCD the Pomeron can be associated with colorless even number (2,4, ...) of gluon-exchanges, the Odderon is associated with the colorless odd number (3,5, ...) of gluon-exchanges. At low energies the Pomeron has  $J^{PC} = 0^{++}$ , but the Odderon has  $J^{PC} = 1^{--}$ .

Secondly, we have opened the possibility to incorporate possible effects of a 'structural' or channel-dependent repulsion due to Pauli-blocking. This repulsion originates from a 'forbidden-state' in the  $SU(6,FS)$  Quark-Cluster-Model (QCM) [19, 20]. This is the analog of a well known effect in  $\alpha\alpha$ -scattering discovered in the sixties [21]. This 'forbidden-state' is the [51]-irrep and this irrep occurs with a large weight in the two  $J^P = 1/2^+$ -baryon states in the  $SU(3,F)$ -irreps  $\{10\}$  and  $\{8_s\}$ . These irreps are prominent in the  $\Sigma^+p({}^3S_1)$ - respectively the  $\Sigma N({}^1S_0)$ -states. These are precisely the states where according to e.g. the G-matrix calculations the ESC-models possibly lack some repulsion. This repulsion seems to be indicated by experiment [22, 23]. The [51]-irrep also occurs in the other  $NN$ -,  $YN$ -, and  $YY$ -channels, but with roughly equal weights, see [19], apart from a few  $S=-2$  channels, e.g.  $\Xi N(I=1, S=0)$ .

We account for the 'exceptional-repulsion' in a phenomenological way by enhancing the "pure" Pomeron-coupling. So the effective Pomeron-repulsion consists of the pure Pomeron-exchange contribution augmented with a fraction of Pauli-blocking repulsion, which varies for the different BB-channels. (The other typical quark-cluster effects like e.g. one-gluon-exchange (OGE) annex quark-interchange is in ESC-models taken care of by meson exchange.) In this work we try to determine the strength of this Pauli-blocking effect in BB-channels. The fit to  $NN$  determines the sum of both the pure Pomeron-repulsion and the Pauli-blocking repulsion. The fit to  $YN$  determines the fraction of Pauli-blocking in it.

The ESC16-model realizes a fusion between the soft-core meson-exchange potentials and QCM-aspects of the baryon-baryon interactions and can be called a 'hybrid' ESC-model. The soft-core meson-exchange model has been described in detail in previous papers, [6–8]. Therefore, we may refer here to those papers for a description

<sup>1</sup> For the OBE-potentials we have included the Brown-Downs-Iddings anti-symmetric spin-orbit potentials from pseudo-scalar, vector-, and scalar-meson exchange [17]. Also we derived new anti-symmetric spin-orbit contributions from MPE. Since we do not fit P-waves for  $YN$ , these play no role in the construction of the ERSC07-model. Therefore, these potentials will be published elsewhere.

<sup>2</sup> In principle, the off-mass-shell  $J=0$  contribution from the tensor-meson nonet  $A_2, K_2$  etc. is included with the diffractive soft-core potentials, see e.g. [12, 13]. Although the couplings are zero in ESC16 model, we include these potentials in the text for completeness.

of (a) the physical background, (b) the employed formalism, (c) the description of the potentials, either in details or in references to papers where further information may be obtained. In this paper we will derive (i) the new OBE-potentials employed here for the first time in the context of the ESC-model, (ii) the Odderon-potentials, and (iii) a derivation of the short-range phenomenology connected to the quark Pauli principle within the context of the SU(3)-formalism as used in the Nijmegen potentials. Next to these items, we will also give the new  $1/M$ -corrections for the axial-meson-pair-exchange potentials, where we restrict ourselves to the spin-spin and tensor contributions. The YN symmetric and anti-symmetric spin-orbit potentials will be described in another paper.

In [6, 7] a detailed description of the basic features of the ESC-models has been given and motivated. Many of these were already present in the Nijmegen soft-core [13] and hard-core [24] OBE-models. We refer the reader to these references for the description and discussion of the items such as: (broken) SU(3)-flavor, charge-symmetry-breaking (CSB) in YN, meson-mixing in the pseudo-scalar-, vector-, scalar- meson SU(3)-nonets, the role of the quark-antiquark pair-creation  ${}^3P_0$ -model for BBM- and BBMP-couplings. Also, in e.g. [7] one finds a recapitulation of the goals of our continued investigation of the baryon-baryon systems.

In the soft-core Nijmegen OBE- and ESC-models the form factors are taken to be of the gaussian-type. In the (non-relativistic) QM's a gaussian behavior of the form factors for ground-state baryons is most natural. The two-particle branchpoints, corresponding to e.g.  $\pi\pi, \pi\rho, K\rho$ -etc., are in the ESC-models accounted for by the MPE-potentials. Gaussian residue functions are used in regge-pole models for two-particle reactions at high-energy and low momentum-transfers.

As pointed out in [6, 7] SU(3)-symmetry and the QPC-model give strong constraints on the coupling parameters. The  ${}^3P_0$ -model also offers the possibility to introduce a scheme for hypercharge breaking a la Gell-Mann-Okubo for the BBM-couplings. In order to keep some more flexibility in distinguishing the NN- and the YN( $S = -1$ )-channels, such a medium-strong breaking was explored in the NSC97 [14] and ESC04 [7]. In the present study we do not apply such a breaking. The results show that a scheme of SU(3) symmetric couplings with only mass breaking can give an excellent description of all BB interactions.

The content of this paper is as follows. In section II we review very briefly the scattering formalism, the Lippmann-Schwinger equation for the T- and V-matrices. Similarly, in section III the NN and  $S = -1$  YN-channels on the isospin and particle basis, and the use of the multi-channel Schrödinger equation is mentioned. The potentials in momentum and configuration space are defined by referring to the description given in [6]. Also SU(3)-breaking is reviewed briefly. In section IV on the OBE-potentials, the additions for ESC16 in comparison with the ESC04-model are described. Here, we give the new

potentials in momentum and configuration space. In section V the SU(3) structure of the MPE-potentials is given and the additions in comparison with the ESC04-model are listed. The latter are the axial  $J^{PC} = 1^{+-}$  ( $\pi\omega$ )-pair potentials, which is the content of Appendix C. In section VI the short-range phenomenology is discussed. We derive the incorporation of the 'exceptional' Pauli-repulsion, which shows up 'exceptionally' large in the SU(3)-irreps  $\{10\}$  and  $\{8_s\}$ .

In section VII the simultaneous  $NN \oplus YN \oplus YY$  fitting procedure is reviewed. In section VIII the results for the coupling constants and  $F/(F + D)$ -ratios for OBE and MPE are given. They are discussed and compared with the predictions of the QPC-model. Here, also the values of the  $BBM$ -couplings are displayed for pseudo-scalar, vector, scalar, and axial-vector mesons.

In section IX the YN-results for ESC16 from the combined  $NN \oplus YN \oplus YY$ -fit are discussed. In section X we discuss the fit to the YN scattering data. In section X, the hypernuclear properties of ESC16 are studied through the G-matrix calculations for YN ( $\Lambda N, \Sigma N, \Xi N$ ) and their partial-wave contributions. Here, the implications of possible three-body effects for the nuclear saturation and baryon well-depths are discussed. Also, the  $\Lambda\Lambda$  interactions in ESC16 are demonstrated to be consistent with the observed data of  ${}^6_{\Lambda\Lambda}\text{He}$ . In section XI we finish by a final discussion, draw some conclusions, and an outlook. In Appendix A the treatment of the broad mesons is reviewed. In Appendix B we display the full SU(3) contents of the MPE-couplings, and in Appendix C for completeness the  $J^{PC} = 1^{+-}$  axial-pair potentials are given. Finally, in Appendix D the anti-symmetric spin-orbit potentials are derived explicitly for strange meson-exchange K and  $K^*$ .

## II. SCATTERING FORMALISM, THE LIPPMANN-SCHWINGER EQUATION, POTENTIALS

In this paper we treat the nucleon-nucleon (NN) and hyperon-nucleon (YN) reactions with strangeness  $S = 0, -1$ . Since in general there are both 'direct' and 'exchange' potentials, the ordering of the baryons in the incoming and outgoing states needs special attention. For keeping this ordering clear, we consider for definiteness the hyperon-nucleon reactions

$$Y(p_1, s_1) + N(p_2, s_2) \rightarrow Y'(p'_1, s'_1) + N'(p'_2, s'_2). \quad (2.1)$$

Like in [13], whose conventions we will follow in this paper, we will also refer to  $Y$  and  $Y'$  as particles 1 and 3 and to  $N$  and  $N'$  as particles 2 and 4. The four momentum of particle  $i$  is  $p_i = (E_i, \mathbf{p}_i)$  where  $E_i = \sqrt{\mathbf{p}_i^2 + M_i^2}$  and  $M_i$  is the mass. The transition amplitude matrix  $M$  is related to the  $S$ -matrix via

$$\langle f|S|i\rangle = \langle f|i\rangle - i(2\pi)^4 \delta^4(P_f - P_i) \langle f|M|i\rangle, \quad (2.2)$$

where  $P_i = p_1 + p_2$  and  $P_f = p'_1 + p'_2$  represent the total four momentum for the initial state  $|i\rangle$  and the final state  $|f\rangle$ . The latter refer to the two-particle states, which we normalize in the following way

$$\langle \mathbf{p}'_1, \mathbf{p}'_2 | \mathbf{p}_1, \mathbf{p}_2 \rangle = (2\pi)^3 2E(\mathbf{p}_1) \delta^3(\mathbf{p}'_1 - \mathbf{p}_1) \cdot \times (2\pi)^3 2E(\mathbf{p}_2) \delta^3(\mathbf{p}'_2 - \mathbf{p}_2) . \quad (2.3)$$

We follow section II of [13] in detail. The transformation to the non-relativistic normalization of the two-particle states leads to states with

$$(\mathbf{p}'_1, s'_1; \mathbf{p}'_2, s'_2 | \mathbf{p}_1, s_1; \mathbf{p}_2, s_2) = (2\pi)^6 \delta^3(\mathbf{p}'_1 - \mathbf{p}_1) \cdot \times \delta^3(\mathbf{p}'_2 - \mathbf{p}_2) \delta_{s'_1, s_1} \delta_{s'_2, s_2} . \quad (2.4)$$

For these states we define the  $T$ -matrix by

$$(f|T|i) = \{4M_{34}(E_3+E_4)\}^{-\frac{1}{2}} \langle f|M|i \rangle \{4M_{12}(E_1+E_2)\}^{-\frac{1}{2}} , \quad (2.5)$$

which satisfies the Lippmann-Schwinger equation [13]

$$(3, 4|T|1, 2) = (3, 4|V|1, 2) + \frac{1}{(2\pi)^3} \sum_n \int d^3 k_n \cdot \times (3, 4|V|n_1, n_2) \frac{2M_{n_1, n_2}}{\mathbf{p}_n^2 - \mathbf{k}_n^2 + i\varepsilon} (n_1, n_2|T|1, 2) , \quad (2.6)$$

and where analogously to Eq. (2.5) the potential  $V$  is defined as

$$(f|V|i) = \{4M_{34}(E_3+E_4)\}^{-\frac{1}{2}} \langle f|W|i \rangle \{4M_{12}(E_1+E_2)\}^{-\frac{1}{2}} . \quad (2.7)$$

Above, we denoted the initial- and final-state CM-momenta by  $\mathbf{p}_i$  and  $\mathbf{p}_f$ . Using rotational invariance and parity conservation we expand the  $T$ -matrix, which is a  $4 \times 4$ -matrix in Pauli-spinor space, into a complete set of Pauli-spinor invariants ([13, 25])

$$T = \sum_{i=1}^8 T_i(\mathbf{p}_f^2, \mathbf{p}_i^2, \mathbf{p}_i \cdot \mathbf{p}_f) P_i . \quad (2.8)$$

Introducing

$$\mathbf{q} = \frac{1}{2}(\mathbf{p}_f + \mathbf{p}_i), \quad \mathbf{k} = \mathbf{p}_f - \mathbf{p}_i, \quad \mathbf{n} = \mathbf{p}_i \times \mathbf{p}_f = \mathbf{q} \times \mathbf{k} \quad (2.9)$$

with, of course,  $\mathbf{n} = \mathbf{q} \times \mathbf{k}$ , we choose for the operators  $P_i$  in spin-space

$$P_1 = 1 , \quad (2.10a)$$

$$P_2 = \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 , \quad (2.10b)$$

$$P_3 = (\boldsymbol{\sigma}_1 \cdot \mathbf{k})(\boldsymbol{\sigma}_2 \cdot \mathbf{k}) - \frac{1}{3}(\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \mathbf{k}^2 , \quad (2.10c)$$

$$P_4 = \frac{i}{2}(\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \cdot \mathbf{n} , \quad (2.10d)$$

$$P_5 = (\boldsymbol{\sigma}_1 \cdot \mathbf{n})(\boldsymbol{\sigma}_2 \cdot \mathbf{n}) , \quad (2.10e)$$

$$P_6 = \frac{i}{2}(\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot \mathbf{n} , \quad (2.10f)$$

$$P_7 = (\boldsymbol{\sigma}_1 \cdot \mathbf{q})(\boldsymbol{\sigma}_2 \cdot \mathbf{k}) + (\boldsymbol{\sigma}_1 \cdot \mathbf{k})(\boldsymbol{\sigma}_2 \cdot \mathbf{q}) \quad (2.10g)$$

$$P_8 = (\boldsymbol{\sigma}_1 \cdot \mathbf{q})(\boldsymbol{\sigma}_2 \cdot \mathbf{k}) - (\boldsymbol{\sigma}_1 \cdot \mathbf{k})(\boldsymbol{\sigma}_2 \cdot \mathbf{q}) . \quad (2.10h)$$

Here we follow [13, 25], except that we have chosen here  $P_3$  to be a purely 'tensor-force' operator.

Similarly to (2.9) the potentials are expanded as

$$V = \sum_{i=1}^6 V_i(\mathbf{k}^2, \mathbf{q}^2) P_i . \quad (2.11)$$

The potentials in configuration space are described in Pauli-spinor space as follows

$$V(r) = V_C(r) + V_\sigma(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + V_T(r) S_{12} + V_{SLS}(r) \cdot \times \mathbf{L} \cdot \mathbf{S}_+ + V_{ALS}(r) \mathbf{L} \cdot \mathbf{S}_- + V_Q(r) Q_{12} , \quad (2.12)$$

where  $\mathbf{S}_\pm = (\boldsymbol{\sigma}_1 \pm \boldsymbol{\sigma}_2)/2$ , and see e.g. [13] for a definition of the operators  $S_{12}$  and  $Q_{12}$ .

### III. CHANNELS, POTENTIALS, AND SU(3) SYMMETRY

#### A. Channels and Potentials

On the physical particle basis, there are three charge NN-channels:

$$q = +2, +1, 0 : \quad pp \rightarrow pp \quad , \quad pn \rightarrow pn \quad , \quad nn \rightarrow nn . \quad (3.1)$$

Similarly, there are four charge YN-channels:

$$\begin{aligned} q = +2 : & \quad \Sigma^+ p \rightarrow \Sigma^+ p , \\ q = +1 : & \quad (\Lambda p, \Sigma^+ n, \Sigma^0 p) \rightarrow (\Lambda p, \Sigma^+ n, \Sigma^0 p) , \\ q = 0 : & \quad (\Lambda n, \Sigma^0 n, \Sigma^- p) \rightarrow (\Lambda n, \Sigma^0 n, \Sigma^- p) , \\ q = -1 : & \quad \Sigma^- n \rightarrow \Sigma^- n . \end{aligned} \quad (3.2)$$

Like in [13, 14], the potentials are calculated on the isospin basis. For  $S = 0$  nucleon-nucleon systems there are two isospin-channels, namely  $I = 1$  and  $I = 0$ . For  $S = -1$  hyperon-nucleon systems there are also two isospin channels: (i)  $I = \frac{1}{2}$  :  $(\Lambda N, \Sigma N \rightarrow \Lambda N, \Sigma N)$ , and (ii)  $I = \frac{3}{2}$  :  $\Sigma N \rightarrow \Sigma N$ .

For the OBE-part of the potentials the treatment of SU(3) for the BBM interaction Lagrangians and the coupling coefficients of the OBE-graphs has been given in detail in previous work of the Nijmegen group, e.g. [13] and [14]. For the TME- and the MPE-parts the calculation of the coupling coefficients has been exposed in our paper on the ESC04-model [7]. There we described the method of an automatic computerized calculation of these coefficients by exploiting the 'cartesian-octet'-representation.

Also in this work we do not solve the Lippmann-Schwinger equation, but the multi-channel Schrödinger equation in configuration space, completely analogous to [13]. The multichannel Schrödinger equation for the configuration-space potential is derived from the Lippmann-Schwinger equation through the standard

Fourier transform, and the equation for the radial wave function is found to be of the form [13]

$$u''_{l,j} + (p_i^2 \delta_{i,j} - A_{i,j})u_{l,j} - B_{i,j}u'_{l,j} = 0, \quad (3.3)$$

where  $A_{i,j}$  contains the potential, nonlocal contributions, and the centrifugal barrier, while  $B_{i,j}$  is only present when non-local contributions are included. The solution in the presence of open and closed channels is given, for example, in Ref. [26]. The inclusion of the Coulomb interaction in the configuration-space equation is well known and included in the evaluation of the scattering matrix.

The momentum space and configuration space potentials for the ESC-models have been described in paper I [6] for baryon-baryon in general. Here, we will only give the new contributions to these potentials, both in momentum and configuration space.

### B. SU(3)-Symmetry and -Breaking, Form Factors

The treatment of the mass differences among the baryons is handled in the same way as for ESC04, which is exactly that of other Nijmegen models [13, 14, 24]. Also, exchange potentials related to strange meson exchange  $K, K^*$  etc. , can be found in these references.

The breaking of SU(3)-symmetry occurs in several places. The physical masses of the baryons and mesons are used. Noticable is the  $SU(2) \subset SU(3)$  breaking due to  $\Lambda - \Sigma^0$ -mixing [27]. This  $\Lambda - \Sigma^0$ -mixing leads also to a non-zero coupling of the  $\Lambda$  to the other  $I = 1$  mesons:  $\rho(760), a_0(980), a_1(1270)$ , as well as to the  $I = 1$  pairs. For the details of these OBE-couplings see e.g. [14], equations (2.15)-(2.17). Like in ESC04, the corresponding so-called CSB-potentials are included in the ESC16-model for OBE, TME, and MPE.

The medium-strong SU(3)-symmetry breaking of the BBM-coupling constants is not tried in ESC16. In the ESC04-model this was considered optional, and regulated by the  ${}^3P_0$ -model by a differentiation between the  $s\bar{s}$ -quark pair and the creation of a non-strange quark-antiquark pair. Of course, we could contemplate about such an option here, but we did not investigate this option.

The baryon mass differences in the intermediate states for TME- and MPE- potentials have been neglected for YN-scattering. This, although possible in principle, becomes rather laborious and is not expected to change the characteristics of the baryon-baryon potentials much.

Also in this work, like ESC04- [6–8] and in the NSC97-models [14], the form factors depend on the SU(3) assignment of the mesons, In principle, we introduce form factor masses, i.e. cut-off's,  $\Lambda_8$  and  $\Lambda_1$  for the  $\{8\}$  and  $\{1\}$  members of each meson nonet, respectively. In the application to YN and YY, we could allow for SU(3)-breaking, by using different cut-offs for the strange mesons  $K, K^*$ , and  $\kappa$ . However, in the ESC16-model we do not exploit this possible breaking, but assign for the strange  $I = 1/2$  mesons the same cut-off as for the  $I = 1$

mesons. Moreover, for the  $I = 0$  mesons we assign the cut-offs as if there were no meson-mixing. For example we assign  $\Lambda_1$  for the dominant singlet mesons  $\eta', \omega, \epsilon$ , and  $\Lambda_8$  for  $\eta, \phi, S^*$ , etc. This means a very slight form of SU(3)-symmetry breaking.

## IV. OBE-POTENTIALS IN ESC16

The OBE-potentials in ESC16 are those contained already in ESC04 [6, 7], and some new additional contributions. The additions to the OBE-potentials w.r.t. the ESC04-models consist of the following elements: (i) extension of the baryon-baryon-meson vertex of the axial-vector mesons ( $J^{PC} = 1^{++}$ ) by adding the derivative coupling, (ii) inclusion of the axial-vector mesons of the 2nd kind, having  $J^{PC} = 1^{+-}$ . In paper I [1] the potentials for non-strange meson exchange have been given. Here, we list the additions and the basic potentials for meson exchange with non-zero strangeness.

### A. Additions to the OBE-Potentials in ESC16

The interaction Hamiltonian densities for the new couplings are

a) Axial-vector-meson exchange ( $J^{PC} = 1^{++}$ , 1<sup>st</sup> kind):

$$\mathcal{H}_A = g_A [\bar{\psi} \gamma_\mu \gamma_5 \psi] \phi_A^\mu + \frac{if_A}{\mathcal{M}} [\bar{\psi} \gamma_5 \psi] \partial_\mu \phi_A^\mu. \quad (4.1)$$

In ESC04 the  $g_A$ -coupling was included, but not the derivative  $f_A$ -coupling.

b) Axial-vector-meson exchange ( $J^{PC} = 1^{+-}$ , 2<sup>nd</sup> kind):

$$\mathcal{H}_B = \frac{if_B}{m_B} [\bar{\psi} \sigma_{\mu\nu} \gamma_5 \psi] \partial_\nu \phi_B^\mu, \quad (4.2)$$

where  $m_B$  is the mass  $b_1(1235)$ . In ESC04 this coupling was not included. Like for the axial-vector mesons of the 1<sup>st</sup>-kind we include a SU(3)-nonet with members  $b_1(1235), h_1(1170), h'_1(1380)$ . In the quark-model they are  $Q\bar{Q}({}^1P_1)$ -states.

The inclusion of the gaussian form factors is discussed in previous papers [13] and reviewed in paper I. For the approximations made in deriving the potentials from the relativistic Born-Approximation we refer also to paper I. Due to these approximations the dependence on  $\mathbf{q}^2$  is linearized and we write

$$V_i(\mathbf{k}^2, \mathbf{q}^2) = V_{ia}(\mathbf{k}^2) + V_{ib}(\mathbf{k}^2) \left( \mathbf{q}^2 + \frac{1}{4}\mathbf{k}^2 \right), \quad (4.3)$$

where  $i = 1 - 8$ . The combination  $(\mathbf{q}^2 + \mathbf{k}^2/4)$  leads to a purely non-local potential. The additional OBE-potentials are obtained in the standard way, see [12, 13].

We write the potential functions  $V_i$  of (2.11) in the form

$$V_i(\mathbf{k}^2, \mathbf{q}^2) = \sum_X \Omega_i^{(X)}(\mathbf{k}^2) \cdot \Delta^{(X)}(\mathbf{k}^2, m^2, \Lambda^2), \quad (4.4)$$

where  $m$  denotes the mass of the meson,  $\Lambda$  the cut-off in the gaussian form factor, and  $X = P, V, S, A, B$ , and  $D$  (P= pseudoscalar, V= vector, S= scalar, A= axial-vector, B= axial-vector, and D = Pomeron/Odderon). For meson-exchange the propagator  $\Delta^{(X)}$ -function is

$$\Delta^{(X)}(\mathbf{k}^2, m^2, \Lambda^2) = e^{-\mathbf{k}^2/\Lambda^2}/(\mathbf{k}^2 + m^2). \quad (4.5)$$

For X=S,A we have included in the propagator a zero by the factor  $(1 - \mathbf{k}^2/U^2)$ , with  $U=750$  MeV [6, 7]. In the case X=D the propagator is replaced by

$$\Delta^{(D)}(\mathbf{k}^2, m^2, \Lambda^2) = \frac{1}{\mathcal{M}^2} e^{-\mathbf{k}^2/4m_D^2}. \quad (4.6)$$

Here,  $\mathcal{M}$  is a universal scaling mass, taken to be the proton mass, which we also use in the derivative couplings above, as well as in the  $f_V$ - and  $f_A$ -coupling of the vector-mesons.

### B. Meson-exchange with Non-zero Strangeness ( $\Delta Y \neq 0$ )

For the non-strange mesons the mass differences at the vertices are neglected, we take at the  $YYM$ - and the  $NNM$ -vertex the average hyperon and the average nucleon mass respectively. This implies that we do not include contributions to the Pauli-invariants  $P_7$  and  $P_8$ . These exchanges lead to the so-called 'exchange-potentials'. For the invariants  $O_1, \dots, O_6$ , the expressions analogous to those for the non-strange mesons given above apply. This with the amendments that (i) in momentum and configuration space there is a complete symmetric appearance of  $M_Y$  and  $M_N$ , (ii) in configuration space there appears the baryon-exchange operator  $\mathcal{P} = -\mathcal{P}_x \mathcal{P}_\sigma$  operator, and (iii) for the antisymmetric spin-orbit potential  $\mathcal{P} \rightarrow \mathcal{P}_x$ . The details are given in Appendix D. Therefore, the  $\Omega_i^{(X)}$  for these potentials can be obtained from those given in paper I Eqs. (4.14)-(4.18), by replacing both  $M_Y$  and  $M_N$  by  $(M_Y M_N)^{1/2}$ . Furthermore, in the case of the vector and axial-B mesons the Proca-formalism [28] is used, which gives for the vector mesons non-negligible contributions from the second part of the vector-meson propagator ( $k_\mu k_\nu/m^2$ ) of the  $K^*$  meson:

$$-V_i^{K^*} = V_i^{(V)} - \frac{(M_3 - M_1)(M_4 - M_2)}{m^2} V_i^{(S)}, \quad (4.7)$$

where in  $V_i^{(S)}$  the vector-meson-couplings have to be used, and  $M_Y$  and  $M_N$  must be replaced by  $(M_Y M_N)^{1/2}$ . In Eq. (4.7)  $M_1 = M_4 = M_Y$  and  $M_2 = M_3 = M_N$ . For the axial-A mesons we use the B-field formalism, see paper I Appendix A, and there is no second-term in the propagator.

For the mesons with non-zero strangeness,  $K, K^*, \kappa, K_A$  and  $K_B$ , the mass differences at the vertices are not neglected, we take into account at the  $YNM$ -vertices the differences between the average hyperon and the average nucleon mass. This implies that we do include contributions to the Pauli-invariants  $P_8$ . There do not occur contributions to  $P_7$ . Furthermore, mass differences in the YN-propagation are included via a meson mass corrections in the strange-meson propagators.

(a) Pseudoscalar K-meson exchange:

$$\Omega_{2a}^{(P)} = -f_{13}^P f_{24}^P \left( \frac{\mathbf{k}^2}{3m_{\pi^+}^2} \right), \quad \Omega_{3a}^{(P)} = -f_{13}^P f_{24}^P \left( \frac{1}{m_{\pi^+}^2} \right), \quad (4.8a)$$

$$\Omega_{2b}^{(P)} = +f_{13}^P f_{24}^P \left( \frac{\mathbf{k}^2}{6m_{\pi^+}^2} \right), \quad \Omega_{3b}^{(P)} = +f_{13}^P f_{24}^P \left( \frac{1}{2m_{\pi^+}^2 M_Y M_N} \right). \quad (4.8b)$$

(b) Vector-meson  $K^*$ -exchange:

$$\begin{aligned}
\Omega_{1a}^{(V)} &= \left\{ g_{13}^V g_{24}^V \left( 1 - \frac{\mathbf{k}^2}{2M_Y M_N} \right) - (g_{13}^V f_{24}^V + f_{13}^V g_{24}^V) \frac{\mathbf{k}^2}{4M\sqrt{M_Y M_N}} \right. \\
&\quad \left. + f_{13}^V f_{24}^V \frac{\mathbf{k}^4}{16M^2 M_Y M_N} \right\}, \quad \Omega_{1b}^{(V)} = g_{13}^V g_{24}^V \left( \frac{3}{2M_Y M_N} \right), \\
\Omega_{2a}^{(V)} &= -\frac{2}{3}\mathbf{k}^2 \Omega_{3a}^{(V)}, \quad \Omega_{2b}^{(V)} = -\frac{2}{3}\mathbf{k}^2 \Omega_{3b}^{(V)} \\
\Omega_{3a}^{(V)} &= \left\{ (g_{13}^V g_{24}^V + (g_{13}^V f_{24}^V + g_{24}^V f_{13}^V) \frac{\sqrt{M_Y M_N}}{\mathcal{M}}) - f_{13}^V f_{24}^V \frac{\mathbf{k}^2}{8M^2} \right\} / (4M_Y M_N) \\
\Omega_{3b}^{(V)} &= -\left( g_{13}^V + f_{13}^V \frac{M_Y}{\mathcal{M}} \right) \left( g_{24}^V + f_{24}^V \frac{M_N}{\mathcal{M}} \right) / (8M_Y^2 M_N^2), \\
\Omega_4^{(V)} &= -\left\{ 12g_{13}^V g_{24}^V + 8(g_{13}^V f_{24}^V + f_{13}^V g_{24}^V) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} - f_{13}^V f_{24}^V \frac{3\mathbf{k}^2}{M^2} \right\} / (8M_Y M_N) \\
\Omega_5^{(V)} &= -\left\{ g_{13}^V g_{24}^V + 4(g_{13}^V f_{24}^V + f_{13}^V g_{24}^V) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} + 8f_{13}^V f_{24}^V \frac{M_Y M_N}{M^2} \right\} / (16M_Y^2 M_N^2) \\
\Omega_6^{(V)} &= -\left\{ (g_{13}^V f_{24}^V - f_{13}^V g_{24}^V) \frac{1}{\sqrt{M^2 M_Y M_N}} \right\}. \tag{4.9}
\end{aligned}$$

(c) Scalar-meson  $\kappa$ -exchange:

$$\begin{aligned}
\Omega_{1a}^{(S)} &= -g_{13}^S g_{24}^S \left( 1 + \frac{\mathbf{k}^2}{4M_Y M_N} \right), \quad \Omega_{1b}^{(S)} = +g_{13}^S g_{24}^S \frac{\mathbf{k}^2}{2M_Y M_N}, \\
\Omega_4^{(S)} &= -g_{13}^S g_{24}^S \frac{1}{2M_Y M_N}, \quad \Omega_5^{(S)} = g_{13}^S g_{24}^S \frac{1}{16M_Y^2 M_N^2}, \quad \Omega_6^{(S)} = 0. \tag{4.10}
\end{aligned}$$

(d) Axial-vector  $K_{1A}$ -exchange  $J^{PC} = 1^{++}$ :

$$\begin{aligned}
\Omega_2^{(A)} &= -g_{13}^A g_{24}^A \left[ 1 - \frac{2\mathbf{k}^2}{3M_Y M_N} \right] + \left[ \left( g_{13}^A f_{24}^A + f_{13}^A g_{24}^A \right) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} - f_{13}^A f_{24}^A \frac{\mathbf{k}^2}{2M^2} \right] \frac{\mathbf{k}^2}{6M_Y M_N} \\
\Omega_{2b}^{(A)} &= -g_{13}^A g_{24}^A \left( \frac{3}{2M_Y M_N} \right) \\
\Omega_3^{(A)} &= -g_{13}^A g_{24}^A \left[ \frac{1}{4M_Y M_N} \right] + \left[ \left( g_{13}^A f_{24}^A + f_{13}^A g_{24}^A \right) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} - f_{13}^A f_{24}^A \frac{\mathbf{k}^2}{2M^2} \right] \frac{1}{2M_Y M_N} \\
\Omega_4^{(A)} &= -g_{13}^A g_{24}^A \left[ \frac{1}{2M_Y M_N} \right], \quad \Omega_5^{(A)'} = -g_{13}^A g_{24}^A \left[ \frac{2}{M_Y M_N} \right], \quad \Omega_6^{(A)} = 0. \tag{4.11}
\end{aligned}$$

Here, we used the B-field description with  $\alpha_r = 1$ , see paper I, Appendix A. The detailed treatment of the potential proportional to  $P_5'$ , i.e. with  $\Omega_5^{(A)'}$ , is given in paper I, Appendix B.

(e) Axial-vector  $K_{1B}$ -exchange  $J^{PC} = 1^{+-}$ :

$$\begin{aligned}
\Omega_{2a}^{(B)} &= +f_{13}^B f_{24}^B \frac{4M_N M_Y}{m_B^2} \left( 1 - \frac{\mathbf{k}^2}{4M_Y M_N} \right) \left( \frac{\mathbf{k}^2}{12M_Y M_N} \right), \quad \Omega_{2b}^{(B)} = +f_{13}^B f_{24}^B \frac{4M_N M_Y}{m_B^2} \left( \frac{\mathbf{k}^2}{8M_Y^2 M_N^2} \right) \\
\Omega_{3a}^{(B)} &= +f_{13}^B f_{24}^B \frac{4M_N M_Y}{m_B^2} \left( 1 - \frac{\mathbf{k}^2}{4M_Y M_N} \right) \left( \frac{1}{4M_Y M_N} \right), \quad \Omega_{3b}^{(B)} = +f_{13}^B f_{24}^B \frac{4M_N M_Y}{m_B^2} \left( \frac{3}{8M_Y^2 M_N^2} \right), \tag{4.12}
\end{aligned}$$

As in Ref. [13] in the derivation of the expressions for  $\Omega_i^{(X)}$ , given above,  $M_Y$  and  $M_N$  denote the mean hyperon and nucleon mass, respectively  $M_Y = (M_1 + M_3)/2$  and  $M_N = (M_2 + M_4)/2$ , and  $m$  denotes the mass of the exchanged meson. Moreover, the approximation  $1/M_N^2 + 1/M_Y^2 \approx 2/(M_N M_Y)$ , is used, which is rather good since the mass differences between the baryons are not large.

### C. One-Boson-Exchange Interactions in Configuration Space I

In configuration space the BB-interactions are described by potentials of the general form

$$V = \left\{ V_C(r) + V_\sigma(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + V_T(r) S_{12} + V_{SO}(r) \mathbf{L} \cdot \mathbf{S} + V_Q(r) Q_{12} + V_{ASO}(r) \frac{1}{2} (\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot \mathbf{L} - \frac{1}{2M_Y M_N} \left( \nabla^2 V^{n.l.}(r) + V^{n.l.}(r) \nabla^2 \right) \right\} \cdot \mathcal{P}, \quad (4.13a)$$

$$V^{n.l.} = \left\{ \varphi_C(r) + \varphi_\sigma(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + \varphi_T(r) S_{12} \right\}, \quad (4.13b)$$

where

$$S_{12} = 3(\boldsymbol{\sigma}_1 \cdot \hat{r})(\boldsymbol{\sigma}_2 \cdot \hat{r}) - (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2), \quad (4.14a)$$

$$Q_{12} = \frac{1}{2} \left[ (\boldsymbol{\sigma}_1 \cdot \mathbf{L})(\boldsymbol{\sigma}_2 \cdot \mathbf{L}) + (\boldsymbol{\sigma}_2 \cdot \mathbf{L})(\boldsymbol{\sigma}_1 \cdot \mathbf{L}) \right]. \quad (4.14b)$$

For the basic functions for the Fourier transforms with gaussian form factors, we refer to Refs. [12, 13]. For the details of the Fourier transform for the potentials with  $P_5^1$ , which occur in the case of the axial-vector mesons with  $J^{PC} = 1^{++}$ , we refer to paper I, Appendix B.

(a) Pseudoscalar-meson K-exchange:

$$V_{PS}(r) = \frac{m}{4\pi} \left[ f_{13}^P f_{24}^P \left( \frac{m^2}{m_{\pi^+}^2} \right) \left( \frac{1}{3} (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \phi_C^1 + S_{12} \phi_T^0 \right) \right] \mathcal{P}, \quad (4.15a)$$

$$V_{PS}^{n.l.}(r) = -\frac{m}{4\pi} \left[ f_{13}^P f_{24}^P \left( \frac{m^2}{2m_{\pi^+}^2} \right) \left( \frac{1}{3} (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \phi_C^1 + S_{12} \phi_T^0 \right) \right] \mathcal{P}. \quad (4.15b)$$

(b) Vector-meson  $K^*$ -exchange:

$$\begin{aligned} V_V(r) = & \frac{m}{4\pi} \left\{ g_{13}^V g_{24}^V \left[ \phi_C^0 + \frac{m^2}{2M_Y M_N} \phi_C^1 \right] + (g_{13}^V f_{24}^V + f_{13}^V g_{24}^V) \frac{m^2}{4\mathcal{M} \sqrt{M_Y M_N}} \phi_C^1 + f_{13}^V f_{24}^V \frac{m^4}{16\mathcal{M}^2 M_Y M_N} \phi_C^2 \right\} \\ & + \frac{m^2}{6M_Y M_N} \left\{ \left[ g_{13}^V g_{24}^V + (g_{13}^V f_{13}^V + g_{24}^V f_{13}^V) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} + f_{13}^V f_{24}^V \frac{M_Y M_N}{\mathcal{M}^2} \right] \phi_C^1 + f_{13}^V f_{24}^V \frac{m^2}{8\mathcal{M}^2} \phi_C^2 \right\} (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \\ & - \frac{m^2}{4M_Y M_N} \left\{ \left[ g_{13}^V g_{24}^V + (g_{13}^V f_{24}^V + g_{24}^V f_{13}^V) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} \right] \phi_T^0 + f_{13}^V f_{24}^V \frac{m^2}{8\mathcal{M}^2} \phi_T^1 \right\} S_{12} \\ & - \frac{m^2}{M_Y M_N} \left\{ \left[ \frac{3}{2} g_{13}^V g_{24}^V + (g_{13}^V f_{24}^V + f_{13}^V g_{24}^V) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} \right] \phi_{SO}^0 + \frac{3}{8} f_{13}^V f_{24}^V \frac{m^2}{\mathcal{M}^2} \phi_{SO}^1 \right\} \mathbf{L} \cdot \mathbf{S} \\ & + \frac{m^4}{16M_Y^2 M_N^2} \left\{ \left[ g_{13}^V g_{24}^V + 4(g_{13}^V f_{24}^V + f_{13}^V g_{24}^V) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} + 8f_{13}^V f_{24}^V \frac{M_Y M_N}{\mathcal{M}^2} \right] \right\} \\ & \times \frac{3}{(mr)^2} \phi_T^0 Q_{12} + \frac{m^2}{M_Y M_N} \left\{ (g_{13}^V f_{24}^V - f_{13}^V g_{24}^V) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} \phi_{SO}^0 \right\} \cdot \frac{1}{2} (\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot \mathbf{L} \mathcal{P}_\sigma \right\} \mathcal{P}, \quad (4.16a) \end{aligned}$$

$$\begin{aligned} V_V^{n.l.}(r) = & \frac{m}{4\pi} \left[ \frac{3}{2} g_{13}^V g_{24}^V \phi_C^0 + \frac{m^2}{6M_Y M_N} \left\{ \left[ \left( g_{13}^V + f_{13}^V \frac{\sqrt{M_Y M_N}}{\mathcal{M}} \right) \left( g_{24}^V + f_{24}^V \frac{\sqrt{M_Y M_N}}{\mathcal{M}} \right) \right] \phi_C^1 \right\} (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \right. \\ & \left. - \frac{m^2}{4M_Y M_N} \left\{ \left[ \left( g_{13}^V + f_{13}^V \frac{\sqrt{M_Y M_N}}{\mathcal{M}} \right) \left( g_{24}^V + f_{24}^V \frac{\sqrt{M_Y M_N}}{\mathcal{M}} \right) \right] \phi_T^0 \right\} S_{12} \right]. \quad (4.16b) \end{aligned}$$

(c) Scalar-meson  $\kappa$ -exchange:

$$V_S(r) = -\frac{m}{4\pi} \left[ g_{13}^S g_{24}^S \left\{ \left[ \phi_C^0 - \frac{m^2}{4M_Y M_N} \phi_C^1 \right] + \frac{m^2}{2M_Y M_N} \phi_{SO}^0 \mathbf{L} \cdot \mathbf{S} + \frac{m^4}{16M_Y^2 M_N^2} \frac{3}{(mr)^2} \phi_T^0 Q_{12} \right\} \right] \mathcal{P}, \quad (4.17a)$$

$$V_S^{n.l.}(r) = \frac{m}{4\pi} \left[ \frac{1}{2} g_{13}^S g_{24}^S \phi_C^0 \right] \mathcal{P}. \quad (4.17b)$$



(d) Axial-vector  $K_{1A}$ -meson exchange  $J^{PC} = 1^{++}$ :

$$\begin{aligned}
V_A(r) = & -\frac{m}{4\pi} \left[ \left\{ g_{13}^A g_{24}^A \left( \phi_C^0 + \frac{2m^2}{3M_Y M_N} \phi_C^1 \right) + \frac{m^2}{6M_Y M_N} (g_{13}^A f_{24}^A + f_{13}^A g_{24}^A) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} \phi_C^1 \right. \right. \\
& \left. \left. + f_{13}^A f_{24}^A \frac{m^4}{12M_Y M_N \mathcal{M}^2} \phi_C^2 \right\} (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \right. \\
& \left. - \frac{m^2}{4M_Y M_N} \left\{ \left[ g_{13}^A g_{24}^A - 2(g_{13}^A f_{24}^A + f_{13}^A g_{24}^A) \frac{\sqrt{M_Y M_N}}{\mathcal{M}} \right] \phi_T^0 - f_{13}^A f_{24}^A \frac{m^2}{2\mathcal{M}^2} \phi_T^1 \right\} S_{12} \right. \\
& \left. + \frac{m^2}{2M_Y M_N} g_{13}^A g_{24}^A \phi_{SO}^0 \mathbf{L} \cdot \mathbf{S} \right] \mathcal{P}, \tag{4.18a}
\end{aligned}$$

$$V_A^{n.l.}(r) = -\frac{m}{4\pi} \left[ \frac{3}{2} g_{13}^A g_{24}^A \phi_C^0 (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \right] \mathcal{P}. \tag{4.18b}$$

(e) Axial-vector  $K_{1B}$ -meson exchange  $J^{PC} = 1^{+-}$ :

$$\begin{aligned}
V_B(r) = & -\frac{m}{4\pi} \frac{4M_N M_Y}{m^2} \left[ f_{13}^B f_{24}^B \left\{ \frac{m^2}{12M_Y M_N} \left( \phi_C^1 + \frac{m^2}{4M_Y M_N} \phi_C^2 \right) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \right. \right. \\
& \left. \left. + \frac{m^2}{4M_Y M_N} \left( \phi_T^0 + \frac{m^2}{4M_Y M_N} \phi_T^1 \right) S_{12} \right\} \right] \mathcal{P}, \tag{4.19}
\end{aligned}$$

$$V_B^{n.l.}(r) = -\frac{m}{4\pi} \frac{3M_N M_Y}{2m^2} \left[ f_{13}^B f_{24}^B \left( \frac{1}{3} (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \phi_C^1 + S_{12} \phi_T^0 \right) \right] \mathcal{P}. \tag{4.20}$$

(f) Diffractive-exchange: Since in the ESC16-model the diffractive Pomeron and Odderon exchanges are SU(3) singlets there are no contribution to  $S \neq 0$ -exchange potentials.

Above, in Eq.'s (4.15-4.20) the exchange operator is defined as

$$\mathcal{P} = -\mathcal{P}_x \mathcal{P}_\sigma, \tag{4.21}$$

where  $\mathcal{P}_x$  and  $\mathcal{P}_\sigma$  are the space- and spin-exchange operators respectively. The extra  $(-\mathcal{P}_\sigma)$ -operator in (4.16) for the antisymmetric spin-orbit potential is explained in Appendix D. We note that  $-\mathcal{P}_\sigma \mathcal{P} = \mathcal{P}_x$ , which is well defined for the coupled singlet-triplet systems.

#### D. One-Boson-Exchange Interactions in Configuration Space II

Here we give the extra potentials due to the zero's in the scalar and axial-A vector form factors:

a) Scalar-mesons:

$$\begin{aligned}
\Delta V_S(r) = & -\frac{m}{4\pi} \frac{m^2}{U^2} \left[ g_{13}^S g_{24}^S \left\{ \left[ \phi_C^1 - \frac{m^2}{4M_Y M_N} \phi_C^2 \right] \right. \right. \\
& \left. \left. + \frac{m^2}{2M_Y M_N} \phi_{SO}^1 \mathbf{L} \cdot \mathbf{S} + \frac{m^4}{16M_Y^2 M_N^2} \phi_T^1 Q_{12} \right\} \right] \mathcal{P}. \tag{4.22}
\end{aligned}$$

b) Axial-mesons: The extra contribution to the potentials coming from the zero in the axial-vector meson form factor are obtained from the expression (4.11) by making substitutions as follows

$$\Delta V_A^{(1)}(r) = V_A^{(1)}(\phi_C^0 \rightarrow \phi_C^1, \phi_T^0 \rightarrow \phi_T^1, \phi_{SO}^0 \rightarrow \phi_{SO}^1) \cdot \frac{m^2}{U^2}. \tag{4.23}$$

Note that we do not include the similar  $\Delta V_A^{(2)}(r)$  since they involve  $\mathbf{k}^4$ -terms in momentum-space. Then,

$$\begin{aligned}
V_A^{(1)}(r) = & -\frac{g_{13}^A g_{24}^A}{4\pi} m \left[ \phi_C^0 (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) - \frac{1}{12M_Y M_N} (\nabla^2 \phi_C^0 + \phi_C^0 \nabla^2) (\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) \right. \\
& \left. + \frac{3m^2}{4M_Y M_N} \phi_T^0 S_{12} + \frac{m^2}{2M_Y M_N} \phi_{SO}^0 \mathbf{L} \cdot \mathbf{S} \right. \\
& \left. + \frac{m^2}{4M_Y M_N} \frac{M_N^2 - M_Y^2}{M_Y M_N} \phi_{SO}^{(0)} \cdot \frac{1}{2} (\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot \mathbf{L} \right] \mathcal{P}. \tag{4.24}
\end{aligned}$$

### E. PS-PS-exchange Interactions in Configuration Space

In Fig.'s 2 and 3 of paper I, the included two-meson exchange graphs are shown schematically. Explicit expressions for  $K^{irr}(BW)$  and  $K^{irr}(TMO)$  were derived [29], where also the terminology BW and TMO is explained. The TPS-potentials for nucleon-nucleon have been given in detail in [30]. The generalization to baryon-baryon is similar to that for the OBE-potentials. So, we substitute  $M \rightarrow \sqrt{M_Y M_N}$ , and include all PS-PS possibilities with coupling constants as in the OBE-potentials. As compared to nucleon-nucleon in [30] we have here in addition the potentials with double K-exchange. The masses are the physical pseudo-scalar meson masses. For the intermediate two-baryon states we take into account the effects of the different thresholds. We have not included uncorrelated PS-vector, PS-scalar, or PS-diffractive exchange. This because the range of these potentials is similar to that of the vector-, scalar-, and axial-vector-potentials. Moreover, for potentially large potentials, in particularly those with scalar mesons involved, there will be very strong cancellations between the planar- and crossed-box contributions.

### F. MPE-exchange Interactions

In Fig. 4 of paper I the pair graphs are shown. In this work we include only the one-pair graphs. The argument for neglecting the two-pair graph is to avoid some 'double-counting'. Viewing the pair-vertex as containing heavy-meson exchange means that the contributions from  $\rho(760)$  and  $\epsilon = f_0(620)$  to the two-pair graphs is already accounted for by our treatment of the broad  $\rho$  and  $\epsilon$  OBE-potential. The MPE-potentials for nucleon-nucleon have been given in Ref. [30]. The generalization to baryon-baryon is similar to that for the TPS-potentials. For the intermediate two-baryon states we neglect the effects of the different two-baryon thresholds. The inclusion of these, although in principle possible, would complicate the computation of the potentials considerably and the influence is not expected to be significant. The generalization of the pair-couplings to baryon-baryon is described in Ref. [7], section III. Also here in  $YN$ , we have in addition to [30] included the pair-potentials with  $KK$ -,  $KK^*$ -, and  $K\kappa$ -exchange. The convention for the MPE coupling constants is the same as in Ref. [30].

### G. Meson-Pair Potentials, Axial-Pairs ( $2^{nd}$ -kind, $J^{PC} = 1^{+-}$ )

Recently we have completed the  $1/M, 1/M^2$ -corrections to the adiabatic approximation for the pair-potentials. The main reason is the need for a careful evaluation of the anti-symmetric spin-orbit terms

for  $\Lambda N$ , in particular for pair-interactions involving strangeness-exchange like  $\pi - K, \pi - K^*$  etc. From this evaluation new contributions emerged, in particular for the axial pair-interactions  $J^{PC} = 1^{++}, 1^{+-}$ , leading to a substantial improvement w.r.t. experimental spin-orbit splittings [16]. In our fitting procedure for the  $YN$ -data the spin-orbit plays no role. However, also new  $1/M$ -corrections for the spin-spin and tensor potentials were obtained for the axial-pair interaction of the 2nd kind, i.e.  $J^{PC} = 1^{+-}$ . These are relevant for the fits presented in this paper, and will be given in this section. Below we give the full one-pair exchange potential as used at present, because it has not been published before. In the ESC04-models only the leading, i.e. the  $(1/M)^0$ -terms, were used. For the derivation of the soft-core pair-interactions we refer the reader to [30]. Below we report on this derivation for the axial-pair terms of the 2nd kind. The used pair-interaction Hamiltonian for e.g. the  $(\pi\omega)$ -pair is

$$\mathcal{H}_B = g_{(\pi\omega)} \bar{\psi} \gamma_5 \sigma_{\mu\nu} \tau \psi \cdot \partial^\nu (\pi \phi_\omega^\mu) / (m_\pi \mathcal{M}), \quad (4.25)$$

which gives the  $BBm_1m_2$ -vertex

$$\bar{u}(\mathbf{p}') \Gamma_B^{(2)} u(\mathbf{p}) = i \frac{g_{(\pi\omega)1}}{m_\pi \mathcal{M}} \left[ (\pm\omega_1 \pm \omega_2) \boldsymbol{\sigma} \cdot \boldsymbol{\omega} + \boldsymbol{\sigma} \cdot \mathbf{k} \omega^0 \right]. \quad (4.26)$$

The full SU(3)-structure is given in [7], section IIIA. It is assumed that this pair-coupling is dominated by the SU(3)-octet symmetric coupling, and is given by the SU(3)-octet symmetric couplings Hamiltonian in terms of SU(2)-isospin invariants and SU(3) isoscalar-factors:

$$\begin{aligned} \mathcal{H}_{B_sVP} = & \frac{g_{B_sVP}}{\sqrt{6}} \left\{ \frac{1}{2} [(\mathbf{B}_1^\mu \cdot \boldsymbol{\rho}_\mu) \eta_8 + (\mathbf{B}_1^\mu \cdot \boldsymbol{\pi}_\mu) \phi_8] \right. \\ & + \frac{\sqrt{3}}{4} [\mathbf{B}_1 \cdot (K^{*\dagger} \boldsymbol{\tau} K) + h.c.] \\ & + \frac{\sqrt{3}}{4} [(K_1^\dagger \boldsymbol{\tau} K^*) \cdot \boldsymbol{\pi} + (K_1^\dagger \boldsymbol{\tau} K) \cdot \boldsymbol{\rho} + h.c.] \\ & - \frac{1}{4} [(K_1^\dagger \cdot K^*) \eta_8 + (K_1^\dagger \cdot K) \phi_8 + h.c.] \\ & \left. + \frac{1}{2} H^0 \left[ \boldsymbol{\rho} \cdot \boldsymbol{\pi} - \frac{1}{2} (K^{*\dagger} \cdot K + K^\dagger \cdot K^*) - \phi_8 \eta_8 \right] \right\} \quad (4.27) \end{aligned}$$

Here,  $\mathbf{B}_1 \sim [\bar{\psi} \gamma_5 \boldsymbol{\tau} \sigma_{\mu\nu} \psi]$  etc. See for a definition of the octet-fields  $\eta_8, \phi_8$  in terms of the physical mesons [7]. From the pair-interaction Hamiltonian (4.27) one can easily read off the different meson-pairs that occur from the  $J^{PC} = 1^{+-}$ -vertex. In Appendix C we give the explicit potentials generated by the pair-interaction (4.27).

### H. Treatment Meson Widths

The treatment of the broad mesons  $\rho$  and  $\epsilon$  is as usual in the Nijmegen models. For the  $\rho$ -meson the same

parameters are used in the OBE-models [12, 13]. (In Appendix A the procedure of the incorporation of the large widths is reviewed.) For the  $\epsilon = f_0(620)$  assuming  $m_\epsilon = 620$  MeV and  $\Gamma_\epsilon = 464$  MeV we use the Bryan-Gersten "dipole" parametrization [31], corresponding to the pole  $E(\epsilon) = (552 - i 232)$  MeV [32]. For the choosen mass and width they are:  $m_1 = 455.15919$  MeV,  $m_2 = 1158.56219$  MeV, and  $\beta_1 = 0.28193, \beta_2 = 0.71807$ . New is the treatment of the scalar  $\kappa(861)$  as a broad meson. From  $m_{a_0}(962), m_\epsilon(620), m_{S^*}(993)$ , and the scalar mixing  $\theta_S(44^\circ)$  the GMO-scheme [33] gives  $m_\kappa = 861$ . With  $\Gamma_\kappa = 450$  MeV good results for YN are obtained. These values for the mass and width correspond closely to the pole  $E(\kappa) = (826 - i 449)$  MeV found in the analysis of Ref. [34].

## V. SHORT-RANGE PHENOMENOLOGY

It is well known that the most extensive study of the baryon-baryon interactions using meson-exchange has difficulties to achieve sufficiently repulsive short-range interactions in two channels. Namely, (i) the  $\Sigma^+p(I = 3/2, {}^3S_1)$ - and (ii) the  $\Sigma N(I = 1/2, {}^1S_0)$ -channel. The short-range repulsion in baryon-baryon comes in principle from two sources: (a) meson- and multi-gluon-exchange, and (b) the occurrence of forbidden states by the Pauli-principle, henceforth referred to as Pauli-repulsion or Quark-core. As for (a) in the ESC-model [6, 7] the short-range repulsion comes from vector-meson exchange and Pomeron/Odderon-exchange (i.e. multi-gluon). The possibility of mechanism (b) has been explored in the Quark-Cluster model. See the reviews [19, 20].

Analyzing the Pauli-repulsion in terms of the SU(3,F)-irreps we find that the "forbidden"  $L = 0$  BB-states, which are classified in SU(6,FS) by the [51]-irrep, indeed occur dominantly in the SU(3,F)-irreps  $\{10\}$  and  $\{8_s\}$ . These SU(3)-irreps dominate the  $\Sigma^+p(I = 3/2, {}^3S_1)$ - and the  $\Sigma N(I = 1/2, {}^1S_0)$ -states respectively. These facts open the possibility to incorporate the exceptionally strong Pauli-repulsion for these states by enhancing the Pomeron coupling in the ESC-approach to baryon-baryon. For the other BB-states the [51]-irrep is present also, but roughly with an equal weight as the [33]-irrep. Only in a few  $S=-2$  channels, e.g.  $\Xi N(I = 1, S = 0)$  there is a stronger presence of the irrep [51]. Therefore a slightly moderated SU(3,F)-singlet Pomeron-exchange can effectively take care of this Quark-core phenomenologically, together with multi-gluon-exchange effects.

### A. Relation SU(3,F)-irreps and SU(6,FS)-irreps Classification YN-states

In Table I the SU(3,F)-contents of the NN and YN states are shown. In Table II we show the the weights of the SU(6,FS)-irreps. These are taken from [19] Table I,

TABLE I: SU(3,F)-contents of the various potentials on the isospin basis.

Space-spin antisymmetric states ${}^1S_0, {}^3P, {}^1D_2, \dots$	
$NN \rightarrow NN$	$I = 1 \quad V_{NN}(I = 1) = V_{27}$
$\Lambda N \rightarrow \Lambda N$	$V_{\Lambda\Lambda}(I = \frac{1}{2}) = (9V_{27} + V_{8_s})/10$
$\Lambda N \rightarrow \Sigma N$	$I = \frac{1}{2} \quad V_{\Lambda\Sigma}(I = \frac{1}{2}) = (-3V_{27} + 3V_{8_s})/10$
$\Sigma N \rightarrow \Sigma N$	$V_{\Sigma\Sigma}(I = \frac{1}{2}) = (V_{27} + 9V_{8_s})/10$
$\Sigma N \rightarrow \Sigma N$	$I = \frac{3}{2} \quad V_{\Sigma\Sigma}(I = \frac{3}{2}) = V_{27}$
Space-spin symmetric states ${}^3S_1, {}^1P_1, {}^3D, \dots$	
$NN \rightarrow NN$	$I = 0 \quad V_{NN}(I = 0) = V_{10^*}$
$\Lambda N \rightarrow \Lambda N$	$V_{\Lambda\Lambda}(I = \frac{1}{2}) = (V_{10^*} + V_{8_a})/2$
$\Lambda N \rightarrow \Sigma N$	$I = \frac{1}{2} \quad V_{\Lambda\Sigma}(I = \frac{1}{2}) = (V_{10^*} - V_{8_a})/2$
$\Sigma N \rightarrow \Sigma N$	$V_{\Sigma\Sigma}(I = \frac{1}{2}) = (V_{10^*} + V_{8_a})/2$
$\Sigma N \rightarrow \Sigma N$	$I = \frac{3}{2} \quad V_{\Sigma\Sigma}(I = \frac{3}{2}) = V_{10}$

TABLE II: SU(6,FS)-contents of the various potentials on the spin, isospin basis.

$(S, I)$	$V = aV_{[51]} + bV_{[33]}$
$NN \rightarrow NN \quad (0, 1)$	$V_{NN} = \frac{4}{9}V_{[51]} + \frac{5}{9}V_{[33]}$
$NN \rightarrow NN \quad (1, 0)$	$V_{NN} = \frac{4}{9}V_{[51]} + \frac{5}{9}V_{[33]}$
$\Lambda N \rightarrow \Lambda N \quad (0, 1/2)$	$V_{\Lambda\Lambda} = \frac{1}{2}V_{[51]} + \frac{1}{2}V_{[33]}$
$\Lambda N \rightarrow \Lambda N \quad (1, 1/2)$	$V_{\Lambda\Lambda} = \frac{1}{2}V_{[51]} + \frac{1}{2}V_{[33]}$
$\Sigma N \rightarrow \Sigma N \quad (0, 1/2)$	$V_{\Sigma\Sigma} = \frac{17}{18}V_{[51]} + \frac{1}{18}V_{[33]}$
$\Sigma N \rightarrow \Sigma N \quad (1, 1/2)$	$V_{\Sigma\Sigma} = \frac{1}{2}V_{[51]} + \frac{1}{2}V_{[33]}$
$\Sigma N \rightarrow \Sigma N \quad (0, 3/2)$	$V_{\Sigma\Sigma} = \frac{4}{9}V_{[51]} + \frac{5}{9}V_{[33]}$
$\Sigma N \rightarrow \Sigma N \quad (1, 3/2)$	$V_{\Sigma\Sigma} = \frac{8}{9}V_{[51]} + \frac{1}{9}V_{[33]}$

where the SU(6,FS)-classifications are given. Analyzing now the  $(\Lambda N, \Sigma N)$ -system for  $(S = 0, I = 1/2)$  we find from these tables

$$\begin{aligned} \begin{pmatrix} V_{\Lambda N, \Lambda N} \\ V_{\Sigma N, \Sigma N} \end{pmatrix} &= \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{17}{18} & \frac{1}{18} \end{pmatrix} \begin{pmatrix} V_{[51]} \\ V_{[33]} \end{pmatrix} \\ &= \begin{pmatrix} \frac{9}{10} & \frac{1}{10} \\ \frac{1}{10} & \frac{9}{10} \end{pmatrix} \begin{pmatrix} V_{\{27\}} \\ V_{\{8_s\}} \end{pmatrix}. \end{aligned} \quad (5.1)$$

1. From (5.1) we obtain by simple matrix operations the relation between the SU(6,FS)-irreps and the SU(3,F)-irreps, which read

$$\begin{pmatrix} V_{\{27\}} \\ V_{\{8_s\}} \end{pmatrix} = \begin{pmatrix} \frac{4}{9} & \frac{5}{9} \\ 1 & 0 \end{pmatrix} \begin{pmatrix} V_{[51]} \\ V_{[33]} \end{pmatrix}. \quad (5.2)$$

2. Also, we can read off from the tables the following relations

$$V_{NN}(I=1, S=0) = \frac{4}{9}V_{[51]} + \frac{5}{9}V_{[33]} = V_{\{27\}}, \quad (5.3a)$$

$$V_{NN}(I=0, S=1) = \frac{4}{9}V_{[51]} + \frac{5}{9}V_{[33]} = V_{\{10^*\}}, \quad (5.3b)$$

$$\begin{aligned} V_{\Lambda N}(I=\frac{1}{2}, S=1) &= \frac{1}{2}V_{[51]} + \frac{1}{2}V_{[33]} \\ &= \frac{1}{2}V_{\{10^*\}} + \frac{1}{2}V_{\{8_a\}}. \end{aligned} \quad (5.3c)$$

From these equations we can solve the SU(3,F)-irreps  $\{27\}$ ,  $\{10^*\}$ , and  $\{8_a\}$  in terms of the SU(6,FS)-irreps.

Listing the results we now have

$$V_{\{27\}} = \frac{4}{9}V_{[51]} + \frac{5}{9}V_{[33]}, \quad (5.4a)$$

$$V_{\{10^*\}} = \frac{4}{9}V_{[51]} + \frac{5}{9}V_{[33]}, \quad (5.4b)$$

$$V_{\{10\}} = \frac{8}{9}V_{[51]} + \frac{1}{9}V_{[33]}, \quad (5.4c)$$

$$V_{\{8_a\}} = \frac{5}{9}V_{[51]} + \frac{4}{9}V_{[33]}, \quad (5.4d)$$

$$V_{\{8_s\}} = V_{[51]}. \quad (5.4e)$$

We see from these results that the  $[51]$ -irrep has a large weight in the  $\{10\}$ - and  $\{8_s\}$ -irrep, which gives an argument for the presence of a strong Pauli-repulsion in these SU(3,F)-irreps.

According to the study of the wide range of meson-exchange models in the last decade using the ESC-approach, as a working hypothesis, we assume that the apparent lack of an exceptionally strong repulsion in the ESC-model for the states in the SU(3,F)-irreps  $\{10\}$  and  $\{8_s\}$  cannot be cured by meson-exchange. The inclusion of this possible "forbidden state" effect can be done phenomenologically in the ESC-approach by making an effective Pomeron potential as the sum of 'pure' Pomeron exchange and of a Pomeron-like representation of the Pauli-repulsion. As a consequence the effective Pomeron potential gets quite stronger in the SU(3,F)-irreps  $\{10\}$  and  $\{8_s\}$ . This way we incorporate the Pauli repulsion effect in the ESC-approach in this paper.

## B. Parametrization Quark-core effects

Using a linear parametrization of the quark-core effects, we split the repulsive short-range Pomeron-like NN potential as follows:

$$\begin{aligned} V_{PNN} &= (1 - a_{PB})V_{PNN} + a_{PB}V_{PNN} \\ &\equiv V_{NN}(POM) + V_{NN}(PB) \end{aligned} \quad (5.5)$$

TABLE III: Effective Pomeron+PB contribution on the spin, isospin basis.

	$(S, I)$	$V_{PBB}/V_{PNN}$	ESC16
$NN \rightarrow NN$	(0, 1)	1	1.000
$NN \rightarrow NN$	(1, 0)	1	1.000
$\Lambda N \rightarrow \Lambda N$	(0, 1/2)	$1 + \frac{1}{8}a_{PB}$	1.049
$\Lambda N \rightarrow \Lambda N$	(1, 1/2)	$1 + \frac{1}{8}a_{PB}$	1.049
$\Sigma N \rightarrow \Sigma N$	(0, 1/2)	$1 + \frac{2}{8}a_{PB}$	1.439
$\Sigma N \rightarrow \Sigma N$	(1, 1/2)	$1 + \frac{1}{8}a_{PB}$	1.049
$\Sigma N \rightarrow \Sigma N$	(0, 3/2)	1	1.000
$\Sigma N \rightarrow \Sigma N$	(1, 3/2)	$1 + a_{PB}$	1.390

where  $V_{NN}(POM)$  represents the genuine Pomeron and  $V_{NN}(PB)$  the structural effects of the Quark-core forbidden  $[51]$ -configuration, i.e. a Pauli-blocking (PB) effect. So  $a_{PB}$  denotes the Quark-core fraction of the total Pomeron-like potential. A similar relation holds for all BB channels.

$$\begin{aligned} V_{PBB} &= (1 - a_{PB})V_{PBB} + a_{PB}V_{PBB} \\ &\equiv V_{BB}(POM) + V_{BB}(PB) \end{aligned} \quad (5.6)$$

Since the Pomeron is a unitary-singlet its contribution is the same for all BB-channels (apart from some small baryon mass breaking effects). The PB-effect for the BB-channels is assumed to be proportional to the relative weight of the forbidden  $[51]$ -configuration compared to its weight in NN

$$V_{BB}(PB) = (w_{BB}[51]/w_{NN}[51]) \cdot V_{NN}(PB) \quad (5.7)$$

Then we have

$$V_{PBB} = (1 - a_{PB})V_{PNN} + a_{PB} \left( \frac{w_{BB}[51]}{w_{NN}[51]} \right) \cdot V_{PNN} \quad (5.8)$$

For example, in the SU(3)-irrep  $\{10\}$ , e.g. the  $\Sigma^+ p(^3S_1, T=3/2)$ -channel, one has  $w_{BB}[51] = 8/9 = 2w_{NN}[51]$  and therefore  $V_{10}(PB) = 2V_{NN}(PB)$ . Consequently, the total short-range repulsive potential, i.e. the 'effective Pomeron', becomes  $V_{10} = (1 - a_{PB})V_{PNN} + 2a_{PB}V_{PNN} = (1 + a_{PB})V_{PNN}$ . In Table III we give the factors for the various S=0 and S=-1 BB channels as well as the results in the ESC16 model.

In principle one might choose a different mass for the Quark-core repulsive potential. However, this extra parameter does not lead to better fits to NN and/or YN. Therefore we keep for the Pauli-blocking the same mass as the Pomeron mass. The value of the PB factor  $a_{PB}$

is searched in the fit to the YN-data. The S=-2 PB effects are then also entirely determined. In the case of the models ESC08a' and ESC08b' only the channels where  $w_{BB}$  [51] is conspicuously large are treated approximately this way, but with equal weights. These channels are:  $\Sigma^+p(^3S_1, T = 3/2)$ ,  $\Sigma N(^1S_0, T = 1/2)$ , and  $\Xi N(^1S_0, T = 1)$ . A subtle treatment of *all* BB channels according to this linear scheme is characteristic for the ESC16-model. The parameter  $a_{PB}$  turns out to be about 39%. This means that the Quark-core repulsion is roughly 64% of the genuine Pomeron repulsion. Around  $r=0$  the Quark-core repulsion comes out at about 76 MeV, whereas the pure Pomeron repulsion is 118 MeV. The  $\chi^2_{YN}$  is not very sensitive to  $a_{PB}$ . For  $a_{PB} = 0.29, 0.34, 0.39, 0.44$  we get  $\chi^2_{YN} = 50.0, 51.5, 54.2, 57.8$  respectively. The choice  $a_{PB} = 0.39$  is a compromise between the  $\chi^2_{YN}$  and a not too attractive two-body  $U_\Sigma$ .

## VI. ESC16: FITTING $NN \oplus YN \oplus YY$ -DATA

In this section we describe mainly the recent changes in the fitting process. For details on the standard  $NN \oplus YN$ -fitting we refer to [14].

(i) As usual we fit to the 1993 Nijmegen representation of the  $\chi^2$ -hypersurface of the  $NN$  scattering data below  $T_{lab} = 350$  MeV [35, 36]. The  $NN$  low-energy parameters are fitted along with the scattering data. In order to accommodate the differences between the  $^1S_0$ -waves for  $pp$ ,  $np$ , and  $nn$  in the model, we introduce some charge independence breaking by taking different electric  $\rho$ -couplings  $g_{pp\rho} \neq g_{np\rho} \neq g_{nn\rho}$ , where  $g_{nn\rho}$  is considered to be the  $SU(3)$ -octet coupling. With this phenomenological device we fit the difference between the  $^1S_0(pp)$  and  $^1S_0(np)$  phases, and the different  $NN$  scattering lengths and effective ranges very well. We have found  $g_{pp\rho} = 0.5932$ ,  $g_{np\rho} = 0.5427$ , which are not far from  $g_{nn\rho} = 0.5793$  (cfr. Table IV).

(ii) Simultaneously we fit to 52 YN scattering data. These data consist of the usual set of 35 low-energy YN-data, as used in [13, 14] and [7] plus 3 total  $\Sigma^+p$  X-sections from the recent KEK-experiment E289 [37] and some  $\Lambda p$  elastic and inelastic data Ref. [38] and  $\Sigma^-p$  elastic data at higher energies Ref. [39]. For the total  $\Sigma^+p$  and  $\Sigma^-p$  elastic X-sections we have performed the same redefinition as eq. (6.3) of [14].

### A. Incorporation Hypernuclei information

A novel feature in the simultaneous fitting procedure is the inclusion of constraints from information derived from hypernuclei and hypernuclear matter. For the  $\Lambda N$ -interaction this means not only a) the usual absence of bound states but also b) the requirement of a sizable spin-splitting leading to  $U_{\sigma\sigma} > 1$  (cfr. section IX A). c) Because of the experimental absence of  $\Sigma$ -hypernuclei we re-

quire the total single particle  $\Sigma$ -potential in nuclear matter  $U_\Sigma$  to be overall repulsive. In the S=-2 channels there are two clear experimental indications: d) from the analysis of the Nagara event [40] of the double- $\Lambda$  hypernucleus  $^6_{\Lambda\Lambda}\text{He}$  it appears that the forces in the  $\Lambda\Lambda(^1S_0)$ -channel are weakly attractive, indicated by a scattering length  $a_{\Lambda\Lambda}(^1S_0) \sim -(0.5 - 1.0)$  fm [41]. This evidence has been incorporated in the fit in the form of 'pseudo-data' for the  $(^1S_0)\Lambda\Lambda$  scattering length  $a_{\Lambda\Lambda} = -0.8 \pm (0.2 - 0.4)$ . the error depending on the desired impact in the fitting process. e) Experimentally the  $\Xi$ -nucleus interaction seems to be attractive from analyses of events with twin- $\Lambda$  hypernuclei in emulsion data, where the initial  $\Xi^-$  energies were determined after  $\Xi^-p - \Lambda\Lambda$  conversion in nuclei. The  $\Xi$ -nucleus interaction can be described well with a Wood-Saxon potential with a depth of  $\approx 14$  MeV [42]. On the other hand, the  $\Xi^-p$  scattering seems experimentally too small to support a sizeable  $U_{\Xi^-}$ -well-depth. (In [5] the tensor interaction from the pairs was enlarged giving a large well-depth having a  $\Xi N(^3S_1, T = 1)$  bound state, but gives too large cross-sections.) See paper III for details. The fit has resulted in an excellent simultaneous  $NN \oplus YN \oplus YY$ -fit. We obtained for the  $NN$ -data  $\chi^2/NN_{data} = 1.10$  with also very good results for the  $NN$  low energy parameters: the deuteron binding energy and the  $pp$ ,  $np$  and  $nn$  scattering lengths and effective ranges. For the YN-data  $\chi^2/YN_{data} = 1.04$ . The ESC16 fits were achieved with only physical meson-coupling parameters, which are partial-wave independent. The quality of the  $NN$ -fit is at par with models of Reid-like potentials like the Nijm93, Nijm I, and II, which are effective  $NN$ -potentials with some meson parameters adjusted per partial wave [43, 44]. Since the ESC16-model is an extension of the ESC04-model, it is not surprising that in the simultaneous  $NN$ -,  $YN$  and  $YY$  fit the OBE-couplings could be kept in line with the 'naive' predictions of the QPC-model [6, 10]. Just as in ESC04 most of the  $F/(F + D)$ -ratios are fixed by QPC, both for the OBE and MPE couplings. Once more we stress the fact that in the simultaneous fit of the  $NN$ -,  $YN$ - and  $YY$ -data, a *single set of parameters* was used. Of course, the accurate and very numerous  $NN$ -data put strong constraints on the parameters. However, the YN-data, plus the constraints for the YN- and YY- channels, are also quite relevant for the set of parameters finally obtained. In particular, certain fitted  $F/(F + D)$ -ratios, are obviously influenced by the YN-data.

### B. Coupling Parameters and $NN \oplus YN \oplus YY$ Fit

For the 'diffractive'  $0^{++}$ -exchanges we restrict ourselves to the  $SU(3)$ -singlet part, henceforth referred to as 'Pomeron'. The possible  $J=0$  part of the tensor-meson exchange [12, 13] is not considered. The 'mass' parameter of the Pomeron is fitted to be  $m_P = 212.05$  MeV. The 'diffractive'  $1^{--}$ -exchange 'Odderon' is also an  $SU(3)$ -singlet with a fitted mass  $m_O = 268.82$  MeV.

Summarizing the fitted parameters in ESC16 we have:

1. Meson-couplings:  $f_{NN\pi}, f_{NN\eta'}, g_{NN\rho}, g_{NN\omega}, f_{NN\rho}, f_{NN\omega}, g_{NNa_0}, g_{NN\epsilon}, g_{NNa_1}, f_{NNa_1}, g_{NNf'_1}, f_{NNf'_1}, f_{NNb_1}, f_{NNh'_1}$
2. Pair couplings:  $g_{NN(\pi\pi)_1}, f_{NN(\pi\pi)_1}, g_{NN(\pi\rho)_1}, g_{NN\pi\omega}, g_{NN\pi\eta}, g_{NN\pi\epsilon}$
3. Diffractive-couplings/masses:  $g_{NNP}, g_{NNO}, f_{NNO}, a_{PB}, m_P, m_O$
4.  $F/(F + D)$ -ratio's:  $\alpha_V^m, \alpha_A$
5. Cut-off masses:  $\Lambda_8^P = \Lambda_1^P = \Lambda_8^B = \Lambda_1^B, \Lambda_8^V, \Lambda_1^V, \Lambda_8^S, \Lambda_1^S, \Lambda_8^A = \Lambda_1^A$

These are in total 34 physical parameters, of which are (i) 14 meson-couplings, (ii) 2  $F/(F + D)$ -ratio's, (iii) 4 'diffractive' couplings and 2 mass parameters, (iv) 6 meson-pair couplings, and (v) 6 cut-off mass parameters. As compared to the ESC04-model, we have added in ESC16 the following fitting parameters: (i) the derivative axial-couplings  $f_{NNa_1}, f_{NNf'_1}$ , (ii) the  $1^{+-}$  axial-couplings  $f_{NNb_1}, f_{NNh'_1}$ , (iii) the Odderon-couplings  $g_{NNO}, f_{NNO}$ , and mass  $m_O$  (iv) the Pomeron Pauli-blocking parameter  $a_{PB}$ , i.e. 8 new physical parameters. All new parameters have been explained above. They introduce new dynamical refinements/effects into the model, which have resulted in a quality of the combined NN+YN+YY fit for the NN-phases equal to those of a purely NN-fit. Some other parameters have been set, like e.g. many  $F/(F + D)$ -ratio's, see below, and a few cut-off parameters.

The pair coupling  $g_{NN(\pi\pi)_0}$  is set to be zero, which is motivated in the Nijmegen soft-core models in view of the fact that in  $\pi N$  it is constrained by chiral-symmetry. In the fitting process we look for solutions which have meson-couplings which are reasonably close to the 'naive' predictions of the QPC-model. This is also the case for the  $F/(F + D)$ -ratio's, both for meson- and for pair-couplings. During the fitting we experienced a rather

shallow dependence on the  $F/(F + D)$ -ratio  $\alpha^P$  for the pseudoscalar octet. In fact we could obtain a very good YN and NN fit in a values range 0.33-0.40. Therefore we have fixed it at the value  $\alpha_P = 0.365$  obtained from the Cabibbo theory of semileptonic decay of baryons [45]. Furthermore, the meson-pair couplings turn out to come out rather close to predictions based on the 'heavy-meson-saturation'-model. So, the fit-parameters are (i) physical parameters, i.e. they can be checked in other reactions, and (ii) many are 'constraint' by the QPC-model.

In this work like in the ESC04-models [6, 7], the form factors depend on the SU(3) assignment of the mesons, In principle, we introduce form factor masses  $\Lambda_8$  and  $\Lambda_1$  for the {8} and {1} members of each meson nonet, respectively. Moreover, for the  $I = 0$ -mesons we assign the {1} cut-off to the dominant singlet meson and the {8} cut-off to the dominant octet meson, as if there were no meson-mixing. For example we assign  $\Lambda_1$  to  $\eta', \omega, \epsilon$ , and  $\Lambda_8$  to  $\eta, \phi, S^*$ , etc. Notice that the strange octet-mesons  $K$  etc. are given the same {8} form factors as their non-strange companions. For the cut-off masses  $\Lambda$  we used as free search parameters  $\Lambda_8^P = \Lambda_1^P$  for the pseudoscalar mesons,  $\Lambda_8^V$  and  $\Lambda_1^V$  for the vector mesons and  $\Lambda_8^S$  and  $\Lambda_1^S$  for the scalar mesons. Furthermore, we finally used  $\Lambda_8^A = \Lambda_1^A$  for the axial-mesons with  $J^{PC} = 1^{++}$ . For the axial-mesons with  $J^{PC} = 1^{+-}$  (B-mesons) the cut-off masses have been set equal to those of the pseudoscalar mesons  $\Lambda_8^B = \Lambda_8^P$  and  $\Lambda_1^B = \Lambda_1^P$ . Some of the previous {8} and {1} form factors have been chosen to be equal as a consequence of the impossibility to distinguish them in the fitting process.

Similar to ESC04 we introduce a zero in the form factors of mesons, which are P-wave bound states in a  $q\bar{q}$ -picture. These are the scalar mesons ( ${}^3P_0$ ) and the axial-vector ( ${}^3P_1$ ) mesons. Like in ESC04, we use a fixed zero by taking  $U = 750$  MeV in (4.22) and (4.24).

## VII. COUPLING CONSTANTS, $F/(F + D)$ RATIOS, AND MIXING ANGLES

Like in ESC04, we constrained the OBE-couplings by the 'naive' predictions of the QPC-model [9]. We kept during the searches all OBE-couplings in the neighborhood of these predictions, but less tight than in ESC04. The same holds for the searched  $\alpha = F/(F + D)$ -ratios, i.e. for the  $BBM$ -couplings and the  $BB$ -Pair-couplings. In fact only two meson-coupling  $F/(F + D)$ -ratio's were allowed to vary during the searches:  $\alpha_V^m$  for the vector mesons, and  $\alpha_A$  for the axial-vector mesons. As mentioned above  $\alpha_P$  was kept to the value  $\alpha_P = 0.365$ . Furthermore we kept  $\alpha_V^E = 1$  as in all our previous work, and also  $\alpha_S = 1.0$ , i.e. equal to the QPC value. Furthermore,  $\alpha_B = 0.4$ . For the fitted ESC16 NN meson couplings and cut-off masses we refer to Table III of paper I [1].

The mixing angles for the various meson nonets are discussed in paper I. The used values can be found in Table IV. Here we discuss only aspects specific for the YN-channels. In Table IV the ESC16 SU(3) singlet and octet couplings  $g/\sqrt{4\pi}$  are listed, the  $F/(F + D)$ -ratios and the used mixing angles.

TABLE IV: ESC16 SU(3) coupling constants,  $F/(F + D)$ -ratio's, mixing angles etc. The values with  $\star$ ) have are theoretical input or determined by the fitting and the constraint from the  $YN$ -analysis.

mesons		{1}	{8}	$F/(F + D)$	angles
ps-scalar	f	0.3389	0.2684	$\alpha_P = 0.365^{\star)}$	$\theta_P = -11.4^{\circ \star)}$
vector	g	3.1983	0.5793	$\alpha_V^e = 1.0^{\star)}$	$\theta_V = 39.1^{\circ \star)}$
	f	-2.2644	3.7791	$\alpha_V^m = 0.4655$	
axial(A)	g	-0.8826	-0.8172	$\alpha_A = 0.3830$	$\theta_A = 50.0^{\circ \star)}$
	f	-6.2681	-1.6521	$\alpha_A^p = 0.3830^{\star)}$	
axial(B)	f	-0.9635	-2.2598	$\alpha_B = 0.4^{\star)}$	$\theta_B = 35.26^{\circ \star)}$
scalar	g	3.2369	0.5393	$\alpha_S = 1.0^{\star)}$	$\theta_S = 44.0^{\circ \star)}$
diffractive	$g_P$	2.7191			$a_{PB} = 0.39$
	$g_O$	4.1637			
	$f_O$	-3.8859			

### A. Coupling and SU(3) MPE-parameters

In Table V we list the couplings of the physical mesons to the nucleons ( $Y = 1$ ), and to the hyperons with  $Y = 0$  or  $Y = -1$ . These were calculated using unbroken SU(3)-symmetry. Next to the values in the table we have incorporated, like in the ESC04 model [7], Charge Symmetry Breaking (CSB) between  $\Lambda p$  and  $\Lambda n$  with nonzero  $\Lambda$ -couplings of the I=1 mesons and I=1 pairs due to  $\Lambda - \Sigma^0$  mixing.

TABLE V: Coupling constants for model ESC16, divided by  $\sqrt{4\pi}$ .  $M$  refers to the meson. The coupling constants are listed in the order pseudoscalar, vector ( $g$  and  $f$ ), axial vector A ( $g$  and  $f$ ), scalar, axial vector B, and diffractive.

	$M$	$NNM$	$\Sigma\Sigma M$	$\Sigma\Lambda M$	$\Xi\Xi M$	$M$	$\Lambda NM$	$\Lambda\Xi M$	$\Sigma NM$	$\Sigma\Xi M$
$f$	$\pi$	0.2684	0.1959	0.1968	-0.0725	$K$	-0.2681	0.0713	0.0725	-0.2684
$g$	$\rho$	0.5793	1.1586	0.0000	0.5793	$K^*$	-1.0034	1.0034	-0.5793	-0.5793
$f$		3.7791	3.5185	2.3323	-0.2606		-4.2132	1.8810	0.2606	-3.7791
$g$	$a_1$	-0.8172	-0.6260	-0.5822	0.1912	$K_{1A}$	0.8333	-0.2511	-0.1912	0.8172
$f$		-1.6521	-1.2656	-1.1770	0.3865		1.6846	-0.5076	-0.3865	1.6521
$g$	$a_0$	0.5393	1.0786	0.0000	0.5393	$\kappa$	-0.9341	0.9341	-0.5393	-0.5393
$f$	$b_1$	-2.2598	-1.8078	-1.5656	0.4520	$K_{1B}$	2.3484	-0.7828	-0.4520	2.2598
	$M$	$NNM$	$\Lambda\Lambda M$	$\Sigma\Sigma M$	$\Xi\Xi M$	$M$	$NNM$	$\Lambda\Lambda M$	$\Sigma\Sigma M$	$\Xi\Xi M$
$f$	$\eta$	0.1368	-0.1259	0.2599	-0.1958	$\eta'$	0.3181	0.3711	0.2933	0.3852
$g$	$\omega$	3.1148	2.4820	2.4820	1.8492	$\phi$	-1.2384	-2.0171	-2.0171	-2.7958
$f$		-0.5710	-3.2282	-0.2863	-4.4144		2.8878	-0.3819	3.2380	-1.8416
$g$	$f'_1$	-0.7596	-0.1213	-1.0133	0.0710	$f_1$	0.5147	1.0503	0.3019	1.2117
$f$		-4.4179	-3.1274	-4.9303	-2.7386		4.4754	5.5582	4.0450	5.8844
$g$	$\varepsilon$	2.9773	2.3284	2.3284	1.6795	$f_0$	-1.5766	-2.2485	-2.2485	-2.9205
$f$	$h'_1$	-1.2386	0.1171	-1.6905	0.5690	$h_1$	-0.0830	1.8346	-0.7222	2.4738
$g$	$P$	2.7191	2.7191	2.7191	2.7191					
$g$	$O$	4.1637	4.1637	4.1637	4.1637					
$f$		-3.8859	-3.8859	-3.8859	-3.8859					

In Table VI we present the fitted Pair-couplings for the MPE-potentials. We recall that only One-pair graphs are included, in order to avoid double counting, see paper I. The  $F/(F + D)$ -ratios are all fixed, assuming heavy-boson dominance of the pair-vertices. The ratios are taken from the QPC-model for  $q\bar{q}$ -systems with the same quantum numbers as the dominating boson. Only the ratio in the system with the pseudoscalar quantum numbers deviates slightly from QPC, since it has been set equal to the value of  $\alpha_P = 0.365$ . The  $BB$ -Pair couplings are calculated, assuming unbroken  $SU(3)$ -symmetry, from the  $NN$ -Pair coupling and the  $F/(F + D)$ -ratio using  $SU(3)$ .

TABLE VI: Pair-meson coupling constants employed in the ESC16 MPE-potentials. Coupling constants are at  $\mathbf{k}^2 = 0$ . The  $F/(F+D)$ -ratio are QPC-predictions, except that  $\alpha_{(\pi\omega)} = \alpha_P$ , which is very close to QPC.

$J^{PC}$	SU(3)-irrep	$(\alpha\beta)$	$g/4\pi$	$F/(F + D)$
$0^{++}$	$\{1\}$	$g(\pi\pi)_0$	—	—
$0^{++}$	„	$g(\sigma\sigma)$	—	—
$0^{++}$	$\{8\}_s$	$g(\pi\eta)$	-0.6894	1.000
$1^{--}$	$\{8\}_a$	$g(\pi\pi)_1$	0.2519	1.000
		$f(\pi\pi)_1$	-1.7762	0.400
$1^{++}$	„	$g(\pi\rho)_1$	5.7017	0.400
$1^{++}$	„	$g(\pi\sigma)$	-0.3899	0.400
$1^{++}$	„	$g(\pi P)$	—	—
$1^{+-}$	$\{8\}_s$	$g(\pi\omega)$	-0.3287	0.365

Unlike in [30], we did not fix pair couplings using a theoretical model, based on heavy-meson saturation and chiral-symmetry. So, in addition to the 14 coupling parameters used in [30] we now have 6 pair-coupling fit parameters. In Table VI the fitted pair-couplings are given, and in Appendix B the  $SU(3)$ -structure of the pair-couplings. As noted in paper I the  $(\pi\pi)$ -coupling gets a non-zero contribution from the  $\{8_s\}$  pairs, giving  $g_{(\pi\pi)_0} = -0.69/2 = -0.35$ , which is opposite to that of [30]. Also the  $f_{(\pi\pi)_1}$ -pair coupling has an opposite sign as compared to [30]. In a model with a more complex and realistic meson-dynamics [46] this coupling is predicted as found in the present ESC-fit. The  $(\pi\rho)_1$ -coupling agrees nicely with  $A_1$ -saturation, see [30]. The pair-couplings are used in a phenomenological way in the ESC-approach. They are in general not yet quantitatively understood, and certainly deserve more study in the future.

The ESC-model described here, is fully consistent with  $SU(3)$ -symmetry using a straightforward extension of the  $NN$ -model to  $YN$  and  $YY$ . For example  $g_{(\pi\rho)_1} = g_{A_1 VP}$ , and besides  $(\pi\rho)$ -pairs one sees also that  $KK^*(I = 1)$ - and  $KK^*(I = 0)$ -pairs contribute to the  $NN$  potentials. All  $F/(F + D)$ -ratio's are taken fixed with heavy-meson saturation in mind. The approximation we have made in this paper is to neglect the baryon mass differences, i.e. we put  $m_\Lambda = m_\Sigma = m_N$ , in the calculation of the MPE-potentials. This because we have not yet worked out the formulas for the inclusion of these mass differences, which is straightforward in principle.

## B. Parameters and Hyperon-nucleon Fit

All 'best' low-energy  $YN$ -data are included in the fitting, This is a selected set of 35 low-energy  $YN$ -data, the same set has been used in [13] and [14]. We added (i) 3 total  $\Sigma^+p$  X-sections from the KEK-experiment E289 [37], (ii) 7 elastic and 4 inelastic  $\Lambda p$  X-sections from Berkeley [38], and (iii) 3 elastic  $\Sigma^-p$  X-sections [39]. In section VIII these are given together with the results. Next to these we added 'pseudo-data' for the  $\Lambda p$  scattering length's in order to ensure that the  $\Lambda p(^1S_0)$  forces are stronger than the  $\Lambda p(^3S_1)$ . Technically 'favored' values of the s-wave scattering lengths for  $\Lambda N$  were imposed as pseudo-data during the fitting procedures, in order to get a proper spin-splitting for the  $\Lambda N$ -interaction in hypernuclei. In nuclear matter this would imply  $U_{\sigma\sigma} > 1$ , where

$$U_{\sigma\sigma} = (U_\Lambda(^3S_1) - 3U_\Lambda(^1S_0)) / 12 \quad (7.1)$$

In ESC16, with the treatment of the broad  $\kappa(861)$  the S-wave scattering lengths have become about equal  $a_s \approx a_t$ , leading to  $U_{\sigma\sigma} < 1$ .



We added 'pseudo-data' for the  $\Sigma^+p(^3S_1)$  scattering length with the goal to reach enough repulsion in this wave in order to have  $U_\Sigma > 0$ . For the pseudo-data in the S=-2 channels we refer to section VI. In the final stages of the fitting process all pseudo-data were turned off. In fm:

$$\begin{aligned}\hat{a}_{\Lambda p}(^1S_0) &= -2.60 \pm (0.10 - 0.20) \quad , \quad \hat{a}_{\Lambda p}(^3S_1) = -1.60 \pm (0.10 - 0.20) \quad , \\ \hat{a}_{\Sigma^+p}(^3S_1) &= +0.65 \pm (0.10 - 0.20) \quad ,\end{aligned}\tag{7.2}$$

Also, during the fitting process checks were done to prevent the occurrence of bound  $\Lambda p$  states. Parameters, typically strongly influenced by the YN-data, are

1.  $F/(F + D)$ -parameters:  $\alpha_V^m$  and to a less extent  $\alpha_A$ , For the sensitivity of  $\alpha_P$  see section VII.
2. Pauli-blocking fraction parameter  $a_{PB}$ .

The dependence of  $a_{PB}$  in the fit to YN and YY is rather shallow in a range 0.20 – 0.40. In Table VII the dependence of the  $\chi^2$  of the 52 YN-data, and the  $U_\Sigma$  is illustrated. A minimal value for  $\chi^2$  leads to  $a_{PB} = 0.29$ , whereas a positive

TABLE VII:  $U_\Sigma$  in MeV, and YN  $\chi^2$  as a function of  $a_{PB}$ .

$a_{PB}$	$U_\Sigma$	$\chi_{\Lambda p}^2$	$\chi_{\Sigma^+p}^2$	$\chi_{YN}^2$
0.29	-7.9	10.0	14.7	50.0
0.34	-5.5	10.4	15.9	51.5
0.39	-3.3	11.6	17.3	54.2
0.44	-1.1	13.8	18.7	57.8
0.49	+0.8	16.7	20.4	61.6

value of  $U_\Sigma$  requires  $a_{PB} > 0.49$ . As a compromise we have chosen  $a_{PB} = 0.39$ .

Since all couplings and SU(3) parameters are completely fixed, the S=-2 (and -3, -4) results of ESC16 are completely determined. Finally we want to mention that in the fitting process we have, if necessary, accounted for the vast difference in quality of the data. The abundance of the 4313 precise NN data is to be contrasted to the 52 less precise YN data. In the simultaneous fit we require for both the NN and for the YN that the quality of the partial fit is comparable, i.e.  $\chi^2/NN_{data} \approx \chi^2/YN_{data}$ . If necessary we add weight factors to the partial sums in the total  $\chi^2$ . It turned out that in the last stages of the fitting process the weight factors are equal.

### C. Hyperon-nucleon Potentials <sup>3</sup>

In Fig. 1 we plot the total potentials for the S-wave channels  $\Lambda N \rightarrow \Lambda N$ ,  $\Lambda N \rightarrow \Sigma N$ , and  $\Sigma N \rightarrow \Sigma N$ . Note the for the soft-core model typical structure of the  $\Sigma^+p(^3S_1)$ -potential. Most contributions to the spin-spin potentials are proportional to  $\mathbf{k}^2$ , and hence have zero volume integral. This causes the attraction in the inner region. Figures for the OBE-, TME-, and MPE-contributions are similar to those for the ESC04-model and have been displayed in Ref. [7] and we refer the interested reader to this reference. Likewise for the contributions of the various types of mesons to the OBE-potentials, and also for the contributions of the different kind of pair-potentials to MPE.

## VIII. ESC16-MODEL , YN-RESULTS

### A. Hyperon-nucleon (S=-1) X-sections, phases, etc.

The used YN scattering data from Refs. [47]-[52] in the combined NN and YN fit are shown in Table VIII. The NN interaction puts very strong constraints on most of the parameters, and so we are left with only a limited set of parameters which have some freedom to steer the YN channels as compared to the NN-channels.

<sup>3</sup> The fortran code HNPOTESC16.f is put on the permanent open access website, NN-Online facility:<http://nn-online.org>.

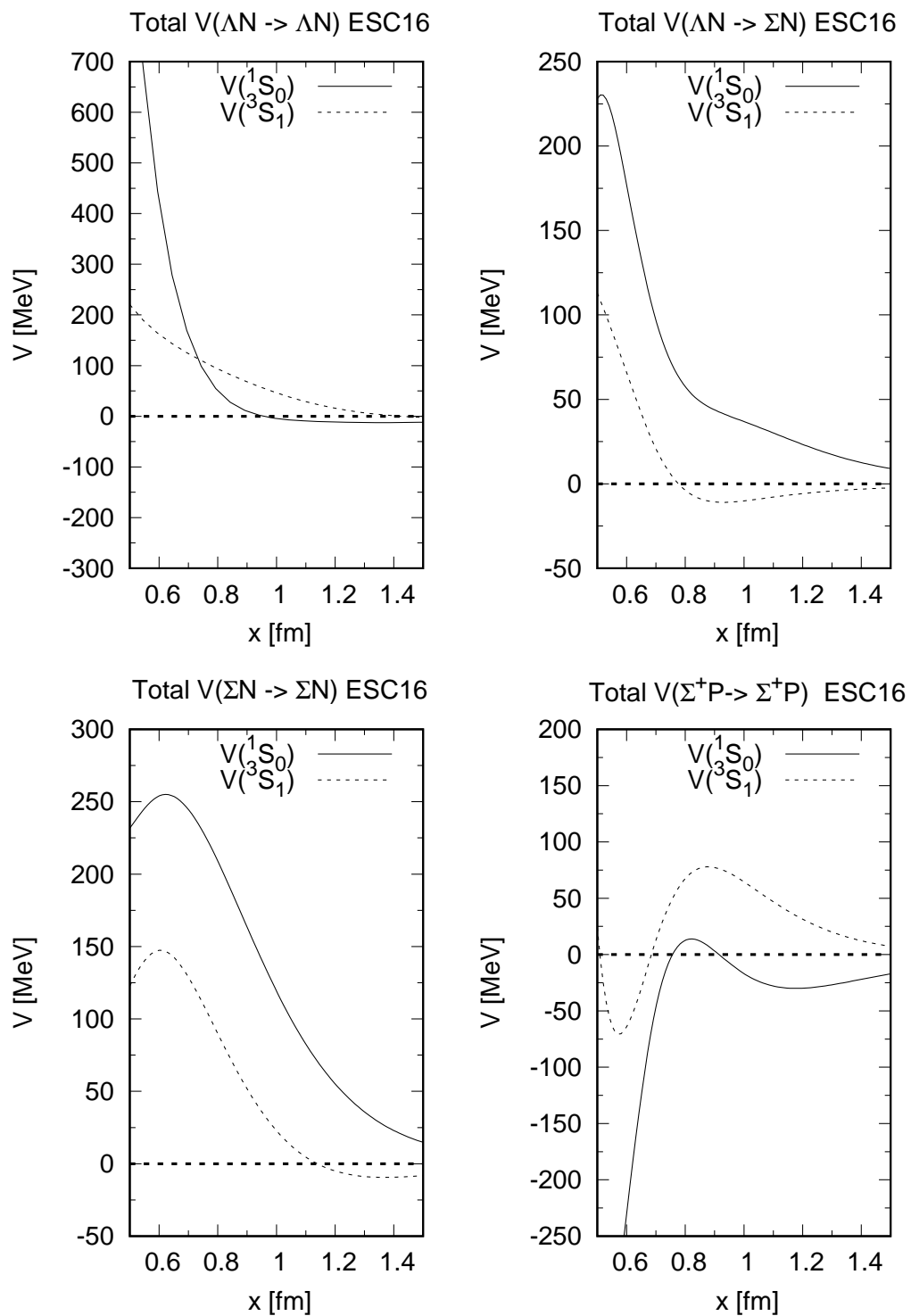


FIG. 1: Total potentials in the partial waves  $^1S_0$  and  $^3S_1$ , for  $I = 1/2$ - and  $I = 3/2$ -states.

The aim of the present study was to construct a realistic potential model for baryon-baryon systems with parameters that are optimal theoretically, but at the same time describes the baryon-baryon scattering data very satisfactory.

This model can then be used with a great deal of confidence in calculations of hypernuclei and in their predictions for the  $S = -2, -3$ , and  $-4$  sectors. Especially for the latter application, these models will be the first models for the  $S = -2, -3, -4$  sectors to have their theoretical foundation in the  $NN$  and  $YN$  sectors.

TABLE VIII: Comparison of the calculated ESC16 and experimental values for the 52  $YN$ -data that were included in the fit. The superscripts  $RH$  and  $M$  denote, respectively, the Rehovoth-Heidelberg Ref. [47] and Maryland data Ref. [48]. Also included are (i) 3  $\Sigma^+p$  X-sections at  $p_{lab} = 400, 500, 650$  MeV from Ref. [37], (ii)  $\Lambda p$  X-sections from Ref. [38]: 7 elastic between  $350 \leq p_{lab} \leq 950$ , and 4 inelastic with  $p_{lab} = 667, 750, 850, 950$  MeV, and (iii) 3 elastic  $\Sigma^-p$  X-sections at  $p_{lab} = 450, 550, 650$  MeV from Ref. [39]. The laboratory momenta are in MeV/c, and the total cross sections in mb. The total  $\chi^2 = 54.2$

$\Lambda p \rightarrow \Lambda p$			$\chi^2 = 3.7$	$\Lambda p \rightarrow \Lambda p$			$\chi^2 = 4.3$	
$p_\Lambda$	$\sigma_{exp}^{RH}$	$\sigma_{th}$		$p_\Lambda$	$\sigma_{exp}^M$	$\sigma_{th}$		
145	180±22	192.7		135	209.0±58	209.7		
185	130±17	134.0		165	177.0±38	160.8		
210	118±16	106.4		195	153.0±27	122.3		
230	101±12	88.3		225	111.0±18	92.6		
250	83±9	73.3		255	87.0±13	69.9		
290	57±9	50.3		300	46.0±11	45.8		
$\Lambda p \rightarrow \Lambda p$			$\chi^2 = 3.7$					
350	23.9±5.0	28.6		750	10.7±3.0	8.2		
450	8.9±3.0	11.6		850	10.2±3.0	9.4		
550	9.1±3.0	7.3		950	8.9±3.0	10.9		
650	16.7±4.0	14.3						
$\Lambda p \rightarrow \Sigma^0 p$			$\chi^2 = 8.0$					
667	2.8 ±2.0	3.3		850	10.7±3.0	3.8		
750	7.5±2.5	3.8		950	5.0±2.0	3.6		
$\Sigma^+ p \rightarrow \Sigma^+ p$			$\chi^2 = 17.3$	$\Sigma^- p \rightarrow \Sigma^- p$			$\chi^2 = 6.3$	
$p_{\Sigma^+}$	$\sigma_{exp}$	$\sigma_{th}$		$p_{\Sigma^-}$	$\sigma_{exp}$	$\sigma_{th}$		
145	123.0±62	147.3		142.5	152±38	148.8		
155	104.0±30	134.3		147.5	146±30	142.4		
165	92.0±18	123.0		152.5	142±25	136.2		
175	81.0±12	112.8		157.5	164±32	130.5		
				162.5	138±19	125.0		
				167.5	113±16	119.8		
400	93.5±28.1	32.7		450.0	31.7±8.3	25.9		
500	32.5±30.4	28.1		550.0	48.3±16.7	17.9		
650	64.6±33.0	25.4		650.0	25.0±13.3	13.7		
$\Sigma^- p \rightarrow \Sigma^0 n$			$\chi^2 = 6.0$	$\Sigma^- p \rightarrow \Lambda n$			$\chi^2 = 4.9$	
$p_{\Sigma^-}$	$\sigma_{exp}$	$\sigma_{th}$		$p_{\Sigma^-}$	$\sigma_{exp}$	$\sigma_{th}$		
110	396±91	205.6		110	174±47	242.3		
120	159±43	179.9		120	178±39	207.1		
130	157±34	159.3		130	140±28	179.2		
140	125±25	142.5		140	164±25	156.6		
150	111±19	128.6		150	147±19	138.2		
160	115±16	116.9		160	124±14	123.0		
$r_R^{exp} = 0.468 \pm 0.010$				$r_R^{th} = 0.467$				$\chi^2 = 0.01$

The  $\chi^2$  on the 52  $YN$  scattering data for the ESC16 model is given in Table VIII. The  $\Lambda N$  total cross sections

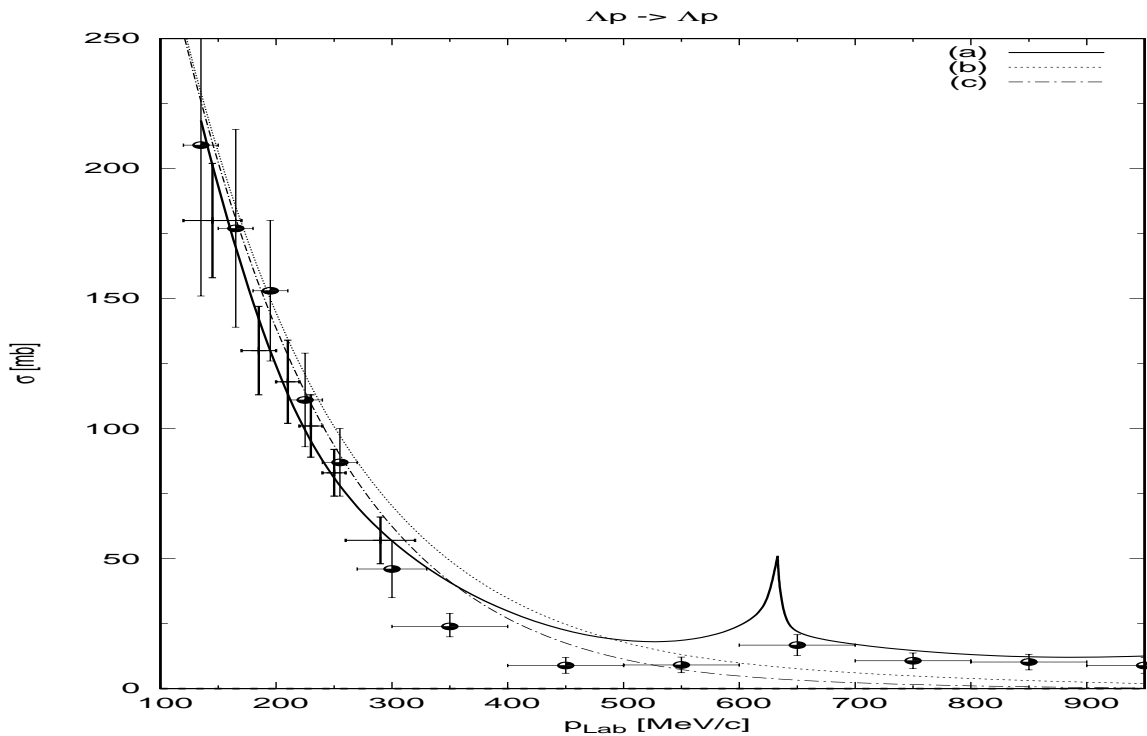


FIG. 2: Model fits total cross sections  $\Lambda p$ , and Rehovoth-Heidelberg-, Maryland-, and Berkeley-data. Panel (a): ESC16, (b,c): Effective range approximations I and II.

have been calculated with  $L \leq 2$ , and the  $\Sigma N$  total cross sections with  $L \leq 1$ . For the definition of the capture ratio at rest, given in the last row of the table, see e.g. [14]. This capture ratio turns out to be rather constant in the momentum range from 100 to 170 MeV/c. Obviously, for very low momenta the cross sections are almost completely dominated by  $s$  waves, and so the capture ratio in flight converges to the capture ratio at rest. For more details on the evaluation of these observables, we refer to earlier Nijmegen work on this subject.

The  $\Sigma^+ p$  nuclear-bar phase shifts as a function of energy are given in Table IX. Notice that the  $^3S_1$ -phase shows repulsion.

The  $\Lambda N$  nuclear-bar phase shifts as a function of energy are given in Table X. In Fig. 2 the  $\Lambda p$  total X-sections are shown for ESC16 together with the data. At the  $\Sigma N$ -threshold the cross section shows a sizeable cusp with a large D-wave nuclear-bar phaseshift  $\delta(^3D_1) = 69.10^\circ$ . This signals the fact that in the  $\Sigma N(^3S_1, I = 1/2)$ -state there is a strong attraction, with presumably a deuteron-like virtual bound-state on the unphysical sheet. Also, in Fig. 2 we show the cross sections in the effective range approximation, dashed lines I and II. Line II is including the shape parameter in the effective range expansion. The two-term effective range expansion with the  $a$  and  $r$  parameters describes the  $s$ -wave phases well up to  $p_\Lambda \approx 400$  MeV/c.

In Table XI the low-energy parameters for  $\Lambda p$  and  $\Lambda n$  are shown. The singlet and triplet parameters are displayed with the  $\Lambda\Sigma^0$ -mixing turned on for pseudoscalar-, vector-, scalar-, meson-pairs-, and ps-ps- exchanges. Notice that the effect for the scalar mesons of the  $\Lambda\Sigma^0$ -mixing is zero because  $\alpha_s = 1.0$ . It is clear from these tables that the total effect of the  $\Lambda\Sigma^0$ -mixing is about given by pseudoscalar and vector exchanges. The differences in the scattering lengths are

$$\Delta a_s = a_s(\Lambda p) - a_s(\Lambda n) = +0.08 \text{ fm}, \quad (8.1a)$$

$$\Delta a_t = a_t(\Lambda p) - a_t(\Lambda n) = -0.04 \text{ fm}. \quad (8.1b)$$

These differences are comparable to those for the soft-core OBE models [13, 14], and therefore predict a too small binding energy difference in the  $A=4$  hypernuclei, which is  $\Delta B_\Lambda(\text{exp}) = B_\Lambda(^4\text{He}) - B_\Lambda(^4\text{H}) = (0.29 \pm 0.06)$  MeV. This in contrast to the HC-model D, which has a much larger  $\Delta a_t$  [24]. It appeared that CSB via meson-mixing, like  $\pi^0 - \eta, \rho^0 - \omega$  etc., is small and does not improve the CSB for ESC16, which is understandable in view of the large cancellations. However, as a consequence of the ESC-models there is a three-body force produced by the

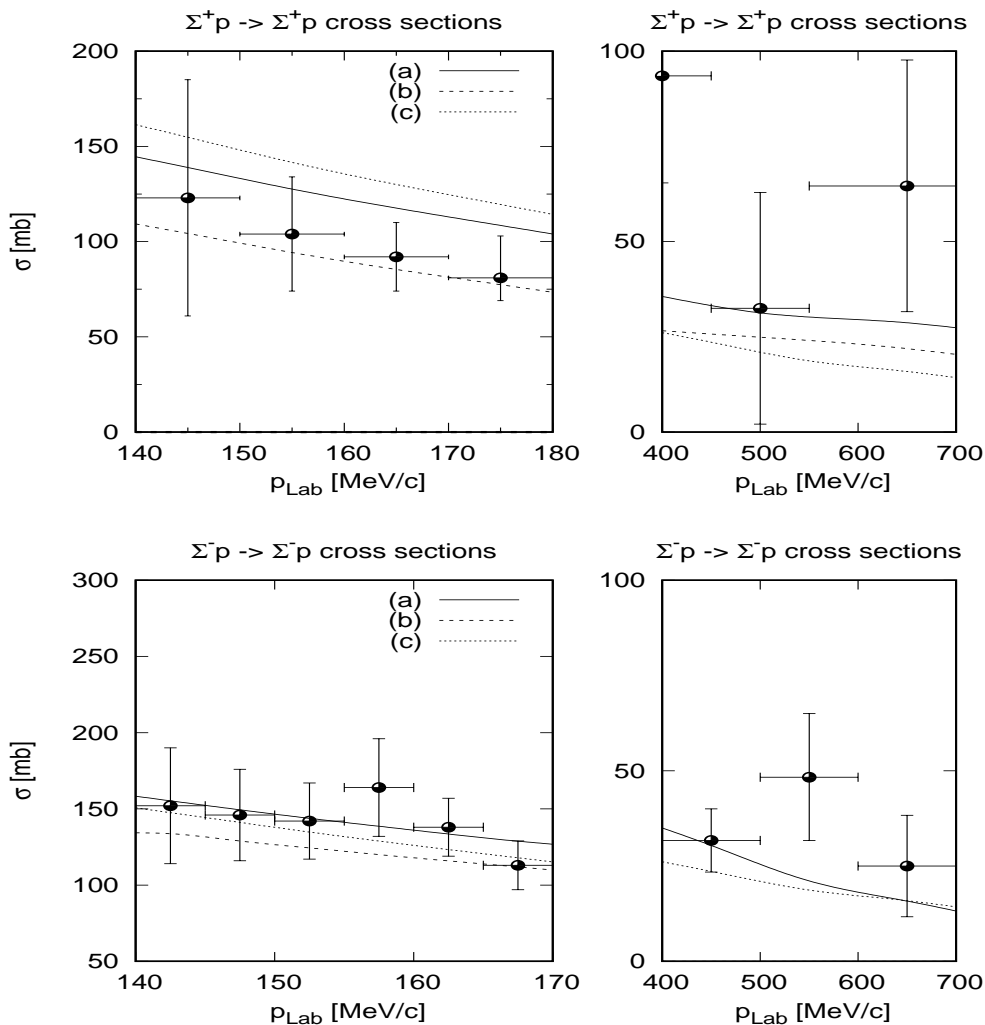


FIG. 3: Model fits total elastic cross sections  $\Sigma^{\pm}p$  and Rehovoth-Heidelberg-, KEK-data. Left panels (a): ESC16, (b): ESC04d, (c): NSC89, Right panels the same.

MPE-interactions, which are fixed by the BB-fit. Therefore, the CSB in the  $\Lambda NN$ -potential may improve the CSB predictions significantly.

In Table XII we list the  $\Sigma^+p$  scattering lengths and effective ranges. Here,  $(a_s, r_s)$  are these quantities for  $\Sigma^+p(^1S_0)$  and  $(a_t, r_t)$  for  $\Sigma^+p(^3S_1)$ .

Notice that the difference between  $a_s$  in ESC08a'' and ESC16. This is mainly a consequence of the inclusion of the non-local tensor force in  $\Sigma^+p$  like in pp. This means that there is still less room for variations in the  $^3S_1$ -wave because of the X-section fit. Because in SU(3) the  $^1S_0$ -wave is strongly constrained by pp, since the  $^1S_0$ -states in NN and  $\Sigma^+p$  are both in the  $\{27\}$ -irrep. Therefore, much extra repulsion in the triplet wave is impossible.

In Fig. 3 and Fig. 4 the elastic and inelastic X-sections are shown respectively.

## B. Potentials in SU(3)-irreps

In Fig. 5 the potentials  $V_{\{\mu\}}[\text{GeV}]$  in the SU(3) representations for BB-channels are shown. The red curves are ESC16 including SU(3)-breaking and the green ones are the SU(3)-symmetric curves. In the latter average masses are used, for the baryons the  $\Lambda$  mass, and for the SU(3)-nonets: 400 MeV for the pseudoscalar- and 800 MeV for the vector-, scalar-, axial-vector-nonets. The cut-off masses for pseudoscalar, vector, and axial-vector have been set equal to the octet ones, i.e.  $\Lambda_1^P = \Lambda_*^P$  etc. But, for the scalar nonet we have taken  $\Lambda_8^S = \Lambda_1^S$ . Fig. 5 shows that, in

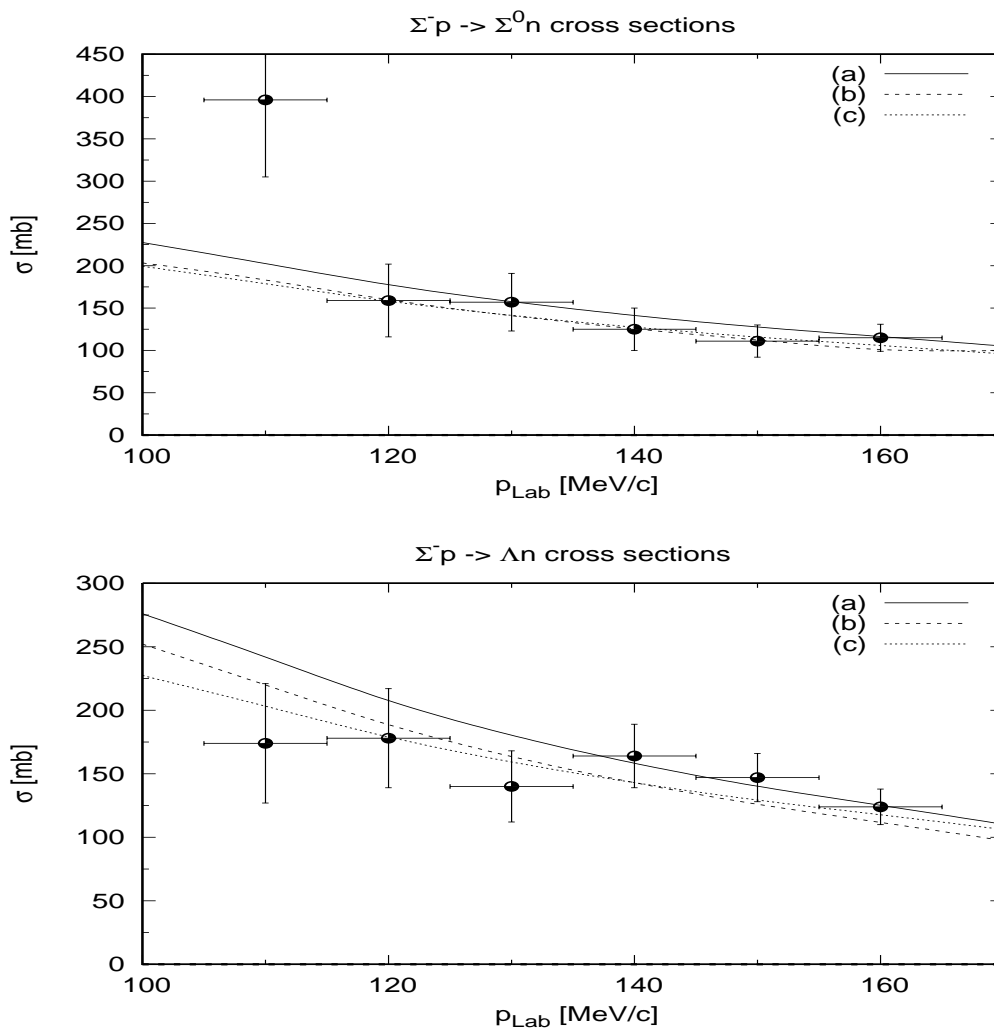


FIG. 4: Model fits total inelastic cross sections  $\Sigma^-p \rightarrow \Sigma^0n, \Lambda n$  and Rehovoth-Heidelberg-data. Panels (a): ESC16, (b): ESC04d, (c): NSC89, Right panels the same.

particularly for  $r \leq 0.5$  fm, the SU3-breaking is rather large.

The curves resemble qualitatively those obtained in lattice QCD, except for the  $\{1\}$ -irrep [53]. In the ESC-model the behavior is typical for potentials with a strong spin-spin part, because the spin-spin potentials from pseudoscalar- and vector-exchange have zero volume integral forcing them to change sign for  $r \sim 0.5$  fm.

The similarity between the meson-exchange and QCD-lattice potentials shows that with the ESC realization of the program starting from the nuclear force, using SU(3,F)-symmetry and the QM, a realistic generalization to the BB-force is achieved.

## IX. ANALYSES WITH G-MATRIX INTERACTIONS

The G-matrix theory gives a good starting point for studies of hyperonic many-body systems on the basis of free-space  $YN$  interaction models [54–56]. Here, the correlations induced by hyperonic coupling interactions such as  $\Lambda N$ - $\Sigma N$  ones are renormalized into single-channel G-matrices. These G-matrix interactions are considered as effective interactions used in models of hypernuclei. Thus, the hypernuclear phenomena and the underlying  $YN$  interaction models are linked through the  $YN$  G-matrix interactions, and the hypernuclear information gives a feedback to the interaction models. Here, the properties of  $\Lambda N$  and  $\Sigma N$  sectors of ESC16 in nuclear medium are studied on the basis

TABLE IX: ESC16 nuclear-bar  $\Sigma^+p$  phases in degrees.

$p_{\Sigma^+}$	100	200	300	400	500	600	700	800	900	1000
$T_{\text{lab}}$	4.2	16.7	37.3	65.5	100.8	142.8	190.7	244.0	302.1	364.5
$^1S_0$	35.10	41.06	35.49	27.54	19.12	10.81	2.80	-4.80	-11.99	-18.76
$^3S_1$	-5.11	-11.11	-16.94	-22.62	-27.89	-32.55	-36.55	-39.99	-43.04	-45.84
$\epsilon_1$	-0.37	-1.81	-3.33	-4.43	-5.04	-5.24	-5.14	-4.86	-4.48	-4.07
$^3P_0$	0.96	4.90	8.42	9.12	7.19	3.59	-0.92	-5.81	-10.81	-15.73
$^1P_1$	0.43	2.33	4.84	7.05	8.33	8.45	7.46	5.55	2.94	-0.17
$^3P_1$	-0.59	-3.00	-6.13	-9.53	-13.15	-16.93	-20.79	-24.64	-28.41	-32.05
$^3P_2$	0.10	0.90	2.58	4.70	6.76	8.50	9.82	10.65	10.95	10.71
$\epsilon_2$	-0.03	-0.37	-1.05	-1.81	-2.44	-2.85	-3.02	-2.98	-2.78	-2.49
$^3D_1$	0.02	0.30	0.84	1.32	1.35	0.69	-0.70	-2.75	-5.29	-8.21
$^1D_2$	0.02	0.31	0.97	2.00	3.38	4.99	6.61	8.01	8.97	9.33
$^3D_2$	-0.03	-0.45	-1.30	-2.35	-3.53	-4.87	-6.42	-8.15	-10.04	-12.04
$^3D_3$	0.00	0.05	0.26	0.66	1.14	1.59	1.93	2.20	2.45	2.70
$\epsilon_3$	-0.00	-0.07	-0.29	-0.63	-1.00	-1.35	-1.64	-1.86	-1.99	-2.04
$^3G_3$	0.00	0.00	0.04	0.12	0.25	0.41	0.57	0.70	0.75	0.67

TABLE X: ESC16 nuclear-bar  $\Lambda p$  phases in degrees.

$p_\Lambda$	100	200	300	400	500	600	633.0
$T_{\text{lab}}$	4.5	17.8	39.6	69.5	106.9	151.1	167.3
$^1S_0$	20.21	25.86	23.13	17.24	10.34	3.40	1.43
$^3S_1$	20.25	26.62	24.84	19.99	14.17	8.51	7.46
$\epsilon_1$	0.04	0.16	0.23	0.21	0.29	2.01	9.16
$^3P_0$	0.02	0.08	-0.19	-1.34	-3.54	-6.51	-7.52
$^1P_1$	-0.07	-0.55	-1.78	-3.88	-6.67	-9.80	-10.82
$^3P_1$	0.00	-0.10	-0.60	-1.70	-3.25	-4.67	-4.71
$^3P_2$	0.11	0.70	1.74	2.86	3.73	4.25	4.34
$\epsilon_2$	0.00	-0.00	-0.04	-0.15	-0.31	-0.50	-0.57
$^3D_1$	0.00	0.07	0.48	1.82	5.42	18.93	59.97
$^1D_2$	0.00	0.06	0.37	1.12	2.36	3.96	4.53
$^3D_2$	0.00	0.08	0.42	1.17	2.31	3.68	4.16
$^3D_3$	0.00	0.05	0.27	0.76	1.52	2.41	2.71

of the G-matrix theory.

In Refs. [57–59] the three-body interaction is added on ESC16, being composed of the multi-pomeron exchange repulsive potential (MPP) and the phenomenological three-baryon attraction (TBA). The effective two-body potential derived from MPP is given as

$$V_{MPP}(r; \rho) = g_P^{(3)}(g_P)^3 \frac{\rho}{\mathcal{M}^5} \cdot \frac{1}{4\pi} \frac{4}{\sqrt{\pi}} \left( \frac{m_P}{\sqrt{2}} \right)^3 \exp\left(-\frac{1}{2}m_P^2 r^2\right), \quad (9.1)$$

where  $g_P^{(3)}$  is the triple-pomeron coupling, and the pomeron mass  $m_P$  and the two-body pomeron coupling  $g_P$  are fitted to the NN-data etc. In a similar way, one can obtain an effective two-body potential with a quartic pomeron coupling  $g_P^{(4)}$ . TBA also is given by a density-dependent two-body potential

$$V_{TBA}(r; \rho) = V_{TBA}^0 \exp(-(r/2.0)^2) \rho \exp(-\eta\rho) (1 + P_r)/2, \quad (9.2)$$

$P_r$  being a space-exchange operator. The values of  $g_P^{(3)}$ ,  $g_P^{(4)}$ ,  $V_{TBA}^0$  and  $\eta$  in NN channels are adjusted to reproduce

TABLE XI: Comparison  $\Lambda p$  and  $\Lambda n$  scattering lengths and effective ranges in fm for different Nijmegen models.

Model	$\Lambda p$		$\Lambda n$	
	$a_s$	$a_t$	$a_s$	$a_t$
ESC16	-1.88	-1.86	-1.96	-1.84
NSC97e	-2.10	-1.86	-2.24	-1.83
NSC97f	-2.51	-1.75	-2.68	-1.67
NSC89	-2.73	-1.48	-2.86	-1.24
HC-D	-2.06	-1.77	-2.03	-1.84
Model	$r_s$	$r_t$	$r_s$	$r_t$
ESC16	3.58	3.37	3.65	3.33
NSC97e	3.19	3.19	3.24	3.14
NSC97f	3.03	3.32	3.07	3.34
NSC89	2.87	3.04	2.91	3.33
HC-D	3.78	3.18	3.66	3.32

TABLE XII:  $\Sigma^+ p$  scattering lengths and effective ranges in fm.

Model	$a_s$	$a_t$	$r_s$	$r_t$
ESC16	-4.30	+0.57	3.25	-3.11
ESC08a''	-3.85	+0.62	3.40	-2.13
ESC04d	-3.43	+0.217	3.98	-28.94

the angular distribution of  $^{16}\text{O}+^{16}\text{O}$  elastic scattering at  $E/A = 70$  MeV with use of the G-matrix folding potential, and values of the saturation density and the energy per nucleon there in nuclear matter [57–59]. We adopt here the parameter set ( $g_P^{(3)} = 5.25$ ,  $g_P^{(4)} = 87.0$ ), that gives rise to the stiff EoS of neutron matter with a maximum mass  $2M_\odot$  for a neutron star [57, 58]. Other sets, like MPa and MPa<sup>+</sup> in [59], lead to similar results in the normal density region, differences appear only in the high density region.

MPP works universally in all baryon-baryon channels according to its definition. Assuming here that TBA works also in  $\Lambda N$  channels, the parameters are adjusted to reproduce well energy spectra of  $\Lambda$  hypernuclei. We take  $V_{TBA}^0 = -16.0$  MeV, being more attractive than  $V_{TBA}^0 = -8.0$  MeV in  $NN$  channels, and the same value of  $\eta = 4.0$  fm<sup>3</sup> for simplicity. Hereafter, the interaction ESC16+MPP+TBA is denoted as ESC16<sup>+</sup>.

We start from the channel-coupled G-matrix equation for the baryon pair  $B_1 B_2$  in nuclear matter [54], where  $B_1 B_2 = \Lambda N$  and  $\Sigma N$ :

$$G_{cc_0} = v_{cc_0} + \sum_{c'} v_{cc'} \frac{Q_{y'}}{\omega - \epsilon_{B'_1} - \epsilon_{B'_2} + \Delta_{yy'}} G_{c'c_0}, \quad (9.3)$$

where  $c$  denotes a  $YN$  relative state ( $y, T, L, S, J$ ) with  $y = (B_1, B_2)$ .  $S$  and  $T$  are spin and isospin quantum numbers, respectively. Orbital and total angular momenta are denoted by  $L$  and  $J$ , respectively, with  $\mathbf{J} = \mathbf{L} + \mathbf{S}$ . Then, a two-particle state is represented as  $^{2S+1}L_J$ . In Eq. (9.3),  $\omega$  gives the starting energy in the starting channel  $c_0$ .  $\Delta_{yy'} = M_{B_1} + M_{B_2} - M_{B'_1} - M_{B'_2}$  denotes the mass difference between two baryon channels. The Pauli operator  $Q_y$  acts on intermediate nucleon states in a channel  $y = (B_1, B_2) = (\Lambda N, \Sigma N)$ . We adopt here the continuous (CON) choice for intermediate single particle potentials in the G-matrix equation. The G-matrix equation (9.3) is represented in the coordinate space, whose solutions give G-matrix interactions. The hyperon single particle (s.p.) energy  $\epsilon_Y$  in nuclear matter is given by

$$\epsilon_Y(k_Y) = \frac{\hbar^2 k_Y^2}{2M_Y} + U_Y(k_Y), \quad (9.4)$$

where  $k_Y$  is the hyperon momentum. The potential energy  $U_Y$  is obtained self-consistently in terms of the G-matrix as

$$U_Y(k_Y) = \sum_{|\mathbf{k}_N|} \langle \mathbf{k}_Y \mathbf{k}_N | G_{YN}(\omega = \epsilon_Y(k_Y) + \epsilon_N(k_N)) | \mathbf{k}_Y \mathbf{k}_N \rangle \quad (9.5)$$



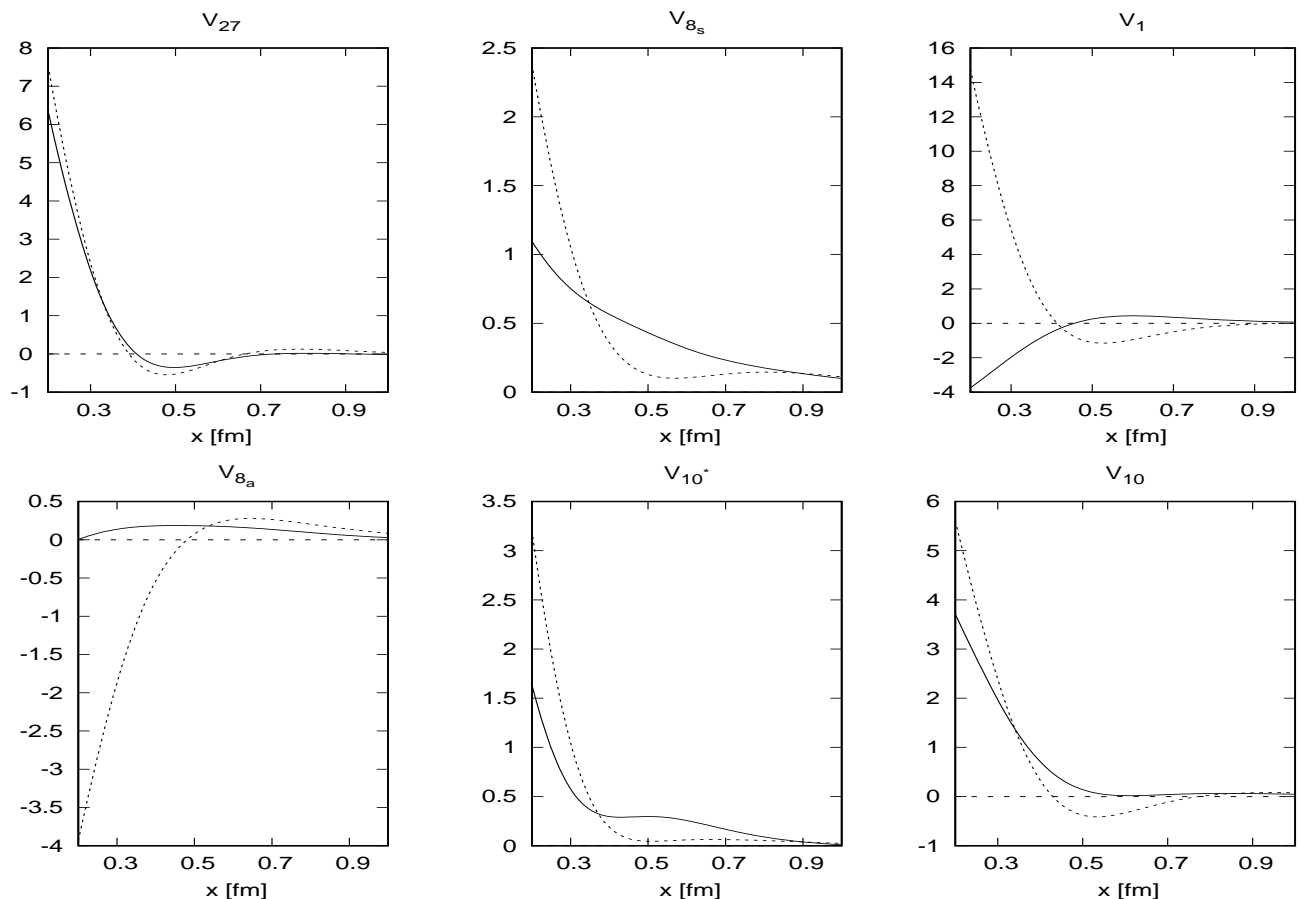


FIG. 5: Potentials in the SU(3)-irreps. The solid and dashed curves are potentials with and without SU(3)-symmetry breaking respectively. The units of the vertical axes are in GeV.

### A. $\Lambda N$ G-matrix

TABLE XIII: Values of  $U_\Lambda(\rho_0)$  and partial wave contributions in  $^{2S+1}L_J$  states from the G-matrix calculations (in MeV). The value specified by  $D$  gives the sum of  $^{2S+1}D_J$  contributions. Contributions from  $S$ -state spin-spin interactions are given by  $U_{\sigma\sigma} = (U_\Lambda(^3S_1) - 3U_\Lambda(^1S_0))/12$ .

	$^1S_0$	$^3S_1$	$^1P_1$	$^3P_0$	$^3P_1$	$^3P_2$	$D$	$U_\Lambda$	$U_{\sigma\sigma}$
ESC16	-13.3	-30.0	2.3	0.1	1.1	-2.3	-1.6	-43.7	0.83
ESC16 <sup>+</sup>	-12.3	-27.4	2.9	0.3	1.7	-1.2	-1.9	-37.9	0.79

Let us calculate  $\Lambda$  binding energies in nuclear matter. In Table XIII we show the potential energies  $U_\Lambda(\rho_0)$  for a zero-momentum  $\Lambda$  and their partial-wave contributions in  $^{2S+1}L_J$  states at normal density  $\rho_0$  ( $k_F=1.35$  fm<sup>-1</sup>) in the CON choice, where a statistical factor  $(2J+1)$  is included in each contribution in  $^{2S+1}L_J$  state. The value of  $U_\Lambda$  for ESC16<sup>+</sup> is rather less attractive than that for ESC16, because repulsive contributions of MPP are cancelled partially by attractive TBA contribution. Here, the value of  $V_{TBA}^0$  is chosen so as to reproduce  $B_\Lambda$  values of observed  $\Lambda$  hypernuclei, as shown in next subsection. The contributions to  $U_\Lambda$  from  $S$ -state spin-spin components can be seen qualitatively in values of  $U_{\sigma\sigma} = (U_\Lambda(^3S_1) - 3U_\Lambda(^1S_0))/12$ . These values of  $U_{\sigma\sigma}$  also are given in Table XIII. In the same treatment, we obtain  $U_{\sigma\sigma}=1.54$  and  $0.92$  MeV for NSC97f and NSC97e, respectively. Various analyses suggest that the reasonable value of  $U_{\sigma\sigma}$  is between these values [56]. The  $U_{\sigma\sigma}$  values for ESC16/c<sup>+</sup> seem to be slightly too small compared to this value.

For applications to various hypernuclear problems, it is convenient to construct  $k_F$ -dependent effective local poten-

TABLE XIV: Parameters of YNG-ESC16 Continuous choice :  $\mathcal{G}(k_F; r) = \sum_{i=1}^3 (a_i + b_i k_F + c_i k_F^2) \exp -(r/\beta_i)^2$ 

$\beta_i$	0.50	0.90	2.00
a	-3548	413.2	-1.787
$^1E$ b	7135	-1087	0.0
c	-2723	428.5	0.0
a	-2820	325.1	-1.372
$^3E$ b	5888	-909.3	0.0
c	-2434	397.6	0.0
a	1635	88.84	-0.9019
$^1O$ b	-338.7	45.01	0.0
c	138.2	-2.532	0.0
a	2283	-223.6	-1.070
$^3O$ b	-2359	204.7	0.0
c	823.6	-45.50	0.0

TABLE XV: Parameters of the additional interaction in YNG-ESC16<sup>+</sup>:  $\Delta\mathcal{G}(k_F; r) = (a + bk_F + ck_F^2) \exp -(r/0.9)^2$ 

	$^1E$	$^3E$	$^1O$	$^3O$
a	18.23	16.54	27.78	26.20
b	-45.62	-42.85	-78.02	-75.33
c	27.46	25.80	69.16	70.44

tials  $\mathcal{G}(k_F; r)$  simulating the G-matrices in coordinate space, called YNG. Here we parameterize them in a three-range Gaussian form

$$\mathcal{G}(k_F, r) = \sum_{i=1}^3 (a_i + b_i k_F + c_i k_F^2) \exp (-r^2/\beta_i^2). \quad (9.6)$$

The parameters  $(a_i, b_i, c_i)$  are determined so as to simulate the calculated G-matrix for each  $^{2S+1}L_J$  state. The procedures to fit the parameters are given in Ref. [56]. The obtained parameters for ESC16 are shown in Table XIV. For ESC16<sup>+</sup>, contributions from MPP+TBA are represented by modifying the second-range parts of  $\mathcal{G}(k_F, r)$  for ESC16 by  $\Delta\mathcal{G}(k_F, r) = (a + bk_F + ck_F^2) \exp -(r/0.9)^2$ . The parameters for  $\Delta\mathcal{G}(k_F, r)$  are given in Table XV. Then, the G-matrix interaction for ESC16<sup>+</sup> is given by  $\mathcal{G}(k_F, r) + \Delta\mathcal{G}(k_F, r)$ .

Here, it is worthwhile to comment about a qualitative feature of  $\Delta\mathcal{G}(k_F, r)$ . The MPP contributions increase rapidly with matter density: In high (low) density region, they are very large (small), and cancelled considerably by TBA at normal-density region. Then, net contributions of MPP+TBA given by  $\Delta\mathcal{G}(k_F, r)$  are attractive for smaller values of  $k_F$  than  $1.35 \text{ fm}^{-1}$ .

The solved G-matrices include not only  $\Lambda N$ - $\Lambda N$  diagonal parts but also  $\Lambda N$ - $\Sigma N$  coupling parts, and it is possible to extract such coupling parts to treat  $\Lambda N$ - $\Sigma N$  mixing problems. The  $\Lambda N$ - $\Sigma N$  coupling interaction is determined so that its matrix elements in  $k$  space simulate the corresponding G-matrix elements and its radial form tend to that of the bare interaction in the outermost region. In Table XVI (Table XVII), the parameters of the central (tensor) parts of  $\Lambda N$ - $\Sigma N$  and  $\Sigma N$ - $\Sigma N$  interactions in  $S$  states are given in a three-range Gaussian ( $r^2$ -Gaussian) form. Here, the  $k_F$  dependences are represented in the same form as the above diagonal parts. These coupling interactions can be used for  $\Lambda N$ - $\Sigma N$  mixing problems

together with the  $\Lambda N$ - $\Lambda N$  diagonal interactions in the Table XIV.

In terms of the G-matrices  $\mathcal{G}_{LL}^{JS}(r)$  with  $S=1$ , the SLS interactions are given by the linear combination  $\mathcal{G}_{SLS}(r) = (-2\mathcal{G}_{11}^{01} - 3\mathcal{G}_{11}^{11} + 5\mathcal{G}_{11}^{21})(r)/12$ . The ALS G-matrix interaction  $\mathcal{G}_{ALS}$  between  $^3P_1$  and  $^1P_1$  states is given so that its matrix elements in  $k$  space simulate the corresponding G matrix elements  $\langle ^3P_1 | G | ^1P_1 \rangle$ . Because  $\langle ^3P_1 | G | ^1P_1 \rangle$  and  $\langle ^1P_1 | G | ^3P_1 \rangle$  are different from each other, we derive  $\mathcal{G}_{ALS}$  from their averaged values. The SLS and ALS G-matrix interactions obtained as a function of  $k_F$  are represented in three-range Gaussian forms, the parameters of which are given for ESC16 in Table XVIII.

In order to compare clearly the *SLS* and *ALS* components, it is convenient to derive the strengths of the  $\Lambda$   $l$ - $s$  potentials in hypernuclei. In the same way as in Refs. [7, 14], the expression can be derived with the Scheerbaum approximation [60] as  $U_{\Lambda}^{ls}(r) = K_{\Lambda} \frac{1}{r} \frac{d\rho}{dr} \mathbf{1} \cdot \mathbf{s}$ . The values of  $K_{\Lambda}$  can be calculated with use of  $\mathcal{G}_{SLS}(r)$

TABLE XVI: Central coupling parts of G-matrix interactions for ESC16, represented in a Gaussian form  $\sum_{i=1}^3 (a_i + b_i k_F + c_i k_F^2) \exp(-(r/\beta_i)^2)$ .

	$\beta_i$	0.50	0.90	2.00
$\Lambda N$ - $\Sigma N$ $^1S_0$	a	5254	-796.7	8.509
	b	-8049	1302	0.0
	c	3126	-497.9	0.0
$\Sigma N$ - $\Sigma N$ $^1S_0$	a	-365.9	167.5	8.606
	b	881.7	-72.20	0.0
	c	-354.3	60.39	0.0
$\Lambda N$ - $\Sigma N$ $^3S_1$	a	-2868	393.9	-2.740
	b	4683	-729.1	0.0
	c	-1978	320.5	0.0
$\Sigma N$ - $\Sigma N$ $^3S_1$	a	773.5	-156.2	-4.313
	b	159.0	-10.37	0.0
	c	-172.2	31.04	0.0

TABLE XVII: Tensor coupling parts of G-matrix interactions for ESC16, represented in a  $r^2$ -Gaussian form  $\sum_{i=1}^3 (a_i + b_i k_F + c_i k_F^2) r^2 \exp(-(r/\beta_i)^2)$ .

	$\beta_i$	0.50	0.90	2.00
$\Lambda N$ - $\Sigma N$ $^3S_1$	a	-44610	547.5	-7435
	b	69890	-1018	0.0
	c	-26870	389.0	0.0
$\Lambda N$ - $\Lambda N$ $^3S_1$	a	-2476	25.46	-0.0220
	b	3179	-28.42	0.0
	c	-677.6	-5.974	0.0

and  $\mathcal{G}_{ALS}(r)$ : The obtained value at  $k_F = 1.0 \text{ fm}^{-1}$  is  $3.8 \text{ MeV fm}^5$ . This value is smaller than those for not only NSC97e/f but also ESC08a/b [56].

## B. $\Lambda$ hypernuclei by G-matrix folding potentials

The YNG  $\Lambda N$  G-matrix interaction given by Table XIV is expressed as  $\mathcal{G}_{(\pm)}^S(r)$ ,  $S$  and  $(\pm)$  denoting spin and party quantum numbers, respectively. A  $\Lambda$ -nucleus potential in a finite system is derived from this  $\Lambda N$  interaction by the expression

$$\begin{aligned}
 U_\Lambda(\mathbf{r}, \mathbf{r}') &= U_{dr} + U_{ex} , \\
 U_{dr} &= \delta(\mathbf{r} - \mathbf{r}') \int d\mathbf{r}'' \rho(\mathbf{r}'') V_{dr}(|\mathbf{r} - \mathbf{r}''|; k_F) \\
 U_{ex} &= \rho(\mathbf{r}, \mathbf{r}') V_{ex}(|\mathbf{r} - \mathbf{r}'|; k_F) , \quad (9.7)
 \end{aligned}$$

$$\begin{pmatrix} V_{dr} \\ V_{ex} \end{pmatrix} = \frac{1}{4} \sum_{S=0,1} (2S+1) [\mathcal{G}_{(\pm)}^S \pm \mathcal{G}_{(\mp)}^S] . \quad (9.8)$$

Here, densities  $\rho(r)$  and mixed densities  $\rho(r, r')$  are obtained from spherical Skyrme-HF wave functions.

TABLE XVIII: Parameters of SLS and ALS G-matrix interactions represented by three-range Gaussian forms  $\mathcal{G}(r; k_F) = \sum_i (a_i + b_i k_F + c_i k_F^2) \exp(-(r/\beta_i)^2)$  in the cases of ESC16.

	$\beta_i$	0.40	0.80	1.20
SLS	a	-11820	355.7	-1.541
	b	23600	-810.3	0.0
	c	-9796	325.2	0.0
ALS	a	1809	1.423	.7805
	b	-1547	37.07	0.0
	c	578.0	-15.73	0.0

An important problem is how to treat  $k_F$  values included in G-matrix interactions. We use here the following Averaged-Density Approximation (ADA), where an averaged value  $\langle \rho \rangle$  is calculated by  $\langle \phi_\Lambda(r) | \rho(r) | \phi_\Lambda(r) \rangle$  for each  $\Lambda$  state  $\phi_\Lambda(r)$ , and  $\langle k_F \rangle$  is obtained by  $(1.5\pi^2 \langle \rho \rangle)^{1/3}$ .

Let us calculate the energy spectra of  $\Lambda$  hypernuclei systematically ( $^{13}_\Lambda\text{C}$ ,  $^{16}_\Lambda\text{O}$ ,  $^{28}_\Lambda\text{Si}$ ,  $^{51}_\Lambda\text{V}$ ,  $^{89}_\Lambda\text{Y}$ ,  $^{139}_\Lambda\text{La}$ ,  $^{208}_\Lambda\text{Pb}$ ). In Fig. 6, the calculated values are compared with the experimental values marked by open circles, the horizontal axis being given as  $A^{-2/3}$ , where solid and dashed curves are for YNG-ESC16<sup>+</sup> and NG-ESC16, respectively. Here, the experimental data are shifted by 0.5 MeV from the values given in Ref. [61], which has been recently proposed according to the improved calibration [62]. The G-matrix interaction for ESC16 is found to be overbound experimental values of  $B_\Lambda$ . In ESC16<sup>+</sup> values of  $V_{TBA}^0 = -16.0 \text{ MeV}$  with  $\eta = 4.0 \text{ fm}^3$  are chosen so that the value of  $B_\Lambda(^{89}_\Lambda\text{Y})$  is reproduced well and the global fitting of  $B_\Lambda$  values is nicely improved in comparison with that for ESC16.

The difference between ESC16<sup>+</sup> and ESC16 is due to the extra terms  $\Delta\mathcal{G}(k_F, r)$  originated from MPP+TBA. Especially, MPP plays an essential role to reproduce the nuclear saturation property and the stiffness of the EoS of neutron-star matter [57–59]. Then, it is very important that ESC16<sup>+</sup> gives better fitting than ESC16: The density-dependent attraction  $\Delta\mathcal{G}(k_F, r)$  in low-density region works to reproduce better the energy spectra of heavy systems and  $B_\Lambda$  values of light systems. In high-density region, this extra term is dominated by MPP and leads to the stiff EoS of the hyperon-mixed neutron-star matter [58, 59]. The present result suggests that such an effect of MPP+TBA is based on terrestrial data of  $B_\Lambda$  values.

Finally, we comment that the  $\Lambda$  s.p. energies in finite systems are not related simply to the  $U_\Lambda(\rho_0)$  values given in Table XIII. The  $U_\Lambda(\rho_0)$  values of  $-43.7 \text{ MeV}$  ( $-37.9 \text{ MeV}$ ) for ESC16 (ESC16<sup>+</sup>) are very attractive compared to the value of  $-30 \text{ MeV}$ , which is the depth  $U_{WS}$  of the  $\Lambda$  Woods-Saxon (WS) potential suitable to the data of  $\Lambda$  hypernuclei [63]. However, it is misleading to compare the  $U_\Lambda(\rho_0)$  value directly to the  $U_{WS}$  one. The  $\Lambda$ -nucleus folding potential depends not only on the strengths of  $\Lambda N$  G-matrices but also on their  $k_F$  dependences. Then, it is

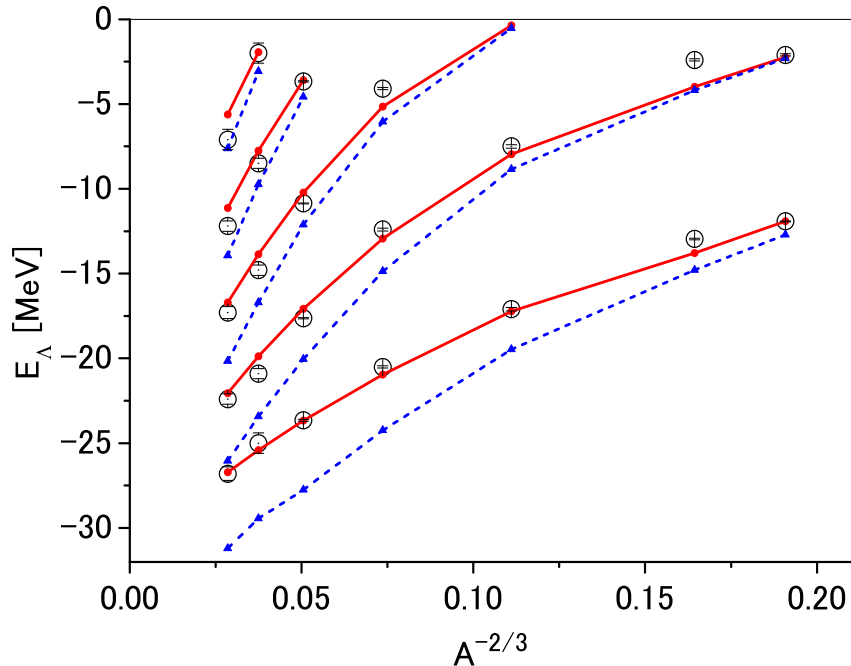


FIG. 6: Energy spectra of  $^{13}_{\Lambda}\text{C}$ ,  $^{28}_{\Lambda}\text{Si}$ ,  $^{51}_{\Lambda}\text{V}$ ,  $^{89}_{\Lambda}\text{Y}$ ,  $^{139}_{\Lambda}\text{La}$  and  $^{208}_{\Lambda}\text{Pb}$  are given as a function of  $A^{-2/3}$ ,  $A$  being mass numbers of core nuclei. Solid (dashed) lines show calculated values by the G-matrix folding model derived from ESC16<sup>+</sup> (ESC16). Open circles and error bars denote the experimental values taken from Ref. [61].

only of qualitative meaning to consider the depth  $U_{WS}$  of the phenomenological Woods-Saxon potential of  $\Lambda$  as the  $\Lambda$  potential depth in nuclear matter.

TABLE XIX: Values of  $U_{\Sigma}(\rho_0)$  at normal density and partial wave contributions in  $(^{2S+1}L_J, T)$  states for ESC16/ $c^+$  (in MeV).

model	$T$	$^1S_0$	$^3S_1$	$^1P_1$	$^3P_0$	$^3P_1$	$^3P_2$	$D$	$U_{\Sigma}$
ESC16	1/2	10.2	-24.7	1.9	2.1	-5.3	-0.2	-0.6	-3.3
	3/2	-13.1	29.5	-3.5	-2.1	5.3	-2.6	-0.2	
ESC16 <sup>+</sup>	1/2	10.8	-20.7	2.1	2.2	-5.0	0.2	-0.5	+9.9
	3/2	-11.4	33.3	-3.0	-2.0	5.6	-1.8	-0.0	

### C. $\Sigma N$ G-matrix

Here, we study here  $\Sigma$  binding energies in nuclear matter by solving the  $\Sigma N$  starting channel G-matrix equation. The universal repulsion MPP has to work also in  $\Sigma N$  channels. Then, the problem is how to choose the phenomenological TBA part on the basis of experimental information. The positive values of  $U_{\Sigma}(\rho_0)$  can be compared roughly with the repulsive component of the  $\Sigma$  nuclear potential obtained from analyzing strong-interaction shifts and widths in  $\Sigma^-$  atoms [64].

The size of repulsion is model dependent, giving rise to the estimation of  $30 \pm 20$  MeV. Another experimental information for the repulsive  $\Sigma$ -nucleus potentials are given by the observed  $(\pi^-, K^-)$  spectra [23, 65, 66]. In Ref. [65], they performed the DWIA analysis for the data of  $^{28}\text{Si}(\pi^-, K^-)$  reaction, where some  $\Sigma N$  interaction models were studied. The experimental spectrum was reproduced nicely by the  $\Sigma$ -nucleus potential obtained from G-matrices for the Nijmegen model F [67] with the local density approximation, where the value of  $U_{\Sigma}(\rho_0)$  was 24 MeV. Considering that the experimentally suggested values of  $U_{\Sigma}(\rho_0)$  are strongly repulsive, we take  $V_{TBA}^0 = 0.0$  MeV: ESC16<sup>+</sup> in  $\Sigma N$  channels is given by ESC16+MPP without TBA.

In Table XIX we show the potential energies  $U_{\Sigma}(\rho_0)$  for a zero-momentum  $\Sigma$  and their partial-wave contributions in  $(^{2S+1}L_J, T)$  states for ESC16 and ESC16<sup>+</sup>. It should be noted here that the strongly repulsive contributions in  $^3S_1$   $T = 3/2$  and  $^1S_0$   $T = 1/2$  states are due to the Pauli-forbidden effects in these states, being taken into account by strengthening the pomeron coupling in the ESC16 modeling.

In the left (right) panel of Fig. 7,  $U_{\Sigma}$  values (their  $S$ -state contributions) are drawn as a function of  $k_F$  for ESC16 and ESC16<sup>+</sup> by dashed and solid curves, respectively. It is demonstrated that the repulsive  $U_{\Sigma}$  values are due to  $T = 3/2$   $^3S_1$  and  $T = 1/2$   $^1S_0$  contributions, and

the repulsions are enhanced by the MPP contributions.

The value of  $U_\Sigma$  is sensitive to the Pauli-repulsion parameter  $a_{PB}$ . Though  $a_{PB} = 0.39$  is taken in ESC16, a larger value of  $a_{PB}$  gives rise to a more repulsive value of  $U_\Sigma$ . Taking  $a_{PB} = 0.59$ , we obtain  $U_\Sigma(\rho_0) = 7.4$  MeV and 20.3 MeV for ESC16 and ESC16<sup>+</sup>, respectively. As found

in Table VII, such a high value for the Pauli-blocking repulsion gives too high  $\Sigma^+p$  cross sections. In order to obtain strongly repulsive values of  $U_\Sigma$  without overestimating  $\Sigma^+p$  cross sections, it might be necessary to introduce further many-body repulsions.

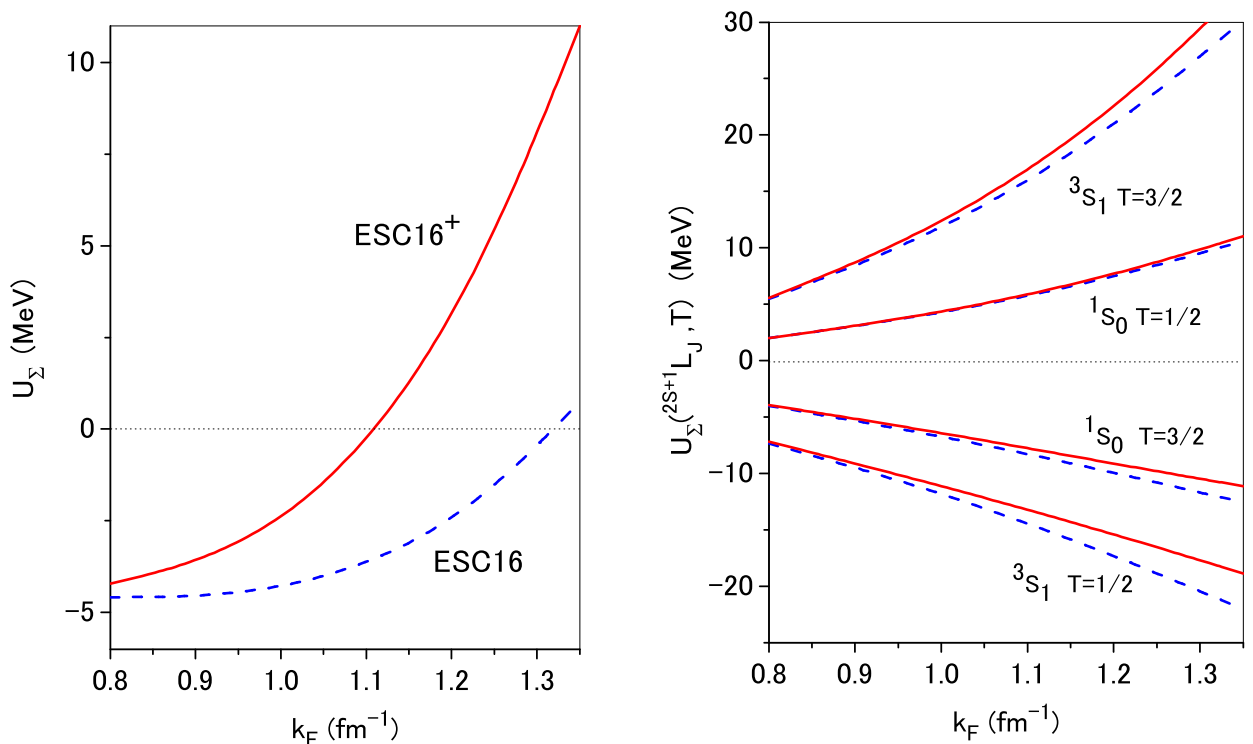


FIG. 7: In the left (right) panel, the values of  $U_\Sigma$  (partial-wave contributions) are drawn as a function of  $k_F$  by dashed and solid curves for ESC16 and ESC16<sup>+</sup>, respectively.

## X. DISCUSSION, CONCLUSIONS AND OUTLOOK

We have again shown in this paper that the ESC-approach to the nuclear force problem is able to make a connection between on the one hand the at present available baryon-baryon data and on the other hand the underlying quark structure of the baryons and mesons. Namely, a very successful description of both the  $NN$ - and  $YN$ -scattering data is obtained with meson-baryon coupling parameters which are almost all explained by

the QPC-model. This at the same time in obedience of the strong constraint of no bound states in the  $S = -1$ -systems. Therefore, the ESC16 model of this paper is an important further step in the determination of the baryon-baryon interactions for low energy scattering and the description of hypernuclei in the context of broken  $SU(3)$ -symmetry. The values for many parameters, which in previous work were considered to be free to a large extent, are now limited strongly, and tried to be made consistent with the present theoretical view on low energy hadron physics. This is in particularly the case for the

$F/(F + D)$ -ratios of the MPE-interactions. These ratios for the vector- and scalar-mesons are rather close to the QPC-model predictions.

In analyzing the effect of the Pauli-blocking repulsion the conclusion is that from the standpoint of the BB scattering-data fitting such a repulsion is not strong. This conclusion is in line with arguments from strong-coupling QCD (SCQCD). Namely, it has been argued in [68] that quark-exchange effects are small.

The G-matrix results show that basic features of hypernuclear data are reproduced nicely by ESC16, improving the weak points of the soft-core OBE-models NSC89 [13], NSC97 [14], and ESC04-models [6–8]. The ESC16-model is superior for hypernuclear data and many aspects of the effective (two-body) interactions in hypernuclei can be obtained using the ESC16-model. For example, this is the case for the well depth  $U_\Sigma$ .

Experience has shown that a good fit to the scattering data not necessarily means success in the G-matrix results. To explain this one can think of two reasons: (i) the G-matrix results are sensitive to the two-body interactions below 1 fm, whereas the present YN-scattering data are not, (ii) other than two-body forces play an important role. However, since the  $NN + YN$ -fit is so much superior for ESC16 than for OBE-models, we are inclined to look for solutions to the remaining problems outside the two-body forces. A natural possibility is the presence of three-body forces (TBF) in hypernuclei which can be viewed as generating effective two-body forces,

which could solve the well-depth issues. In the case of the  $\Delta B_{\Lambda\Lambda}$  also TBF could be operating. This calls for an evaluation of the TBF's  $NNN$ ,  $\Lambda NN$ ,  $\Sigma NN$ ,  $\Lambda\Lambda N$ , etc. for the soft-core ESC-model, consistent with its two-body forces.

The  $\Lambda N$  p-waves seem to be better, which is the result of the truly simultaneous  $NN + YN$ -fitting. This is also reflected in the better  $K_\Lambda$ -value, making the well-known small spin-orbit splitting smaller.

In the course of the development of the ESC-model for baryon-baryon, up to and including ESC06 [69] it was tried to solve all problems for NN and YN, both for scattering and hypernuclear well-depth's, by keeping the potentials restricted to meson-exchange. For that purpose, in ESC06 a 'super-extended' ESC-approach was studied by including the second generation of the mesons, i.e. the heavy pseudo-scalar, vector, and scalar meson nonets. In the Quark-Model they would correspond to the first radially excited  $q\bar{q}$ -states, with masses in the range  $1 \text{ GeV}/c^2 < m < 1.7 \text{ GeV}/c^2$ . With this extension it is possible to produce extra repulsion in the  $\Sigma^+ p(^3S_1)$ , but correlated with this was an extremely strong attraction in the  $\Sigma^+ p(^1P_1)$  partial-wave. Although the ESC06-approach is not ruled out by the data, we think that the solutions presented with ESC16 are much more superior. In the future, such a 'super-extended' ESC16-model may be explored. For example, the axial-vector and heavy pseudoscalar ( $\pi(1300)$ ) meson sectors can be studied more closely.

## APPENDIX A: TREATMENT WIDTHS SCALAR AND VECTOR MESONS

The effects of the large width of the vector  $\rho(760)$ -meson, and the scalar  $\epsilon(620)$  and  $\kappa(861)$  mesons are taken into account via a generalization of the narrow (i.e. stable) meson propagator through the Källén-Lehman representation. For a narrow meson the propagator is ( $k_0 = 0$ )

$$\Delta(\mathbf{k}^2) = \frac{1}{\mathbf{k}^2 + m^2 - i\delta} = \int_{m_0^2}^{\infty} dm'^2 \frac{\rho(m'^2)}{\mathbf{k}^2 + m'^2 - i\delta}, \quad (\text{A1})$$

with the spectral function  $\rho(m'^2) = \delta(m'^2 - m^2)$ . Here,  $m_0^2$  denotes the branchpoint of  $\Delta(k^2)$  in the complex  $k^2$ -plane. For the broad mesons we use the spectral functions [70, 71]

$$\rho(m'^2) = \frac{1}{\pi} \frac{\gamma(m'^2 - m_0^2)^{n+1/2} \theta(m'^2 - m_0^2)}{(m'^2 - m^2)^2 + \gamma^2 \left(\frac{m'^2}{m^2}\right)^{2n} (m'^2 - m_0^2)^{2n+1}}, \quad (\text{A2})$$

with  $n=1$  for the  $\rho$  and  $n=0$  for  $\epsilon$  and  $\kappa$ . Furthermore,  $m_0^2 = 4m_\pi^2$  and  $m_0^2 = (m_\pi + m_K)^2$  for respectively the  $\rho, \epsilon$  and  $\kappa(861)$ . The  $\gamma$ -entity contains the meson width  $\Gamma$  and is defined as

$$\gamma = m\Gamma/(m^2 - m_0^2)^{n+1/2}. \quad (\text{A3})$$

Substituting (A2) into (A1) gives for  $n=0$

$$\Delta(\mathbf{k}^2) = \left[ \mathbf{k}^2 + m^2 + \gamma \left( \frac{\mathbf{k}^2}{m^2} \right)^n (\mathbf{k}^2 + m_0^2)^{n+1/2} \right]^{-1}. \quad (\text{A4})$$

This formula is approximately also correct for  $n=1$  for not too large  $\mathbf{k}^2$  (see [71, 72]). Note the following properties of (A4):

- (i) it has a cut in the complex  $\mathbf{k}^2$ -plane with a branchpoint at the  $(\pi\pi)$ - or  $(\pi K)$ -threshold, connected to the decay of these mesons. Moreover, it has the proper threshold behavior at these thresholds.
- (ii) it shows the correct Breit-Wigner form in the neighborhood of  $\mathbf{k}^2 = m^2$ , with a width  $\Gamma$ .

After Fourier transformation to configuration space of (A1) with the spectral function  $\rho(m'^2)$  one obtains for these broad mesons a (continuous) superposition of Yukawa potentials with the mass distribution  $2m' \rho(m'^2)$ . For the purpose of practical calculations these potentials are approximated by the sum of two Yukawa potentials from two effective narrow mesons ("dipole" fit)

$$\int_{m_0^2}^{\infty} dm'^2 \rho(m'^2) \frac{e^{-m'r}}{r} \approx \beta_1 \frac{e^{-m_1 r}}{r} + \beta_2 \frac{e^{-m_2 r}}{r}. \quad (\text{A5})$$

Here,  $m_0 = 2m_\pi$  and  $m_0 = (m_\pi + m_K)$  in the case of the  $(\rho, \epsilon)$  and  $\kappa$  respectively.

To determine the  $(\beta_{1,2}, m_{1,2})$  parameters a possibility is to fit the left and right hand side of (A5) for a certain range of  $r$ -values. We follow the Bryan-Gersten analytical procedure given in [70], which runs as follows: One writes ( $k^2 \equiv \mathbf{k}^2$ )

$$\Delta(k^2) \approx \Delta_{dip}(k^2) = \frac{\beta_1}{k^2 + m_1^2} + \frac{\beta_2}{k^2 + m_2^2}, \quad (\text{A6})$$

and requires that  $\Delta_{dip} = \Delta$  is satisfied for the 4 conditions: (1)  $k^2 \rightarrow \infty$ , (2)  $k^2 = 0$ , (3)  $k^2 = m^2$ , and (4) the derivatives match at  $k^2 = 0$ , i.e.  $d\Delta/dk^2|_{k^2=0} = d\Delta_{dip}/dk^2|_{k^2=0}$ . The solution of these conditions determines the "dipole" parameters. The results are given in Table XX.

TABLE XX: Values for the "dipole" approximation for the broad  $\rho(760, \Gamma = 120)$ ,  $\epsilon(620, \Gamma = 464)$ , and  $\kappa(861, \Gamma = 450)$ . Masses and widths are in MeV.

meson	n	$\beta_1$	$m_1$	$\beta_2$	$m_2$
$\rho(760)$	1	0.19068	647.436	0.79649	898.117
$\epsilon(620)$	0	0.28193	455.159	0.718071	1158.562
$\kappa(861)$	0	0.47824	813.628	0.521761	1947.286

## APPENDIX B: MPE INTERACTIONS AND SU(3)

Below,  $\sigma, \mathbf{a}_0, \mathbf{A}_1, \dots$  are short-hands for respectively the baryon SU(3)-singlet and -octet densities  $\bar{\psi}\psi, \bar{\psi}\lambda\psi, \bar{\psi}\gamma_5\gamma_\mu\lambda\psi, \dots$ . Here,  $\lambda_i, i = 0, 1, \dots, 8$  are the Gell-Mann SU(3)-matrices.

For the pseudoscalar-, vector-, scalar-, and axial-vector mesons The SU(3) octet and singlet states appearing in the meson-pairs, denoted by the subscript 8 respectively 1, are in terms of the physical ones defined as follows:

- (i) Pseudo-scalar-mesons:

$$\begin{aligned} \eta_1 &= \cos\theta_P \eta' - \sin\theta_P \eta \\ \eta_8 &= \sin\theta_P \eta' + \cos\theta_P \eta \end{aligned}$$

Here,  $\eta'$  and  $\eta$  are the physical pseudo-scalar mesons  $\eta(957)$  respectively  $\eta(548)$ .

- (ii) Vector-mesons:

$$\begin{aligned} \phi_1 &= \cos\theta_V \omega - \sin\theta_V \phi \\ \phi_8 &= \sin\theta_V \omega + \cos\theta_V \phi \end{aligned}$$

Here,  $\phi$  and  $\omega$  are the physical vector mesons  $\phi(1019)$  respectively  $\omega(783)$ .

Then, one has the following SU(3)-invariant pair-interaction Hamiltonians:

1.  $J^{PC} = 0^{+-}$ : SU(3)-singlet couplings  $S_\beta^\alpha = \delta_\beta^\alpha \sigma / \sqrt{3}$ ,

$$\mathcal{H}_{S_1 PP} = \frac{g_{S_1 PP}}{\sqrt{3}} \{ \boldsymbol{\pi} \cdot \boldsymbol{\pi} + 2K^\dagger K + \eta_8 \eta_8 \} \cdot \sigma$$

2.  $J^{PC} = 0^{++}$ : SU(3)-octet symmetric couplings I,  $S_\beta^\alpha = (S_8)_\beta^\alpha \Rightarrow (1/4)Tr\{S[P, P]_+\}$ ,

$$\begin{aligned} \mathcal{H}_{S_8PP} = & \frac{g_{S_8PP}}{\sqrt{6}} \left\{ (\mathbf{a}_0 \cdot \boldsymbol{\pi})\eta_8 + \frac{\sqrt{3}}{2} \mathbf{a}_0 \cdot (K^\dagger \boldsymbol{\tau} K) \right. \\ & + \frac{\sqrt{3}}{2} \left\{ (K_0^\dagger \boldsymbol{\tau} K) \cdot \boldsymbol{\pi} + h.c. \right\} \\ & - \frac{1}{2} \left\{ (K_0^\dagger K)\eta_8 + h.c. \right\} \\ & \left. + \frac{1}{2} f_0 (\boldsymbol{\pi} \cdot \boldsymbol{\pi} - K^\dagger K - \eta_8 \eta_8) \right\} \end{aligned}$$

3.  $J^{PC} = 1^{+-}$ : SU(3)-octet symmetric couplings II,  $S_\beta^\alpha = (B_8)_\beta^\alpha \Rightarrow (1/4)Tr\{B^\mu[V_\mu, P]_+\}$ ,

$$\begin{aligned} \mathcal{H}_{B_8VP} = & \frac{g_{B_8VP}}{\sqrt{6}} \left\{ \frac{1}{2} [(\mathbf{B}_1^\mu \cdot \boldsymbol{\rho}_\mu) \eta_8 + (\mathbf{B}_1^\mu \cdot \boldsymbol{\pi}_\mu) \phi_8] \right. \\ & + \frac{\sqrt{3}}{4} [\mathbf{B}_1 \cdot (K^{*\dagger} \boldsymbol{\tau} K) + h.c.] \\ & + \frac{\sqrt{3}}{4} [(K_1^\dagger \boldsymbol{\tau} K^*) \cdot \boldsymbol{\pi} + (K_1^\dagger \boldsymbol{\tau} K) \cdot \boldsymbol{\rho} + h.c.] \\ & - \frac{1}{4} [(K_1^\dagger \cdot K^*)\eta_8 + (K_1^\dagger \cdot K)\phi_8 + h.c.] \\ & \left. + \frac{1}{2} H^0 \left[ \boldsymbol{\rho} \cdot \boldsymbol{\pi} - \frac{1}{2} (K^{*\dagger} \cdot K + K^\dagger \cdot K^*) - \phi_8 \eta_8 \right] \right\} \end{aligned}$$

4.  $J^{PC} = 1^{--}$ : SU(3)-octet a-symmetric couplings I,  $A_\beta^\alpha = (V_8)_\beta^\alpha \Rightarrow (-i/\sqrt{2})Tr\{V^\mu[P, \partial_\mu P]_-\}$ ,

$$\begin{aligned} \mathcal{H}_{V_8PP} = & g_{A_8PP} \left\{ \frac{1}{2} \boldsymbol{\rho}_\mu \cdot \boldsymbol{\pi} \times \overset{\leftrightarrow}{\partial}^\mu \boldsymbol{\pi} + \frac{i}{2} \boldsymbol{\rho}_\mu \cdot (K^\dagger \boldsymbol{\tau} \overset{\leftrightarrow}{\partial}^\mu K) \right. \\ & + \frac{i}{2} \left( K_\mu^{*\dagger} \boldsymbol{\tau} (K \overset{\leftrightarrow}{\partial}^\mu \boldsymbol{\pi}) - h.c. \right) + i \frac{\sqrt{3}}{2} \left( K_\mu^{*\dagger} \cdot \right. \\ & \left. (K \cdot \overset{\leftrightarrow}{\partial}^\mu \eta_8) - h.c. \right) + \frac{i}{2} \sqrt{3} \phi_\mu (K^\dagger \overset{\leftrightarrow}{\partial}^\mu K) \left. \right\} \end{aligned}$$

5.  $J^{PC} = 1^{++}$  SU(3)-octet a-symmetric couplings II,  $A_\beta^\alpha = (A_8)_\beta^\alpha \Rightarrow (-i/\sqrt{2})Tr\{A^\mu[P, V_\mu]_-\}$ :

$$\begin{aligned} \mathcal{H}_{A_8VP} = & g_{A_8VP} \left\{ \mathbf{A}_1 \cdot \boldsymbol{\pi} \times \boldsymbol{\rho} \right. \\ & + \frac{i}{2} \mathbf{A}_1 \cdot [(K^\dagger \boldsymbol{\tau} K^*) - (K^{*\dagger} \boldsymbol{\tau} K)] \\ & - \frac{i}{2} \left( [(K^\dagger \boldsymbol{\tau} K_A) \cdot \boldsymbol{\rho} + (K_A^\dagger \boldsymbol{\tau} K^*) \cdot \boldsymbol{\pi}] - h.c. \right) \\ & - i \frac{\sqrt{3}}{2} \left( [(K^\dagger \cdot K_A)\phi_8 + (K_A^\dagger \cdot K^*)\eta_8] - h.c. \right) \\ & \left. + \frac{i}{2} \sqrt{3} f_1 [K^\dagger \cdot K^* - K^{*\dagger} \cdot K] \right\} \end{aligned}$$

The relation with the pair-couplings used in this paper and paper I, see also [30], is  $g_{S_1PP}/\sqrt{3} = g_{(\pi\pi)_0}/m_\pi$ ,  $g_{A_8VP} = g_{(\pi\rho)_1}/m_\pi$  etc.

### APPENDIX C: $J^{PC} = 1^{+-}$ AXIAL-PAIR POTENTIALS

In this appendix we document the  $J^{PC} = 1^{+-}$ -axial ( $\pi\omega$ ) 1-pair potentials, which have not been reported elsewhere yet. The involved meson-pairs can be read off from the SU(2) structure of the interaction Hamiltonian (4.27).

Below, we denote the type of potentials by writing  $V_{\sigma+T}^{(n)}$ , where  $n = 0, 1$  refers to the  $(1/M)$ -order, and the subscript  $\sigma + T$  indicates that only the spin-spin and tensor contributions are given here and not the spin-orbit potentials.



**1. NN-Potentials ( $S = 0, I = 1$ )-exchange,  $(\pi\omega_1)$  etc.**

To be specific, consider  $(\pi\omega)_1$ -exchange for NN and elastic  $\Sigma N$  potentials. One obtains:

1. The leading, i.e.  $(1/M)^0$ -terms in momentum and configuration space are

$$\tilde{V}_{\sigma+T}^{(0)}(\mathbf{q}, \mathbf{k}) = +g_{(\pi\omega)_1; NN} f_{NN\pi} G_{NN\omega} \left( \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k}_1 + \boldsymbol{\sigma}_1 \cdot \mathbf{k}_1 \boldsymbol{\sigma}_2 \cdot \mathbf{k} \right) \times \frac{1}{\omega_1^2 \omega_2^2} \cdot \frac{1}{m_\pi^2 \mathcal{M}}, \quad (\text{C1a})$$

$$V_{\sigma+T}^{(0)}(r) = -2g_{(\pi\omega; NN)} f_{NN\pi} G_{NN\omega} \left[ F_{B,\sigma}^{(0)}(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + F_{B,T}^{(0)}(r) S_{12} \right] \cdot \frac{1}{m_\pi^2 \mathcal{M}}, \quad (\text{C1b})$$

where

$$F_{B,\sigma}^{(0)}(r) = \frac{1}{3} \left( \frac{2}{r} F' G + F' G' + F'' G \right), \quad F_{B,T}^{(0)}(r) = \frac{1}{3} \left( -\frac{1}{r} F' G + F' G' + F'' G \right). \quad (\text{C2})$$

Above  $\omega_1 = \sqrt{\mathbf{k}_1^2 + m_\pi^2}$  and  $\omega_2 = \sqrt{\mathbf{k}_2^2 + m_\pi^2}$ . For the Fourier transforms of the momentum pair-exchange potentials with gaussian form factors, we refer to the basic papers [30]. The superscript for the functions  $F_{B,\sigma,T}$  refers to the denominators  $1/(\omega_1^2 \omega_2^2)$  in (C1). For these denominators, in the notation of [30], the functions F and G are

$$F(r) = I_2(r, m_\pi, \Lambda_\pi), \quad G(r) = I_2(r, m_\omega, \Lambda_\omega). \quad (\text{C3})$$

Similar formulas apply to e.g.  $\Sigma N$ -potentials, and also to  $(K^* K)_1$ -pair exchange.

2. The non-leading, i.e.  $(1/M)$ -terms, are

$$\tilde{V}_{\sigma+T}^{(1)}(\mathbf{q}, \mathbf{k}) = -g_{(\pi\omega)_1; NN} f_{NN\pi} G_{NN\omega} \frac{1}{2M_N} \left( \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k}_2 + \boldsymbol{\sigma}_1 \cdot \mathbf{k}_2 \boldsymbol{\sigma}_2 \cdot \mathbf{k} \right) \times \frac{1}{\omega_1 \omega_2 (\omega_1 + \omega_2)} \cdot \frac{1}{m_\pi^2 \mathcal{M}}, \quad (\text{C4a})$$

$$V_{\sigma+T}^{(1)}(r) = +2g_{(\pi\omega)_1; NN} f_{NN\pi} G_{NN\omega} \frac{m_\pi}{2M_N} \left[ F_{B,\sigma}^{(1)}(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + F_{B,T}^{(1)}(r) S_{12} \right] \cdot \frac{1}{m_\pi^3 \mathcal{M}}, \quad (\text{C4b})$$

where now superscript for the functions  $F_{B,\sigma,T}^{(1)}$  refers to the denominators  $1/[\omega_1 \omega_2 (\omega_1 + \omega_2)]$  in (C4). For this denominator the basic Fourier transform is [30]

$$F_B^{(1)}(r) = \frac{2}{\pi} \int_0^\infty d\lambda F(\Lambda, r) G(\lambda, r), \quad (\text{C5})$$

where the functions F and G are

$$F(r) = I_2(r, m_\pi(\lambda), \Lambda_\pi), \quad G(r) = I_2(r, m_\omega(\lambda), \Lambda_\omega), \quad (\text{C6})$$

with the understanding that under the  $\lambda$ -integral in (C5) there occur the combinations

$$F_{B,\sigma}^{(1)}(r) = \frac{1}{3} \left( \frac{2}{r} F G' + F' G' + F G'' \right), \quad F_{B,T}^{(1)}(r) = \frac{1}{3} \left( -\frac{1}{r} F G' + F' G' + F G'' \right). \quad (\text{C7})$$

3. The symmetric spin-orbit  $(1/M)^2$ -terms, are

$$\tilde{V}_{SLS}^{(2)}(\mathbf{q}, \mathbf{k}) = -g_{(\pi\omega)_1; NN} f_{NN\pi} G_{NN\omega} \frac{1}{M_N^2} \frac{i}{2} (\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \cdot \mathbf{q} \times \mathbf{k}_2 \times \frac{1}{\omega_2^2}, \quad (\text{C8a})$$

$$V_{SLS}^{(2)}(r) = -g_{(\pi\omega)_1; NN} f_{NN\pi} G_{NN\omega} \frac{1}{m_\pi^2 M_N^2} I_0(m_\pi, r) \left( -\frac{1}{r} \frac{d}{dr} I_2(m_\omega, \Lambda_V, r) \right) \mathbf{L} \cdot \mathbf{S}, \quad (\text{C8b})$$

where

$$I_0(\Lambda_P, r) = \frac{1}{4\pi} \frac{1}{2\sqrt{\pi}} \left( \frac{\Lambda_P}{m_\pi} \right)^3 \exp \left( -\frac{1}{4} \Lambda_P^2 r^2 \right). \quad (\text{C9})$$

We note that important contributions to the anti-symmetric spin-orbit potentials are proportional to  $(1/M_N - 1/M_Y) \sim 1/M^2$ . Also, spin-orbit potentials from OBE are order  $1/M^2$ . Therefore, we included this SLS-potential in the ESC16-model.

## 2. YN-potentials, ( $\mathbf{S}=\mathbf{0}, \mathbf{I}=\mathbf{0}$ )-Exchange, $(\pi\rho)_0$ etc.

The above given potentials also occur in YN- and YY-channels, of course. In this subsection we give as an illustration only the  $1/M$ -contribution for the spin-spin and tensor. Again, to be specific, now we consider  $(\pi\rho)_0$ -exchange for  $\Lambda N$  potentials. We obtain:

$$\begin{aligned} \tilde{V}_{\sigma+T}^{(1)}(\mathbf{q}, \mathbf{k}) &= -2g_{\Lambda\Lambda;(\pi\rho)_0} f_{NN\pi} G_{NN\rho} \frac{1}{2M_N} [\boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k}_2] \times \frac{1}{\omega_1 \omega_2 (\omega_1 + \omega_2)} \\ &\quad - 2g_{NN;(\pi\rho)_0} f_{\Lambda\Sigma\pi} G_{\Lambda\Sigma\rho} \frac{1}{M_\Lambda + M_\Sigma} [\boldsymbol{\sigma}_1 \cdot \mathbf{k}_2 \boldsymbol{\sigma}_2 \cdot \mathbf{k}] \times \frac{1}{\omega_1 \omega_2 (\omega_1 + \omega_2)}. \end{aligned} \quad (\text{C10})$$

In configuration space we get

$$\begin{aligned} V_{\sigma+T}^{(1)}(r) &= +2g_{\Lambda\Lambda;(\pi\rho)_0} f_{NN\pi} G_{NN\rho} \frac{1}{2M_N} \left[ G_{B,\sigma}^{(1)}(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + G_{B,T}^{(1)}(r) S_{12} \right] \\ &\quad + 2g_{NN;(\pi\rho)_0} f_{\Lambda\Sigma\pi} G_{\Lambda\Sigma\rho} \frac{1}{M_\Lambda + M_\Sigma} \left[ G_{B,\sigma}^{(1)}(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + G_{B,T}^{(1)}(r) S_{12} \right], \end{aligned} \quad (\text{C11})$$

where

$$G_{B,\sigma}^{(1)}(r) = \frac{1}{3} \left( \frac{2}{r} F_\pi \otimes F'_\omega + F'_\pi \otimes F'_\omega + F_\pi \otimes F''_\omega \right), \quad (\text{C12a})$$

$$G_{B,T}^{(1)}(r) = \frac{1}{3} \left( -\frac{1}{r} F_\pi \otimes F'_\omega + F'_\pi \otimes F'_\omega + F \otimes_\pi F''_\omega \right). \quad (\text{C12b})$$

Here, again the superscript on the G-functions refers to the denominator in momentum space. For the denominators in (C10) the functions  $F \otimes g$  are given by[30]

$$F_\alpha \otimes F_\beta(r) = \frac{2}{\pi} \int_0^\infty d\lambda F_\alpha(\lambda, r) F_\beta(\lambda, r), \quad (\text{C13})$$

where

$$F_\alpha(\lambda, r) = e^{-\lambda^2/\Lambda_\alpha^2} I_2(\sqrt{m_\alpha^2 + \lambda^2}, r). \quad (\text{C14})$$

## 3. YN-potentials, ( $S = \pm 1, I = 1/2$ )-Exchange, $(\pi K^*)_{1/2}$ etc.

Again, to be specific, consider  $(\pi K^*)_{1/2}$ -exchange for  $\Lambda N$  potentials. One obtains:  
The leading, i.e.  $(1/M)^0$ -potentials

$$\begin{aligned} \tilde{V}_{\sigma+T}^{(0)}(\mathbf{q}, \mathbf{k}) &= +g_{(\pi K^*);\Lambda N} f_{NN\pi} G_{N\Lambda K^*} \left( \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k}_1 + \boldsymbol{\sigma}_1 \cdot \mathbf{k}_1 \boldsymbol{\sigma}_2 \cdot \mathbf{k} \right) \times \frac{1}{\omega_1^2 \omega_2^2} \\ &\quad + g_{(\pi K^*);\Lambda N} f_{\Lambda\Sigma\pi} G_{N\Sigma K^*} \left( \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k}_1 + \boldsymbol{\sigma}_1 \cdot \mathbf{k}_1 \boldsymbol{\sigma}_2 \cdot \mathbf{k} \right) \times \frac{1}{\omega_1^2 \omega_2^2}. \end{aligned} \quad (\text{C15})$$

The configuration space potentials are:

$$\begin{aligned} V_{\sigma+T}^{(0)}(r) &= -2g_{(\pi K^*);\Lambda N} f_{NN\pi} G_{N\Lambda K^*} \left( F_{B,\sigma}^{(0)}(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + F_{B,T}^{(0)}(r) S_{12} \right) \cdot \mathcal{P}_f \\ &\quad - 2g_{(\pi K^*);\Lambda N} f_{\Lambda\Sigma\pi} G_{N\Sigma K^*} \left( F_{B,\sigma}^{(0)}(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + F_{B,T}^{(0)}(r) S_{12} \right) \cdot \mathcal{P}_f. \end{aligned} \quad (\text{C16})$$

The non-leading, i.e.  $(1/M)^1$ -potentials are

$$\begin{aligned} \tilde{V}_{\sigma+T}^{(1)}(\mathbf{q}, \mathbf{k}) &= -g_{(\pi K^*);\Lambda N} f_{NN\pi} G_{N\Lambda K^*} \frac{1}{2M_N} \left[ \left( \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k}_2 + \boldsymbol{\sigma}_1 \cdot \mathbf{k}_2 \boldsymbol{\sigma}_2 \cdot \mathbf{k} \right) \right] \frac{1}{\omega_1 \omega_2 (\omega_1 + \omega_2)} \\ &\quad - g_{(\pi K^*);\Lambda N} f_{\Lambda\Sigma\pi} G_{N\Sigma K^*} \frac{1}{M_\Lambda + M_\Sigma} \left( \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k}_2 + \boldsymbol{\sigma}_1 \cdot \mathbf{k}_2 \boldsymbol{\sigma}_2 \cdot \mathbf{k} \right) \frac{1}{\omega_1 \omega_2 (\omega_1 + \omega_2)}. \end{aligned} \quad (\text{C17})$$

The configuration space potentials are:

$$\begin{aligned}
V_{\sigma+T}^{(1)}(r) = & +2g_{(\pi K^*);\Lambda N} f_{NN\pi} G_{N\Lambda K^*} \frac{m_\pi}{2M_N} \left( G_{B,\sigma}^{(1)}(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + G_{B,T}^{(1)}(r) S_{12} \right) \cdot \mathcal{P}_f \\
& +2g_{(\pi K^*);\Lambda N} f_{\Lambda\Sigma\pi} G_{N\Sigma K^*} \frac{m_\pi}{M_\Lambda + M_\Sigma} \left( G_{B,\sigma}^{(1)}(r) \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + G_{B,T}^{(1)}(r) S_{12} \right) \cdot \mathcal{P}_f.
\end{aligned} \tag{C18}$$

Above,  $\mathcal{P}_f$  is the flavor-exchange operator, discussed in [13, 24]. In addition, we have to multiply these potentials with the isoscalar factors appearing in the Hamiltonian (4.26). For example for  $K - \rho$  and  $K - \phi$  pairs this factor is  $+\sqrt{3}/4$  respectively  $-1/4$ , etc.

## APPENDIX D: EXCHANGE POTENTIALS

In this section we follow our multi-channel description formalism in the treatment of the exchange potentials [8].

In the case of the anti-symmetric spin-orbit the exchange potential requires some attention, because its special features. The potentials in configuration space are described in Pauli-spinor space as follows

$$V = V_C + V_\sigma \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 + V_T S_{12} + V_{SLS} \mathbf{L} \cdot \mathbf{S}_+ + V_{ALS} \mathbf{L} \cdot \mathbf{S}_- + V_Q Q_{12}. \tag{D1}$$

Here, the definition of the matrix elements of the spin operators are defined as follows

$$\left( \chi_{m'}^\dagger(\Lambda) \chi_{n'}^\dagger(N) | \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 | \chi_m^\dagger(\Lambda) \chi_n^\dagger(N) \right) \equiv \left( \chi_{m'}^\dagger(\Lambda) | \boldsymbol{\sigma}_1 | \chi_m^\dagger(\Lambda) \right) \cdot \left( \chi_{n'}^\dagger(N) | \boldsymbol{\sigma}_2 | \chi_n^\dagger(N) \right), \tag{D2}$$

and similarly for the SU(2) and SU(3) operator matrix elements. In Fig. 8 the labels ( $m, n, m', n'$ ) refer to the spin, and the labels ( $\alpha, \beta, \alpha', \beta'$ ) refer to unitary spin, like SU(2) or SU(3). The momenta on line 1 are  $\mathbf{p}$  and  $\mathbf{p}'$  for respectively the initial and the final state. Likewise, the momenta on line 2 are  $-\mathbf{p}$  and  $-\mathbf{p}'$  for respectively the initial and the final state.

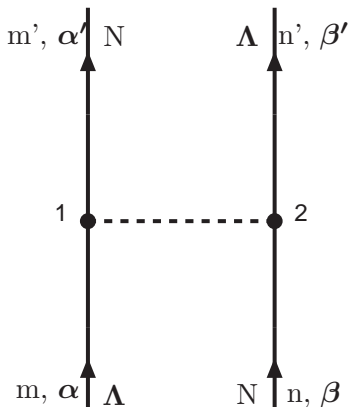


FIG. 8: Particle- and spin-exchange for  $\Lambda N$ .

In graph Fig. 8 we encounter the matrix elements

$$\begin{aligned}
(\boldsymbol{\sigma}_1)_{m',m} &= \left( \chi_{m'}^\dagger(N) | \boldsymbol{\sigma}_1 | \chi_m^\dagger(\Lambda) \right), \\
(\boldsymbol{\sigma}_2)_{n',n} &= \left( \chi_{n'}^\dagger(\Lambda) | \boldsymbol{\sigma}_2 | \chi_n^\dagger(N) \right)
\end{aligned} \tag{D3}$$

### 1. Spin-Exchange Potentials

In order to project the exchange potentials on the forms in (D1) we have to rewrite these matrix elements in terms of those occurring in (D2). This can be done using the spin-exchange operator  $P_\sigma$ :

$$P_\sigma = \frac{1}{2} (1 + \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2). \tag{D4}$$

Properties of this operator are

$$P_\sigma^\dagger = P_\sigma, \quad P_\sigma^2 = 1, \tag{D5a}$$

$$P_\sigma \chi_{1,m} \chi_{2,n} = \chi_{1,n} \chi_{2,m}, \tag{D5b}$$

$$P_\sigma \sigma_{1,k} P_\sigma = \sigma_{2,k}, \tag{D5c}$$

$$P_\sigma \sigma_{2,k} P_\sigma = \sigma_{1,k}. \tag{D5d}$$

Similar properties hold for the flavor-exchange operator  $P_f$ , but now for the SU(2) isospin operators  $\tau_k$ , or the SU(3) octet operators  $\lambda_k$ .

In the following we make only explicit the spin labels, but similar operations apply to the SU(2) or SU(3) labels.

Using this spin-exchange operator, we find that

$$\begin{aligned} & \left( \chi_{1,m'}^\dagger(N) \chi_{2,n'}^\dagger(\Lambda) | \boldsymbol{\sigma}_1 \otimes \mathbf{1}_2 - \mathbf{1}_1 \otimes \boldsymbol{\sigma}_2 | \chi_{1,m}^\dagger(\Lambda) \chi_{2,n}^\dagger(N) \right) = \\ & \left( \chi_{2,n'}^\dagger(N) \chi_{1,m'}^\dagger(\Lambda) | P_\sigma^\dagger \left( \boldsymbol{\sigma}_1 \otimes \mathbf{1}_2 - \mathbf{1}_1 \otimes \boldsymbol{\sigma}_2 \right) P_\sigma P_\sigma | \chi_{1,m}^\dagger(\Lambda) \chi_{2,n}^\dagger(N) \right) = \\ & - \left( \chi_{1,m'}^\dagger(\Lambda) \chi_{1,n'}^\dagger(N) | \left( \boldsymbol{\sigma}_1 \otimes \mathbf{1}_2 - \mathbf{1}_1 \otimes \boldsymbol{\sigma}_2 \right) P_\sigma | \chi_{1,m}^\dagger(\Lambda) \chi_{2,n}^\dagger(N) \right) . \end{aligned} \quad (D6)$$

Above, we added the subscripts 1 and 2 to indicate explicitly the baryon line that is involved.

## 2. Spin- and Strangeness-Exchange Potentials

In addition to the spin-exchange, we also have the flavor-exchange operator  $P_f$  active here. So, in total we have to apply  $-P_\sigma P_f = P_x$ , i.e. the space-exchange operator. This latter relation follows from the anti-symmetry of the two-baryon states, which implies that only states with  $P_f P_\sigma P_x = -1$  are physical. All this implies

1. For the ALS-potential derived in K-exchange etc. one has in (D1), considering both spin- and flavor-exchange, the operator

$$\text{ALS} \Rightarrow \frac{1}{2} (\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot \mathbf{L} P_x \quad (D7)$$

2. For the SLS-potential derived in K-exchange etc. one has in (D1), considering both spin- and flavor-exchange, the operator  $P_f P_\sigma$ , but since

$$\begin{aligned} \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \sigma_{1,k} &= \sigma_{2,k} + i \epsilon_{klm} \sigma_{1,l} \sigma_{2,m} , \\ \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 \sigma_{2,k} &= \sigma_{1,k} + i \epsilon_{klm} \sigma_{2,l} \sigma_{1,m} , \end{aligned}$$

one derives easily that

$$P_\sigma (\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \cdot \mathbf{L} = (\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \cdot \mathbf{L} , \quad (D8)$$

and therefore, similarly to (D6) we have, with the inclusion of the flavor labels,

$$\begin{aligned} & \left( \chi_{1,m'\alpha'}^\dagger(N) \chi_{2,n'\beta'}^\dagger(\Lambda) | \boldsymbol{\sigma}_1 \otimes \mathbf{1}_2 + \mathbf{1}_1 \otimes \boldsymbol{\sigma}_2 | \chi_{1,m\alpha}^\dagger(\Lambda) \chi_{2,n\beta}^\dagger(N) \right) = \\ & \left( \chi_{2,n'\beta'}^\dagger(N) \chi_{1,m'\alpha'}^\dagger(\Lambda) | P_f^\dagger P_\sigma^\dagger \left( \boldsymbol{\sigma}_1 \otimes \mathbf{1}_2 + \mathbf{1}_1 \otimes \boldsymbol{\sigma}_2 \right) | \chi_{1,m\alpha}^\dagger(\Lambda) \chi_{2,n\beta}^\dagger(N) \right) = \\ & \left( \chi_{1,m'\alpha'}^\dagger(\Lambda) \chi_{1,n'\beta'}^\dagger(N) | \left( \boldsymbol{\sigma}_1 \otimes \mathbf{1}_2 + \mathbf{1}_1 \otimes \boldsymbol{\sigma}_2 \right) P_f | \chi_{1,m\alpha}^\dagger(\Lambda) \chi_{2,n\beta}^\dagger(N) \right) . \end{aligned} \quad (D9)$$

So, for the SLS-potential derived in K-exchange etc. one has in (D1), considering both spin- and flavor-exchange, the operator

$$\text{SLS} \Rightarrow \frac{1}{2} (\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \cdot \mathbf{L} P_f \quad (D10)$$

This treatment for the SLS-potential also applies to the central-, spin-spin-, tensor-, and quadratic-spin-orbit potentials as well, of course.

*We conclude this section by noticing that we have found, using our multi-channel set-up the same prescriptions for the treatment of the flavor-exchange potentials as in [24]. For the treatment of the ALS-potential for  $S = \pm 1$ -exchange, our prescription here is more clear. For example in the case of the coupled  $^1P_1 - ^3P_1$  system our prescription is unambiguous, and given by the  $P_x$ -operator, which is the same for both partial-waves coupled in this case.*

## APPENDIX E: DERIVATION BDI ALS-POTENTIALS FOR STRANGE-MESON-EXCHANGES

The contributions to the  $P_8$ -spinor invariant, see [25],

$$P_8 = 2 \left( \boldsymbol{\sigma}_1 \cdot \mathbf{q} \boldsymbol{\sigma}_2 \cdot \mathbf{k} - \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{q} \right) , \quad (E1)$$

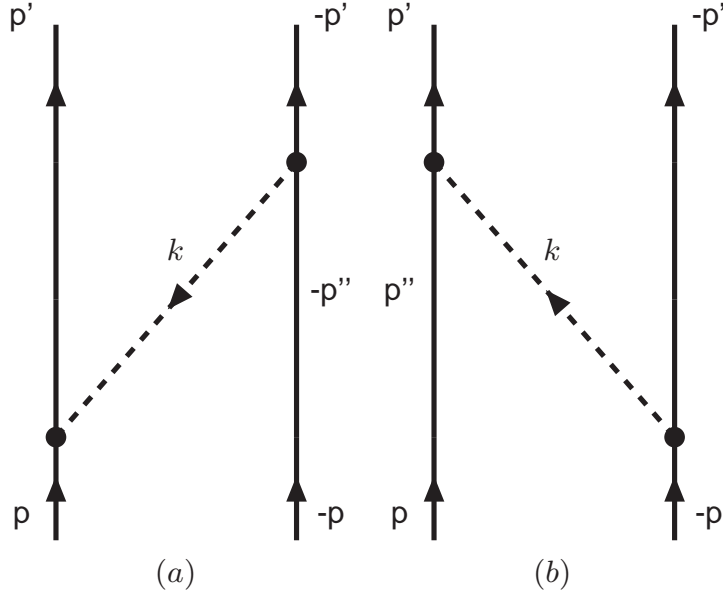


FIG. 9: K- and K\*-exchange time-ordered graphs (a) and (b).

for  $(K, K^*)$ -exchange were given by Brown, Downs, and Iddings (BDI) [17]. Here we derive these for  $(K, K^*)$ , and in particular for the pseudoscalar K within the ps-pv theory.

### 1. K-exchange ALS-potential (PS-PV Theory)

We derive the K-exchange potential using the PV-theory, and show that we get the BDI-answer for the anti-symmetric spin-orbit potential (ALS). For graph (a) we get from the vertices the matrix element

$$\begin{aligned}
 (a) &: -\frac{f_P^2}{m_\pi^2} \left[ \boldsymbol{\sigma}_1 \cdot \mathbf{k} + \frac{2\omega}{M_\Lambda + M_N} \boldsymbol{\sigma}_1 \cdot \mathbf{q} \right] \left[ -\boldsymbol{\sigma}_2 \cdot \mathbf{k} + \frac{2\omega}{M_\Lambda + M_N} \boldsymbol{\sigma}_2 \cdot \mathbf{q} \right] \cdot \frac{1}{2\omega} \frac{-1}{\omega - a} \\
 &= -\frac{f_P^2}{m_\pi^2} \left[ \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k} - \frac{2\omega}{M_\Lambda + M_N} (\boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{q} - \boldsymbol{\sigma}_1 \cdot \mathbf{q} \boldsymbol{\sigma}_2 \cdot \mathbf{k}) \right] \cdot \frac{1}{2\omega(\omega - a)}, \quad (\text{E2a})
 \end{aligned}$$

$$\begin{aligned}
 (b) &: -\frac{f_P^2}{m_\pi^2} \left[ \boldsymbol{\sigma}_1 \cdot \mathbf{k} - \frac{2\omega}{M_\Lambda + M_N} \boldsymbol{\sigma}_1 \cdot \mathbf{q} \right] \left[ -\boldsymbol{\sigma}_2 \cdot \mathbf{k} - \frac{2\omega}{M_\Lambda + M_N} \boldsymbol{\sigma}_2 \cdot \mathbf{q} \right] \cdot \frac{1}{2\omega} \frac{-1}{\omega + a} \\
 &= -\frac{f_P^2}{m_\pi^2} \left[ \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k} + \frac{2\omega}{M_\Lambda + M_N} (\boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{q} - \boldsymbol{\sigma}_1 \cdot \mathbf{q} \boldsymbol{\sigma}_2 \cdot \mathbf{k}) \right] \cdot \frac{1}{2\omega(\omega + a)}, \quad (\text{E2b})
 \end{aligned}$$

where  $a = M_\Lambda - M_N$ . Summing these contributions gives <sup>4</sup>

$$\begin{aligned}
 \tilde{V}_K(\mathbf{q}, \mathbf{k}) &= -\frac{f_P^2}{m_\pi^2} \left[ \frac{1}{2\omega} \left\{ \frac{1}{\omega - a} + \frac{1}{\omega + a} \right\} \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k} \right. \\
 &\quad \left. + \frac{1}{M_\Lambda + M_N} \left\{ \frac{1}{\omega - a} - \frac{1}{\omega + a} \right\} (\boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{q} - \boldsymbol{\sigma}_1 \cdot \mathbf{q} \boldsymbol{\sigma}_2 \cdot \mathbf{k}) \right] \mathcal{P}_f \\
 &= -\frac{f_P^2}{m_\pi^2} \left[ \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k} - 2 \frac{M_\Lambda - M_N}{M_\Lambda + M_N} (\boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{q} - \boldsymbol{\sigma}_1 \cdot \mathbf{q} \boldsymbol{\sigma}_2 \cdot \mathbf{k}) \right] \mathcal{P}_f \cdot \frac{1}{\omega^2 - a^2} \quad (\text{E3})
 \end{aligned}$$

<sup>4</sup> The  $\mathcal{P}$ -operators occur in the transition to configuration space. In this appendix, in contrast to elsewhere in this paper, we include the  $\mathcal{P}$ -operators in the momentum-space formulas only as a reminder.

We notice that this result corresponds with the answer in the PS-PS theory. All this in the approximation  $(M_\Lambda + M_N)^{-1} = (1/M_\Lambda + 1/M_N)/4$ . Now, using the definitions in [13, 25] we have

$$\begin{aligned} P_8 &= 2 \left( \boldsymbol{\sigma}_1 \cdot \mathbf{q} \boldsymbol{\sigma}_2 \cdot \mathbf{k} - \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{q} \right) , \\ P_6 &= (i/2) (\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot \mathbf{n} , \quad \mathbf{n} = \mathbf{p} \times \mathbf{p}' = \mathbf{q} \times \mathbf{k} , \end{aligned}$$

with the relation [17]  $P_8 = -(1 + \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2) P_6 = 2\mathcal{P}_x \mathcal{P}_f P_6$ . This leads to the following expression

$$\tilde{V}_K(\mathbf{q}, \mathbf{k}) = -\frac{f_P^2}{m_\pi^2} \left[ \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k} + 2 \frac{M_\Lambda - M_N}{M_\Lambda + M_N} \cdot (i/2) (\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot \mathbf{n} \mathcal{P}_x \mathcal{P}_f \right] \mathcal{P}_f \cdot \frac{1}{\omega^2 - a^2} \quad (\text{E4})$$

## 2. $K^*$ -exchange ALS-potential

Upon inspection, we find that the only contribution to the  $P_8$ -invariant is given by

$$\begin{aligned} \tilde{V}_{K^*}(\mathbf{q}, \mathbf{k}) &\approx \frac{1}{4} \frac{G_{13} G_{24}}{\omega^2 - a^2} \boldsymbol{\sigma}_1 \cdot \left( \frac{\mathbf{p}}{M_N} - \frac{\mathbf{p}'}{M_\Lambda} \right) \boldsymbol{\sigma}_2 \cdot \left( \frac{\mathbf{p}}{M_\Lambda} - \frac{\mathbf{p}'}{M_N} \right) \mathcal{P}_f \\ &= \frac{1}{4} \frac{G_{13} G_{24}}{\omega^2 - a^2} \left[ \boldsymbol{\sigma}_1 \cdot \left\{ \left( \frac{1}{M_N} - \frac{1}{M_\Lambda} \right) \mathbf{q} - \frac{1}{2} \left( \frac{1}{M_N} + \frac{1}{M_\Lambda} \right) \mathbf{k} \right\} \cdot \right. \\ &\quad \left. \boldsymbol{\sigma}_2 \cdot \left\{ \left( \frac{1}{M_\Lambda} - \frac{1}{M_N} \right) \mathbf{q} - \frac{1}{2} \left( \frac{1}{M_\Lambda} + \frac{1}{M_N} \right) \mathbf{k} \right\} \right] \mathcal{P}_f \\ &= \frac{1}{4} \frac{G_{13} G_{24}}{\omega^2 - a^2} \left[ \frac{1}{4} \left( \frac{1}{M_N} + \frac{1}{M_\Lambda} \right)^2 \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{k} - \left( \frac{1}{M_N} - \frac{1}{M_\Lambda} \right)^2 \boldsymbol{\sigma}_1 \cdot \mathbf{q} \boldsymbol{\sigma}_2 \cdot \mathbf{q} \right. \\ &\quad \left. - \frac{1}{2} \left( \frac{1}{M_N^2} - \frac{1}{M_\Lambda^2} \right) \left( \boldsymbol{\sigma}_1 \cdot \mathbf{q} \boldsymbol{\sigma}_2 \cdot \mathbf{k} - \boldsymbol{\sigma}_1 \cdot \mathbf{k} \boldsymbol{\sigma}_2 \cdot \mathbf{q} \right) \right] \mathcal{P}_f , \end{aligned} \quad (\text{E5})$$

which gives the anti-symmetric spin-orbit potential

$$\tilde{V}_{K^*}(\mathbf{q}, \mathbf{k}) = \frac{1}{4} \frac{G_{13} G_{24}}{\omega^2 - a^2} \left( \frac{1}{M_N^2} - \frac{1}{M_\Lambda^2} \right) (i/2) (\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) \cdot \mathbf{n} \mathcal{P}_x . \quad (\text{E6})$$

Finally, we mention the relation with a sometimes used other form for the antisymmetric spin-orbit. Namely, we have  $\boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2 (\boldsymbol{\sigma}_1 \times \boldsymbol{\sigma}_2) = -2i(\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) - \boldsymbol{\sigma}_1 \times \boldsymbol{\sigma}_2$ , so that

$$(\boldsymbol{\sigma}_1 - \boldsymbol{\sigma}_2) = iP_\sigma (\boldsymbol{\sigma}_1 \times \boldsymbol{\sigma}_2) . \quad (\text{E7})$$

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