# Covariant effective field theory (EFT!)

(or, can the dinosaur learn anything from the cockroach?) Franz Gross

Outline



Part I: The Covariant Spectator<sup>©</sup> approach for two and three nucleon interactions at JLab momentum transfers (*aside*)



Part II: Ideas for improvements -- toward a Covariant Effective field theory (EFT!) for GeV reactions

# Acknowledgements

- ★ CS<sup>©</sup> collaborators (partial list):
  - J. W. Van Orden and Karl Holinde (NN 1990)
  - D. O. Riska (2N currents -- 1987)
  - Alfred Stadler (3N -- 1997)
  - John Tjon and Cetin Savkli (Feynman-Schwinger studies -- 1999)
  - Alfred Stadler and Teresa Pena (3N currents -- 2004)
- ★ CS<sup>©</sup> teachers: Dick Arndt, Ruprecht Machleidt, Nijmegen group
- ★ JLab EFT discussion group (E. Epelbaum, R. Higa, Jose Goity) and Vladimir Pascalutsa
- \* All the participants at this series of Workshops -- many thanks for very enjoyable and stimulating discussions.
- ★ Its fun to argue, BUT let's not degenerate into a "Fox News syndrome" let's argue about real issues and not fabricated ones

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# Aside

- **\star** Typical JLab process: deuteron form factor at  $Q^2 \ge 1 \text{ GeV}^2$
- ★ In relativistic physics momenta and energies are NOT correlated! We go off the mass-shell, but remain on the energy-shell. In this case (in the Breit system)

$$p = m$$
  

$$p_1^2 = (D - p)^2 = M_d^2 + m^2 - 2\sqrt{M_d^2 + \frac{1}{4}Q^2}\sqrt{m^2 + \mathbf{p}^2} + \mathbf{Q} \cdot \mathbf{p} \le (M_d - m)^2$$
  

$$D^2 = M_d^2$$

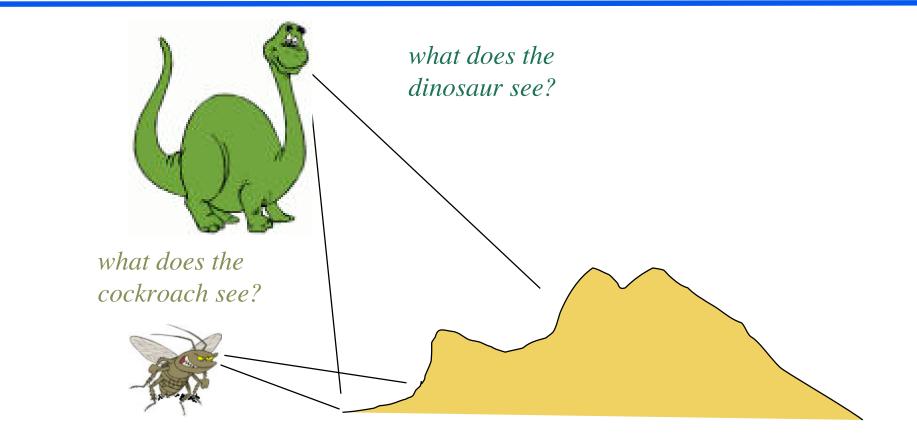
If p is large, we are far off-shell and probe the short range structure (the important relative momenta are of order Q/4), but still the rest energy of the deuteron is FIXED at its mass; the only energy change is due to the Lorentz boost

★ In nonrelativistic physics we go off the energy shell but remain on the mass shell. In this case  $E + E_1 = \sqrt{m^2 + p^2} + \sqrt{m^2 + (\frac{1}{2}Q - p)^2} \ge 2m$ and energy and momentum are correlated

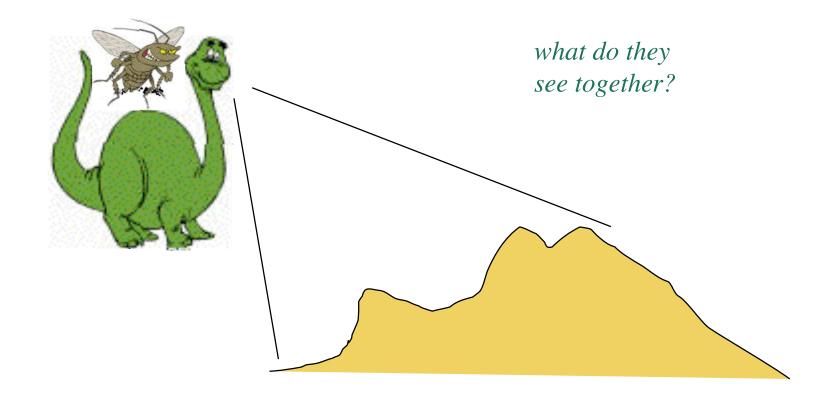
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2 2

# *The true landscape #1*



*The true landscape #2* 



### Part I

# *Covariant Spectator*<sup>©</sup> theory - philosophy

Few body nuclear physics at JLab (GeV) energies (conventional EFT NOT an option - *aside*).

What do we do?

- Preserve all symmetries
  - Poincare invariance essential -- manifest covariance useful
  - unitarity (conservation of flux)
  - electromagnetic gauge invariance
  - chiral invariance
- Microscopic dynamics
  - OBE dynamics with point couplings, but form factors for the self energies of each hadron
  - Organizational principle -- include exchanges of all mesons and quantum numbers up to about 1 GeV. Cutoff at the nucleon mass scale.
  - Mesons needed:  $\pi$ ,  $2\pi$  ( $\sigma_0$ ,  $\sigma_1$ ),  $\eta$ ,  $\rho$ ,  $\omega$  plus short distance counter terms.
- Maintain consistency
  - electromagnetic currents constrained by WT identities (but still not unique)
  - three-body forces constrained by two-body forces

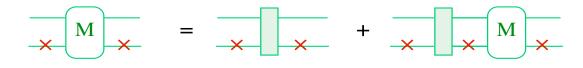
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# Covariant Spectator<sup>©</sup> theory -- Definition

★ The spectator theory starts from the *n*-body Bethe-Salpeter equation and restricts *n*-1 particles to their positive energy mass shells. The propagator for these particles is replaced by

$$S_{\alpha\beta}(p) = \frac{(m+p)_{\alpha\beta}}{m^2 - p^2 - i\varepsilon} \Longrightarrow 2\pi i \delta_+(m^2 - p^2) \sum_s u_\alpha(\mathbf{p}, s) \overline{u}_\beta(\mathbf{p}, s)$$

- \* Integration over the *n*-1 internal energies ( $p_0$ ) places these particles on their *positive energy* mass-shell. All 4-d integrations reduce to 3-d integrations.
- ★ Remark: These on-shell particles do not propagate in intermediate states. The spinors are absorbed into matrix elements, and the on-shell particles becomes part of the "source" for the single propagating off-shell particle.
- ★ The two body scattering equation is, diagrammatically,

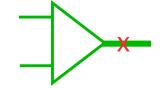


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# Both the BS and the CS<sup>©</sup> theories have a close connection to field theory

★ The Bethe-Salpeter amplitude is a well defined field theoretic matrix element:

$$\Psi(x_1, x_2) = \langle 0 \mid T(\psi(x_1)\psi(x_1)) \mid d \rangle$$



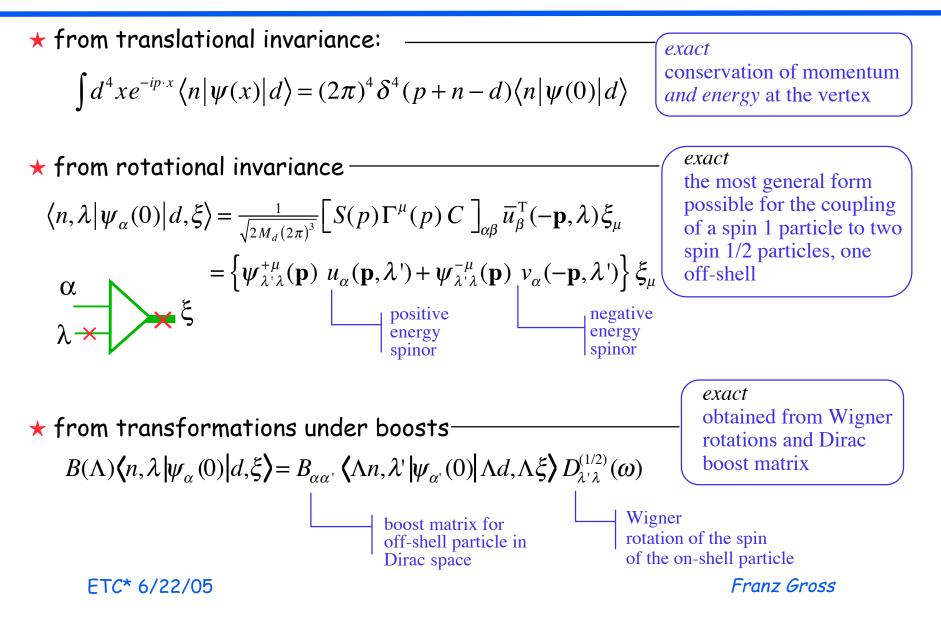
\* The Covariant Spectator<sup>©</sup> amplitude is *also* a well defined field theoretic amplitude:  $W(x) = M \ln(x) \ln d$ 

$$\Psi(x_1) = \langle N | \psi(x_1) | d \rangle$$

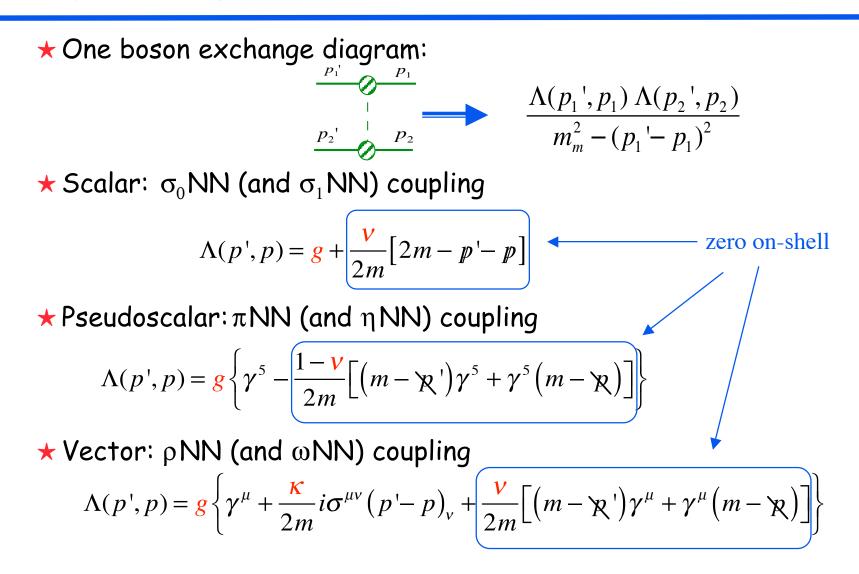
- \* Equations for the Bethe-Salpeter and the Spectator\* amplitudes can be derived from field theory
  - Both are manifestly covariant under *all* Poincaré transformations (advantage)
  - Both incorporate negative energy (antiparticle) states (disadvantage?)

<sup>\*</sup>O. W. Greenberg's "n-quantum approximation"

# Properties of the two-body Spectator<sup>©</sup> amplitude



# Dynamics: phenomenological OBE



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### Family of OBE models for NN scattering based on 1993 calculations

★ Kernel of the integral equation was represented by OBE  $= = \frac{g_{\pi}}{1} = \frac{g_{\pi}}{v_{\pi}} + \frac{g_{\eta}}{v_{\eta}} + \frac{g_{\sigma}}{v_{\sigma}} + \frac{g_{\delta}}{v_{\delta}} + \frac{g_{\omega}}{v_{\delta}} + \frac{g_{\rho}}{v_{\rho}} + \frac{\lambda_{\rho}}{\kappa_{\rho}} = 1 - \frac{v_{\rho}}{\kappa_{\rho}}$   $= \frac{\lambda_{\rho}}{12} + \frac{12}{12} \text{ Peremeters}$ 

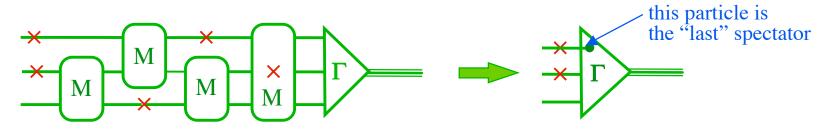
★13 Parameters

		spin parity	I- spin	mass	$g^{2/4}\pi$	к	# of Para	cutoffs
	$\pi$	0-	1	134.98	13.34		0	$\Lambda_{\pi} \approx 2000$
	$\eta$	0-	0	548.8	$3.0 \pm 0.25$		1	$\Lambda_{\rm m} \approx 1300$
$\sigma_0$	σ	$0^+$	0	≈ 500	$5.0 \pm 0.5$		2	$\Lambda_N\approx 1800$
σ <sub>1</sub>	δ	$0^+$	1	≈ 500	$0.6 \pm 0.4*$		2	$\rho$ mixing
	ω	1-	0	782.8	$15.0 \pm 1.0$	$\approx 0.2$	2	p mixing
	ρ	1-	1	760.0	$0.8 \pm 0.2$	$7.0 \pm 0.5$	3	$\lambda_{ m p} = 1.55 \pm 0.4$
	We	fixed the	χ²/datum ~ 2.2					

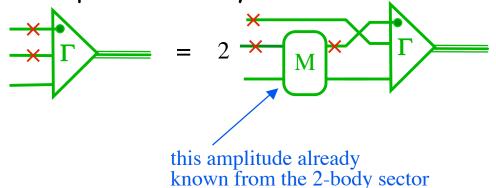
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### Spectator equations for three-body systems\*

**★** Define three-body vertex functions for each possibility



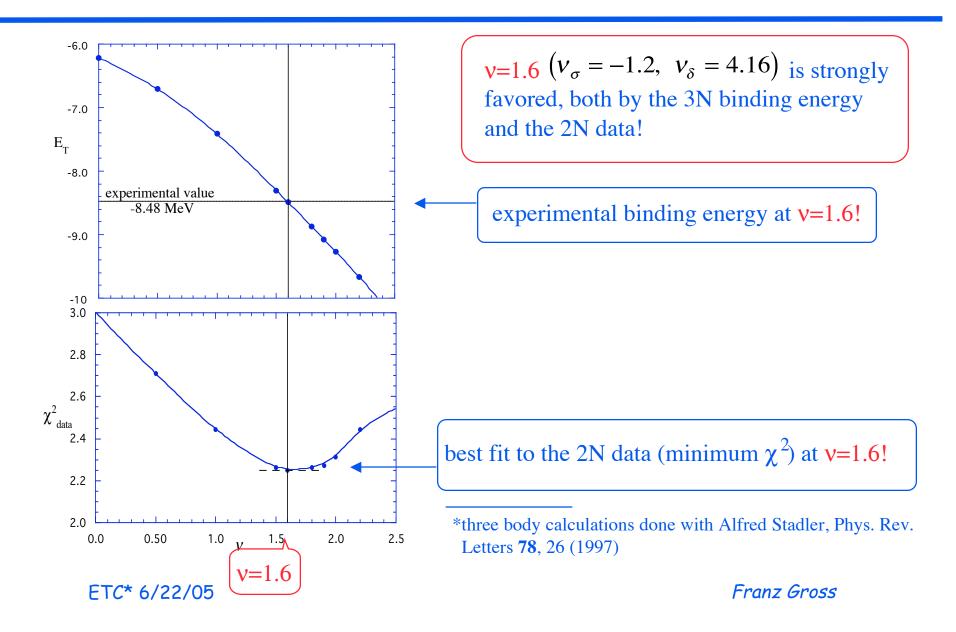
★ then three body Faddeev-like equations emerge automatically. For identical particles they are:



\*Alfred Stadler, FG, and Michael Frank, Phys. Rev. C 56, 2396 (1997)

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# 3N binding energy is very sensitive to v (off shell coupling of the scalar mesons)\*



# Recent results (in progress): OBE model for NN scattering

★ Kernel of the integral equation is still represented by OBE

**★** Recent fit (*still under development*) with 21 Parameters

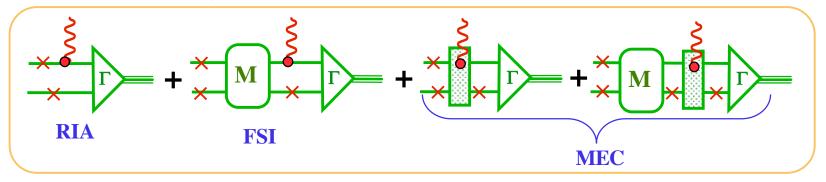
		spin parity	I- spin	mass	$oldsymbol{g}$ ²/4 $\pi$	К	V	# of Para	
	γ	1-		0.001				0	
Thanks to	$\pi^{\pm}$	0-	1	139.57	13.93		-0.098	2	Cutoffs (3)
J. de Swart	$\pi^{0}$	0-	1	134.98	13.93		-0.098		$\Lambda_{\pi}=1786$
for helpful	η	0-	0	548.8	4.899		1.540	2	$\Lambda_{\rm m} = 1192$
advice.	$\sigma_{\scriptscriptstyle 0}$	0+	0	447	2.597		-7.872	3	$\Lambda_{\rm N} = 1861$
	$\sigma_{\scriptscriptstyle 1}$	$0^+$	1	534	1.165		3.400	3	
	ω	1-	0	717	9.409	0.222	0.313	4	
	ρ	1-	1	912	2.270	5.383	-2.107	4	

 $\star \chi^2$  /datum = 1.26 (for the 2001 data set)

Thanks to Mart Rentmeester and Rob Timmermans for helpful discussions about data

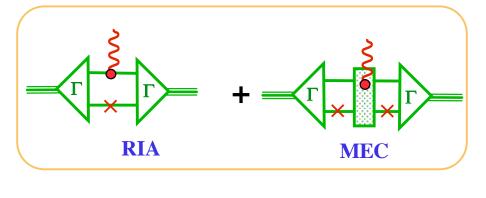
### Two body current operator in the spectator theory<sup>©</sup>

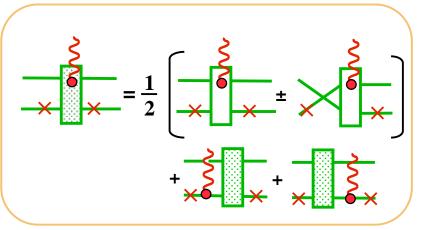
★ Inelastic Scattering



★ Elastic Scattering



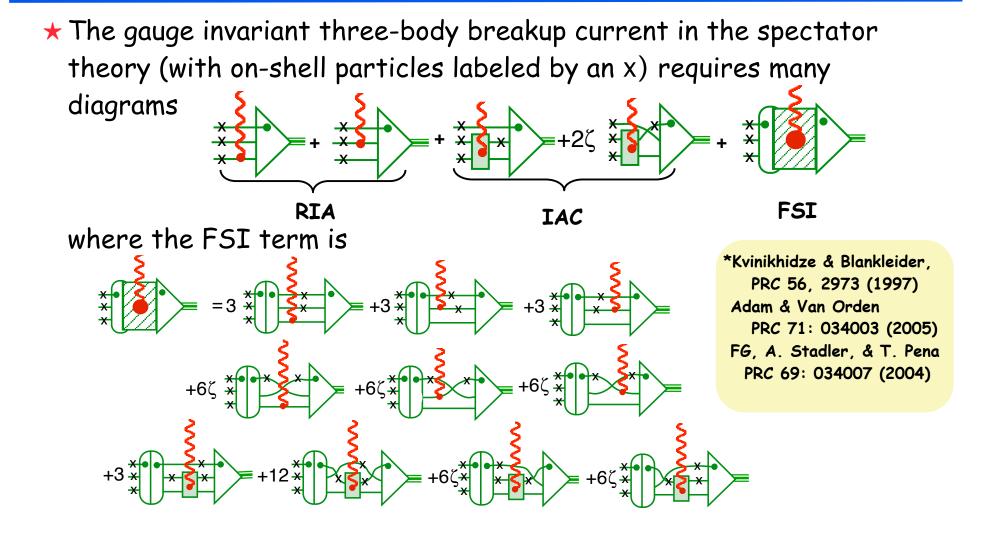




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### Three body current operator in the spectator theory<sup>©</sup>\*



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- **\*** We have a covariant theory ( $CS^{\bigcirc}$  theory) suitable for the calculation of 2 and 3 body electromagnetic observables when the excitations are small but the momentum transfers are large.
- ★ It has been (and is being) applied to NN (and 3N?) scattering, deuteron form factors, electrodisintegration of the deuteron, <sup>3</sup>He form factors, and 2 and 3 body electrodisintegration of <sup>3</sup>He.
- ★ The goals are to
  - explain these interactions in terms of a consistent dynamics based on the  $CS^{\bigcirc}$  theory using a covariant OBE model.
  - determine the parameters of the OBE model and the OBE interaction currents that emerge.
  - compare these effective interactions with QCD predictions!

#### Part II

# Can the ideas of EFT improve the CS<sup>©</sup> theory?

- At present, regularization and short range physics are both contained in the form factors
- ★ The most important of these is the nucleon form factor

$$S(p) = \frac{f(p)}{m - p}; \quad f(p) = \frac{2(\Lambda^2 - m^2)^2}{(\Lambda^2 - p^2)^2 + (\Lambda^2 - m^2)^2}$$

The fits are very sensitive to  $\Lambda$ 

- ★ Use the ideas of EFT to separate these two roles:
  - Regularize using the PDS of Kaplan, Savage, and Wise
  - Parameterize short range physics using constants
- ★ Assume that the physics is "known" up to exchange masses of about 1 GeV. Short range physics is above 1 GeV

### **\*** Assumptions:

- Ignore the "known" physics corresponding to exchanges of bosons with masses less than 1 GeV (add this later).
- Parameterize the short range physics with contact interactions of the  $|\overline{\psi}\psi|^2$  type.
- Chose the mass scale *M* for the  $|\overline{\psi}\psi|^2$  interaction to be  $\ge m$  (the nucleon mass)
- Regularize using power divergence subtraction (PDS)
- $\star$  Example: the <sup>1</sup>S<sub>0</sub> partial wave

**\star** Introduce the  $NN \Rightarrow {}^{1}S_{0}$  vertex function

$$\Gamma^{0+}(x) = (\boldsymbol{C}\boldsymbol{\gamma}^5)_{ab}\boldsymbol{\psi}_a(x)\boldsymbol{\psi}_b(x) = \boldsymbol{\psi}^{\mathrm{T}}(x)\boldsymbol{C}\boldsymbol{\gamma}^5\boldsymbol{\psi}(x)$$

 $\star$  Then, the Lagrangian density for a  ${}^{1}S_{0}$  state is

$$L(x) = \overline{\psi}(x) \left( i \overleftrightarrow{\partial} - m \right) \psi(x) - \lambda \left[ \Gamma^{0+}(x) \right]^{\dagger} \Gamma^{0+}(x)$$

**\star** In *d* dimensions,  $\lambda$  has dimensions of  $\ell^{2-d}$ , so the coupling is

$$\lambda = \frac{\lambda_0}{M^{2-d}}$$

where  $\lambda_0$  is dimensionless.

# Power counting (naive)

 $\star$  Scales: there are only three scales in the problem: p, m, and M.

First, assume 
$$p \sim m \ll M$$
, so that  $\delta \approx \frac{p}{M} \sim \frac{m}{M} \ll 1$ 

 Naive dimensional counting gives a superficial divergence D for any NN scattering Feynman diagram equal to

D = (d-2) (n-1)

where n is the number of vertices (or the order) of the diagram. Hence we expect the size of any diagram  $V^{(n)}$  to go like

$$V^{(n)} \approx \frac{\lambda_0}{M^{d-2}} \left(\lambda_0 \delta^{d-2}\right)^{n-1}$$

**\star** Conclusion: if  $\lambda_0 \sim 1$ , and d > 2, perturbation theory applies.

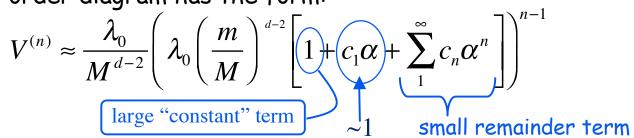
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# Power counting (nonrelativistic domain)

★ Assume, p << m. Introduce a new scale

$$\alpha = \frac{p}{m} \sim \delta^2 \ll \delta$$

 $\star$  Then the *n*<sup>th</sup> order diagram has the form:



- ★ Assume that the term of order  $\alpha$  is of order 1. Then only the terms of order  $\alpha^2$  or smaller can be ignored. All other terms must be summed to all orders. Hence:
  - All diagrams contribute to the constant term. This depends on the scale, and will be fixed phenomenologically.
  - The task is to calculate the terms of order  $\alpha,$  which are independent of the scale.



The *s*-channel bubble *B(s)* is  $V^{(2)}(s) = \lambda^2 B(s)(C\gamma^5)_{a'b'}(C\gamma^5)_{ba}$ 

where 
$$\varepsilon_{d} = 2 - d/2$$
,  $\mathbf{p}^{2} = m^{2} - s/4$ , and  

$$\lambda B(s) = -i \frac{\lambda_{0}}{2M^{d-2}} \int \frac{d^{d}k}{(2\pi)^{d}} \frac{\operatorname{tr} \left[ C\gamma^{5} \left( m + \frac{1}{2} \mathcal{R} + \mathcal{K} \right) C\gamma^{5} \left( m + \frac{1}{2} \mathcal{R} - \mathcal{K} \right)^{T} \right]}{\left[ m^{2} - \left( \frac{1}{2} P - k \right)^{2} - i\varepsilon \right]} \\
= \frac{\lambda_{0} \Gamma(\varepsilon_{d}) M^{2\varepsilon_{d}-2}}{(4\pi)^{2-\varepsilon_{d}}} \int_{-\frac{1}{2}}^{\frac{1}{2}} dz \left\{ \frac{4m^{2}}{(\mathbf{p}^{2} + sz^{2})^{\varepsilon_{d}}} - \frac{6 - 4\varepsilon_{d}}{(1 - \varepsilon_{d})(\mathbf{p}^{2} + sz^{2})^{\varepsilon_{d}-1}} \right\} \\
\lim_{d \to 4} \lambda B(s) = \lambda_{0} \left\{ \mu - \frac{\mathbf{p}\sqrt{s}}{8\pi M^{2}} + R(\mathbf{p}^{2}) \right\} \\$$
with the large constant  $\mu = \frac{1}{2\pi} + \frac{m^{2}}{8\pi^{2}M^{2}} \left( \Gamma'(1) - \log\left(\frac{4\pi m^{2}}{M^{2}}\right) + 3 - \frac{10}{9} \log 2 \right) \\$ 
and the small remainder  $R(\mathbf{p}^{2}) = \frac{\mathbf{p}\sqrt{s}}{4\pi^{2}M^{2}} \arctan \frac{2\mathbf{p}}{\sqrt{s}} - \frac{\mathbf{p}^{2}}{4\pi^{2}M^{2}} \left( \Gamma'(1) - \log\left(\frac{4\pi m^{2}}{M^{2}}\right) + 2 - \frac{5}{9} \log 2 \right) \\$ 

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# Summation of the leading bubble terms gives

$$M^{0+}(s) = \frac{\lambda_0}{M^2} \Big[ 1 + \lambda B(s) + (\lambda B(s))^2 + \cdots \Big]$$
  
= 
$$\frac{\lambda_0}{M^2 - \lambda_0 \left( \mu M^2 - \frac{\mathbf{p}\sqrt{s}}{8\pi} \right)} = \frac{1}{M^2 \left( \frac{1}{\lambda_0} - \mu \right) + \frac{\mathbf{p}\sqrt{s}}{8\pi}}$$
  
$$\frac{8\pi}{8\pi}$$

$$=\frac{6\pi}{m_0^2+\mathbf{p}\sqrt{s}}$$

where  $m_0$  is a parameter fixed by the effective range expansion.

Hence,  $\lambda_0$  runs with *M* according to:

and M has a pole (or resonance) at

$$\lambda_0 = \frac{1}{\mu + \frac{m_0^2}{8\pi M^2}}$$

$$s = 2m^2 \left(1 \pm \sqrt{1 - \frac{m_0^4}{m^4}}\right)$$

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New feature of relativistic theory is the presence of u- and tchannel bubbles

★ We can show(?) that these are analytic in  $p^2$  near  $p^2 \sim 0$ . Hence they contribute only to the constant and  $p^2$  terms, and their effect can be absorbed into adjustable parameters

★ Conclusion: the presence of *u*- and *t*- channel bubbles does not change the conclusions drawn from study of *s*-channel bubbles

# Conclusion:

Power counting for relativistic theory in a nonrelativistic domain

**★** Each diagram is of the form M = Constant +  $c_1\alpha$  + R( $\alpha^2$ )

- ★ All diagrams contribute a constant term, which sometimes violates power counting. This does not matter since the constant term is simply a parameter describing the short range physics that must be fit to the data.
- **\star** Only diagrams with an elastic cut contribute non-analytic terms of order  $\alpha$ , and these can be calculated and summed.
- \* The remainder terms  $R(\alpha^2)$  are analytic and can be absorbed into derivative terms in the Lagrangian. These are then fit to the data.
- \* Not much predictive power, but divergences are handled without form factors. (Is this really an advantage?)

# Connection with the Covariant Spectator<sup>©</sup> theory

\* If 
$$A_{\pm} - i\varepsilon = m^2 - \left(\frac{1}{2}P \pm k\right)^2 - i\varepsilon = \left(\frac{E_k}{2} - \frac{1}{2}P_0 \mp k_0 - i\varepsilon\right)\left(E_k + \frac{1}{2}P_0 \pm k_0 - i\varepsilon\right)$$
  
 $A_{\pm} - A_{\pm} - i\varepsilon = -2P \cdot k - i\varepsilon$ 

the CS<sup>©</sup> theory gives the following bubble contribution

$$B_{CS}(s) = -\frac{i}{4} \int \frac{d^{d}k}{(2\pi)^{d}} N \left\{ \frac{1}{(A_{-} - A_{+} - i\varepsilon)(A_{+} - i\varepsilon)} + \frac{1}{(A_{+} - A_{-} - i\varepsilon)(A_{-} - i\varepsilon)} \right\}$$

$$a \qquad b \qquad one \ pole \ LHP \qquad one \ pole \ UHP$$

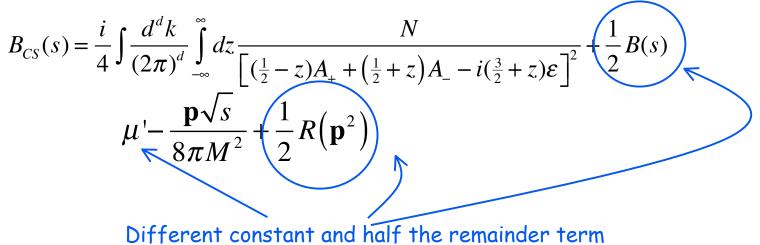
$$= -\frac{i}{4} \int \frac{d^{d}k}{(2\pi)^{d}} N \int_{0}^{\infty} dx \left\{ \frac{1}{[(1 - x)A_{+} + xA_{-} - i(1 + x)\varepsilon]^{2}} + \frac{1}{[(1 - x)A_{-} + xA_{+} - i(1 + x)\varepsilon]} \right\}$$

$$= -\frac{i}{4} \int \frac{d^{d}k}{(2\pi)^{d}} N \left\{ \int_{-\frac{1}{2}}^{\infty} dz \frac{x = 1/2 + z}{[(\frac{1}{2} - z)A_{+} + (\frac{1}{2} + z)A_{-} - i(\frac{3}{2} + z)\varepsilon]^{2}} + \int_{-\infty}^{\frac{1}{2}} dz \frac{x = 1/2 - z}{[(\frac{1}{2} + z)A_{-} + i(\frac{3}{2} - z)\varepsilon]} \right\}$$

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# Connection with the Covariant Spectator<sup>©</sup> theory (2)

### ★ Hence



THEREFORE, in an EFT sense, equivalent to the full bubble term

★ CS<sup>©</sup> theory is equivalent (from an EFT! point of view) to the full field theory. (Is this useful?)

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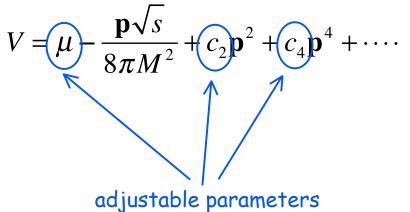
# Extensions and reinterpretation: EFT! in a relativistic domain

- **\star** Suppose we are in the relativistic domain ( $p \sim m$ ). Then either:
  - power counting works, because  $\lambda_0 \ll (M/m)^2$  and the physics is perturbative, or
  - $\lambda_0 \sim (M/m)^2$ , power counting does not work, and all diagrams are of equal size, and all must be summed
- ★ Even if power counting does NOT work, we may
  - separate the terms nonanalytic in p<sup>2</sup> from those which are analytic, and sum them using s-channel bubbles. These terms are predicted by the theory (up to the arbitrary constant!). Is this important??
  - adjust the size of the analytic terms by adding derivative terms to the Lagrangian. These cannot be predicted. There is also no longer an organizational principle for choosing derivatives -- all are of equal size.
- ★ In either case, this relativistic effective theory has no content (i.e.) is purely phenomenological (in common with its nonrelativistic counterpart).
   But we have found a way to regularize and handle the short range physics.

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# Where do we go from here? One scenario:

★ Step 1: Start with a kernel of the form



★ Fit data

\* Add the pion (and other meson exchanges?) in an attempt to reduce the number of unknown short range parameters. For example, get  $c_2$  and  $c_4$  from meson exchange??

★ Calculate meson exchange without form factors or cutoffs using a "two potential" formalism and a Pade' series.

# Where do we go from here? One scenario (2)

 $\star$  the "two potential" series is

$$M = M_m + (1 + M_m) |0\rangle \frac{\lambda}{1 + \lambda B(1 + M_m)} \langle 0|(1 + M_m)|$$

 $\star$  the Pade series is used to calculate  $M_m$ 

$$M_{m} = \sum_{n=1}^{2p} c_{n} x^{n} = \frac{\sum_{n=1}^{p} d_{n}^{1} x^{n}}{\sum_{n'=1}^{p} d_{n'}^{2} x^{n'}}$$

★ What has been learned from this exercise?

- A full power counting scheme, with accompaning organizational principle, seems to exist only in nonrelativistic situations.
- BUT it can be done with either a relativistic, or nonrelativistic formalism.
- From the EFT! point of view, CS<sup>©</sup> theory is just as good as a full field theory (to be thought about some more)
- \* Does this help justify our original approach and calculations?
- \* Why do relativistic calculations?
  - justified if fewer parameters are needed to fit data and a greater unity between dynamics and interaction currents can be achieved

# END

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